## **Anderson County Government**

## **Request for Proposals**

100 North Main Street, Suite 214 Courthouse Clinton, Tennessee 37716 (865) 457-6218 Office (865) 457-6252 Fax

purchasing@andersontn.org
http://andersontn.org/purchasing

RFP No.: 4926

Date Issued: January 3, 2019

Bids will be received until 2:30 p.m. Eastern Time on January 31, 2019

Sealed solicitations are subject to the <u>General Terms and Conditions</u> and any other data attached or incorporated by reference. Responses will be received in the Anderson County Purchasing Office until the date and time specified above, and at that time publicly opened and read aloud.

ANDERSON COUNTY RESERVES THE RIGHT TO WAIVE ANY INFORMALITIES IN OR TO REJECT ANY OR ALL PROPOSALS AND TO ACCEPT THE PROPOSAL DEEMED FAVORABLE AND IN THE BEST INTEREST OF ANDERSON COUNTY.

Natalie Erb, Director of Finance

#### **BID DESCRIPTION**

Request for Proposals for Auctioneer Services.

## A. GENERAL INFORMATION

#### **Purpose**

Anderson County Government is seeking proposals from qualified companies for auctioneer services, to auction certain County-owned properties on an "as-needed" basis. These sites may range from small undeveloped parcels to large commercial sites.

#### Response Submission

An original signed proposal must be mailed or delivered in a sealed envelope to:

Anderson County Government Purchasing Department 100 N. Main Street, Room 214 Clinton, TN 37716

In order to be considered, proposals must be received by the Anderson County Purchasing Department on or before January 31, at 2:30 p.m.

List your company name on the envelope and RFP No. 4926, Auctioneer Services.

## **Evaluation and Term**

Proposals will be evaluated on experience, cost, and resources/services offered. This proposal shall be awarded to the responsive, responsible company submitting the overall best proposal which is in the best interest of Anderson County. The County reserves the right to conduct written and/or oral discussions/interviews with proposers after the proposal opening for clarification or to obtain additional information for evaluation purposes.

The County's <u>General Terms and Conditions for Bidders</u> and the County's <u>Sample Contract for Services</u> document (attached hereto) is incorporated into this RFP by reference for all purposes and will apply to and be incorporated into any contracts awarded under this RFP. Proposer's submission of a response to the RFP constitutes acceptance of these terms.

The County anticipates issuing a one (1) year contract...

## B. SPECIFICATIONS

#### Advertising

The Auctioneer shall be responsible for the marketing and advertising costs and placing the advertisements for the auction in the necessary publications inside and outside of Anderson County.

- No advertisement will be placed without the prior approval of Anderson County.
- Prior approval shall not exceed 72 hours.
- Length and content of advertisement(s) will be mutually agreeable.

#### **Auction Bidders**

The auctioneer is responsible for registering all bidders, ensuring bidders are qualified to bid, and collecting and holding deposit monies until completion of the auction. The Auctioneer is also responsible for ensuring deposit monies are received in the form of cash, certified check, or

cashier's check. This includes the amount of the full deposit on the day of the auction, additional deposit, if required, and sales amount at settlement.

## **Confidentiality**

All auction services will be considered strictly confidential between the auctioneer and Anderson County. The auctioneer will not discuss any auction information or documents with third parties unless authorized by Anderson County.

#### Cooperation

The auctioneer will be expected to work cooperatively with Anderson County personnel in preparing an auction, including, but not limited to, pre-auction site visits to show property, amount of reserve bid, scope of advertisement, best method to optimize turnout and price realized, amount of required deposit from buyer, length of time between auction and settlement, and proposed auction date.

## **County Representation**

A representative from Anderson County will attend the auction to collect the deposit and all applicable documents.

#### Documentation

The auctioneer will be expected to provide complete documentation for each auction, along with pre-sale estimates, copies of advertisements, brochures, and other documentation relating to the sale of said property when requested.

#### Information Provided

If available, and to the best of our ability, Anderson County will furnish all right-of-way plats, surveys, building plans, engineering data, appraisals, operating expenses, and other pertinent data, including deed references, environmental reports, and title certificates, required to enable the auctioneer to complete his/her services. Any additional information requested may be furnished if available.

#### Responsibility

Auctioneer is responsible for preparing and distributing the due diligence package. Auctioneer must also maintain a list of recipients in order to ensure all recipients receive any additions, deletions, or revisions to the package.

## **Delivery & Invoicing**

Auctioneer will transmit all documents, including invoices, to the Finance Department.

#### Rules and Regulations

Auctioneer will collect all data in accordance with the Professional Standards of the National Auctioneers Association in order to provide the best services and best final price for Anderson County.

## Sales

Auction sales will be on the reserve-sales basis. Anderson County will make the final decision on the terms and conditions of the sale.

#### **Settlement Notice**

Immediately following the auction, the Auctioneer will be required to submit a detailed settlement auction notice that includes the auction date and number, the purchaser's name and address, and any other information needed by Anderson County.

#### Insurance

The potential successful proposer will be required to provide a Certificate of Liability Insurance to the County in accordance with the requirements as noted on the insurance checklist enclosed with this RFP. The Certificate must be submitted to the Anderson County Purchasing Department within five (5) business days from notice of intent to award, excluding County holidays. Noncompliance may result in the contract being awarded to the next best proposer. The contractor must maintain the insurance coverage required by the County while this contract is in force, and shall provide documentation of such insurance in a form satisfactory to the Purchasing Department.

## C. PROPOSAL FORMAT

In order to assure uniformity of proposal and evaluation, vendors are to prepare proposals according to the following format. Failure on the part of the proposer to submit the required information with their response may result in the rejection of the proposal.

## Part 1 Vendor Profile

This section shall provide the following information:

- The address, telephone and fax number, and website URL of the Vendor's office which will be responsible for the services.
- Copy of State of Tennessee auctioneers license.
- Resumes of Auctioneers (s) who will conduct the sale and all personnel who will be involved in the sale, and copies of their State of Tennessee auctioneers license.
- A brief history of the firm including the years in this type of business, number of licensed auctioneers, total number of employees and equipment available to perform this service.
- · Experience with marketing commercial properties, developed and undeveloped

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#### Part 2 Methodology of Services

This section shall provide the vendor's typical marketing plan to include:

- How property is advertised.
- How auctions are conducted.
- How sales price is maximized.
- Responsibilities of clients in the auction and marketing processes.
- Samples of advertisements, brochures, or other marketing documentation.

## Part 3 References

A minimum of three (3) references of commercial properties auctioned within the past two years, including the name of a contact person, telephone number and email address.

#### Part 4 Required Forms

- Attachment 1, Vendor Information Form
- Attachment 2, Non-Collusion Affidavit
- Attachment 3, Diversity Business Information Sheet (If applicable)
- Attachment 4, Certificate of Liability Form

## Part 5 Price Proposal

- Proposer(s) must quote commission on a percentage basis, based on the dollar thresholds listed below.
- Proposer(s) may submit a proposal for any or all of the dollar thresholds.
- Proposer(s) have the option of quoting a fee with Buyer's premium, without Buyer's premium, or

Fee Percentage of Price

Clearly state the option being proposed.

Fir	nalized Price per Auction	Fee Percentage of Price
Up to \$2	5,000	
\$25,001-	\$50,000	
\$50,001-	\$100,000	
\$100,001	-\$250,000	
\$250,001	-\$500,000	
\$500,001	-\$1,000,000	
\$1,000,0	01 +	
	nderson County for "No Sale":	
		d and understood this request and are authorized to e terms and conditions, on behalf of the company
Company	,	
Date:		

## Attachment 1 RFP NUMBER: 4926 – Auctioneer Services

SECTION 1 - BID INFORMATION	SECTION 2 - VENDOR INFORMATION
Acknowledgment of Addenda: (Write "Yes" if received)	Vendor Name
Addenda 1 Addenda 2 Addenda 3 Addenda 4	Vendor Address
	City
	State Zip
	Telephone Number
	Contact Person (Please Print)
	E-Mail Address
	Taxpayer Identification Number, Social Security or Employer Identification Number:
	State of Tennessee Business License Number: License #
	l agree to abide by all Terms and Conditions of this Invitation to Bid and certify that I am authorized to sign this bid for the vendor. Failure to include any information mentioned in the bid or to comply with these bid instructions may result in rejection of your entire bid. Signing this form affirms that the original Invitation for Bid document has not been altered in any way.
	Authorizing Signature:
	(Please sign original in blue ink)

#### Attachment 2

#### **Non-Collusion Affidavit**

- This Non-Collusion Affidavit is material to any contract awarded pursuant to this bid.
- This Non-Collusion Affidavit must be executed by the member, officer, or employee of the bidder who makes the final decision on prices and the amount quoted in the bid.
- Bid rigging and other efforts to restrain competition and the making of false sworn statements in connection
  with the submission of bids are unlawful and may be subject to criminal prosecution. The person who signs
  the affidavit should examine it carefully before signing and assure himself or herself that such statement is
  true and accurate, making diligent inquiry, as necessary, of all other persons employed by or associated with
  the bidder with responsibilities for the preparation, approval, or submission of the bid.
- In the case of a bid submitted by a joint venture, each party to the venture must be identified in the bid documents, and an affidavit must be submitted separately on behalf of each party.
- The term "complementary bid" as used in the affidavit has the meaning commonly associated with that term in the bidding process and includes the knowing submission of bids higher than the bid of another firm, an intentionally high or noncompetitive bid, and any other form of bid submitted for the purpose of giving a false appearance of competition.

Non-Collusion Affidavit

Failure to file an affidavit in compliance with these instructions may result in disqualification of the bid.

100 00000000000000000000000000000000000
STATE OF
COUNTY OF
I state that I am (Title) of (Name of My Firm) and that I am authorized to make this affidavit on behalf of my firm and its owners, directors, and officers. I am the person responsible in my firm to the price(s) and the amount of this bid.
<ul> <li>The price(s) and amount of this bid have been arrived at independently and without consultation, communication, or agreement with any other contractor, bidder, or potential bidder.</li> <li>Neither the price(s) nor the amount of this bid and neither the approximate price(s) nor approximate amount of this bid, have been disclosed to any other firm or person who is a bidder or potential bidder, and they will not be disclosed before bid opening.</li> <li>No attempt has been made or will be made to induce any firm or person to refrain from bidding on this contract, or to submit a bid higher than this bid, or to submit any intentionally high or noncompetitive bid or other form of complementary bid.</li> <li>The bid of my firm is made in good faith and not pursuant to any agreement or discussion with, or inducement from, any firm or person to submit a complementary or other noncompetitive bid.</li> <li>(Name of My Firm)</li></ul>
I state that (Name of My Firm) understands and acknowledges that the above representation are material and important and will be relied on by Anderson County in awarding the contract(s) for which this bid is submitted. I understand and my firm understands that any misstatement in this affidavit is and shall be treated as fraudulent concealment from Anderson County of the true facts relating to submission of bids for this contract.
Representative's Signature Title
Sworn to and subscribed before me this day of,,
My commission expires: Notary Public

#### Attachment 3



## **DIVERSITY BUSINESS INFORMATION**

## Definitions for Determining Minority, Women And Small-Owned Firms

The guidelines for determining minority, women and small-owned firms are defined as follows:

"MINORITY" means a person who is a citizen or lawful permanent resident of the United States and who is:

- o Black (a person having origins in any of the black racial groups of Africa);
- Hispanic (a person of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race);
- Asian American (a person having origins in any of the original peoples of the Far East,
   Southeast
- Asia, the Indian subcontinent, or the Pacific Islands); or
- American Indian and Alaskan Native (a person having origins in any of the original peoples of North America).

## "MINORITY BUSINESS ENTERPRISE" shall mean a minority business:

A continuing, independent, for profit business which performs a commercially useful function, and is at least 51 percent owned and controlled by one or more minority individuals; or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned and controlled by one or more minorities. Whose management and daily business operations are controlled by one or more of minority individuals. "Control" as used in the above clause, means exercising the power to make policy decision. "Operate," as used in the above clause, means being actively involved in the day-to-day management of the business.

### "WOMEN BUSINESS ENTERPRISE" shall mean women business:

A continuing, independent, for profit business which performs a commercially useful function, and which is at least 51 percent owned and controlled by one or more women; or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned and controlled by one or more women. Whose management and daily business operations are controlled by one or more of such individuals. "Control" as used in the above clause, means exercising the power to make policy decision. "Operate," as used in the above clause, means being actively involved in the day-to-day management of the business.

# DIVERSITY BUSINESS INFORMATION ANDERSON COUNTY GOVERNMENT

**NOTE:** This form is to be submitted only by those who qualify. Bidders do not have to be a minority business to be considered.

## IMPORTANT! NOTARY AND COPY OF CERTIFICATION REQUIRED

SECTION 6 – DIVERSITY INFORMAT	ION
VENDOR/CONTRACTOR NAME:	
Type of Company: (Check One)	
() Corporation () Partnersh	nip () Limited Liability () Sole Proprietor
Is your company 51% Owned or Operate	ed by a Minority Group? Yes No
If yes, check the ethnic category and in	dicate % of ownership:
☐ African American ☐ Hispanic%	
Please name the entity of certification: _	
Please provide copy of certification lette	er or certificate
I HEDERY CEPTIFY THAT THE AROVE INCOPALAT	TION IS TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.
I, HEREDI CERIII I IIIAI IIIE ADOVE INI ORMAI	ION IS TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.
	OFFICER OF THE COMPANY
Signature:	
Signature:	OFFICER OF THE COMPANY
Signature:	OFFICER OF THE COMPANY  Title:  DTARY ACKNOWLEDGEMENT:
Signature: Name:	OFFICER OF THE COMPANY  Title:  DTARY ACKNOWLEDGEMENT:)
Signature:	OFFICER OF THE COMPANY  Title:  DTARY ACKNOWLEDGEMENT:)
Signature:  Name:  NO  STATE OF  COUNTY OF  ON  PERSONALLY APPEARED  SATISFACTORY EVIDENCE) TO BE THE PERSON(S) WH ACKNOWLEDGED TO ME THAT HE/SHE/ THEY EXECU	OFFICER OF THE COMPANYTitle:  DTARY ACKNOWLEDGEMENT:))
Signature:  Name:  NO  STATE OF  COUNTY OF  ON  PERSONALLY APPEARED  SATISFACTORY EVIDENCE) TO BE THE PERSON(S) WH ACKNOWLEDGED TO ME THAT HE/SHE/ THEY EXECUTED THE INSTRUMENT THE EXECUTED THE INSTRUMENT.	OFFICER OF THE COMPANYTitle:  DTARY ACKNOWLEDGEMENT:
Signature:  Name:  NO  STATE OF  COUNTY OF  ON  PERSONALLY APPEARED  SATISFACTORY EVIDENCE) TO BE THE PERSON(S) WH ACKNOWLEDGED TO ME THAT HE/SHE/ THEY EXECUTED THE INSTRUMENT THE EXECUTED THE INSTRUMENT.  WITNES	OFFICER OF THE COMPANYTitle:  DTARY ACKNOWLEDGEMENT:

MY COMMISION EXPIRES:\_

## Attachment 4 Insurance Requirement Acknowledgment

The bidder awarded this bid or contract will maintain, at their expense adequate insurance coverage to protect them from claims arising under the Worker's Compensation Act, any and all claims for bodily injury and property damage to the Bidder and to Anderson County Government while delivery and service are being done. A certificate of insurance must be on file in the Purchasing Department before work may begin and must be maintained until work is completed.

Only the items marked with an "X" are applicable to this bid and or contract.

1.	$\boxtimes$	Workers Compensation Employers Liability	Statutory limits 100,000/100,000/500,000
2.	$\boxtimes$	Commercial General Liability	\$500,000 per occurrence \$1,000,000 aggregate
		<ul> <li>◯ Occurrence Form Only</li> <li>☒ Include Premises Liability</li> <li>☒ Include Contractual</li> <li>☒ Include XCU</li> <li>☒ Include Products and Complete</li> <li>☒ Include Personal Injury</li> <li>☒ Include Independent Contractor</li> <li>☒ Include Vendors Liability</li> <li>☒ Include Professional or E&amp;O Liability</li> </ul>	d Operations s
3.		Business Auto Include Garage Liability Include Garage Keepers Liabilit Copy of Valid Driver's License Copy of Current Motor Vehicle F	Record
4.		Crime Coverages  ☐ Employee Dishonesty ☐ Employee Dishonesty Bond	
5.		Property Coverages  Builders Risk Inland Marine Transportation	
			Hundred Percent (100%) performance or an irrevocable letter of erally insured financial institution. This <u>MUST</u> be submitted before
Anders auto. certification the about	on Coul Insurant ate shou ove req	nty Government shall be named as an acce carrier ratings shall have a Best's ratiled strike out "endeavor to" and include a 3	ment, Clinton, Tennessee, and shall show the bid number and title. Iditional insured on all policies except worker's compensation and ting of A-VII or better, or its equivalent. Cancellation clause on 0-day notice of cancellation where applicable. Any deviations from nderson County Purchasing Agent. Any liability deductibles or granted if applicable.
days if		ne insurance requirements of these speced this bid and or contract. I agree to furn	ment and Certification ifications and will comply in full within 21 (twenty-one) calendar ish the county with proof of insurance for the entire term of the bid
	9	Vendor Name	Authorized Signature
	Bid Re	epresentative Name (Please Print)	Date



## Attachment 5 - Sample Contract for Services

This Agreement is made on this the DD of MM YYYY, between Anderson County, Tennessee, a governmental entity and political subdivision of the State of Tennessee (hereinafter, "County") and XXXXXXX (hereinafter, "Contractor") and for good and valuable consideration, the sufficiency of which is hereby acknowledged, the parties agree as follows:

Contractor Services. Contractor agrees to provide XXXXX for the County Per XXXXXXX.

**Standard of Performance.** Contractor agrees to perform the services in a manner consistent with the standard in the industry and to the satisfaction of the County.

Contractor Compensation. Contractor shall be paid by County for the Contractor's services within thirty (30) days of invoicing and completion of the contracted services. The compensation to Contractor shall be calculated by: **XXXXXX**. Contractor **shall not** receive additional compensation for expenses including travel, hotel, food, etc.

Term. The term of this agreement shall begin on <u>MM/DD/YYYY</u> and shall end on <u>MM/DD/YYYY</u> with renewal option of XXXXXXX.

**Release.** Contractor hereby agrees to release, indemnify, and hold County harmless from and against any and all claims, lawsuits, or the like associated with County's performance of this agreement, or as it relates to the past, present, or future financial condition of the County or the performance of Contractor's services under this Agreement.

**Default.** In the event of default by the Contractor hereto, the County may bring suit against the Contractor to enforce the terms of this Agreement. In such event, the prevailing party shall be entitled to recover any remedies available at law and/or equity including reasonable attorney's fees and costs associated with the default.

**No Oral Modification.** No modification, amendment, supplement to or waiver of this Agreement or any of its provisions shall be binding upon the parties hereto unless made in writing and duly signed by all the parties.

**Waiver.** A failure of any party to exercise any right provided for herein, shall not be deemed to be a waiver of any right hereunder.

**Entire Agreement.** This Agreement sets forth the entire understanding of the parties as to the subject matter and may not be modified except in a writing executed by all parties.

**Severability.** In the event any one or more of the provisions of this Agreement is invalid or otherwise unenforceable, the enforceability of the remaining provisions shall be unimpaired.

**Cancellation.** In the event any party materially breeches, defaults or fails to perform hereunder, this Agreement may be cancelled by the other party with cause on thirty (30) days written notice to the other, if the event constituting the breach, default, or failure is not cured during that time.

**Termination:** Anderson County reserves the right to terminate this contract in whole or in part with thirty (30) days written notification to the contractor. In the event of termination, the County shall not be liable for any costs other than the cost of services performed and materials delivered and accepted prior to termination date.

**Exhibits.** Any Exhibits attached hereto or incorporated herein are made a part of this Agreement for all purposes. The expression "this Agreement" means the body of this Agreement and the Exhibits.



## Attachment 5 - Sample Contract for Services

**Multiple Counterparts: Effectiveness.** This Agreement may be executed in multiple counterparts, each of which shall be deemed an original for all purposes and all of which shall be deemed, collectively, one Agreement. This Agreement shall become effective when executed and delivered by all the parties.

**Jurisdiction.** Each party hereby irrevocably consents to the jurisdiction of all state courts sitting in Tennessee or all federal courts sitting in Knoxville, Tennessee and agrees that venue for any legal action brought in connection with this Agreement shall lie exclusively in such courts.

**Binding Effect.** This Agreement shall be binding upon and inure to the benefit of the parties and upon their respective successors, heirs, or assigns.

**Choice of Law.** This Agreement shall be governed and construed in accordance with the laws of the State of Tennessee. The Contractor certifies that in performing this contract they will comply with all applicable provisions of the federal, state, and local laws, regulations, rules, and orders.

**Appropriated Funds.** The County's obligation to pay under this Contract is contingent upon funds appropriated in the current fiscal year's budget as approved by the County Board of Commissioners. Any contract requiring appropriated funds beyond the current fiscal year may be cancelled without notice in the event that funding to support the contract are unavailable in the subsequent fiscal year.

**Payment Terms.** The County's payment terms are net 30. All invoices shall be addressed to Anderson County Director of Finance, Room 210, 100 North Main Street, Clinton, TN 37716, and must include Contractor's name, address and phone number, and clearly list quantities, item description and units of measure.

**Warranty.** The Contractor warrants to the County that all goods and services furnished hereunder shall be free of defects in materials, workmanship, and from defect in design. In addition, Contractor warrants the goods and services are suitable for and will perform in accordance with the purposes for which they were intended.

Insurance Requirement: Vendors awarded bids or contracts are required to maintain, at their expense adequate insurance coverage to protect them from claims arising under the Worker's Compensation Act, any and all claims for bodily injury and property damage to the Vendor and to Anderson County Government while completing delivery and services. A certificate of insurance may be required before work begins and be maintained until work is completed. Certificate Holder Shall Be: Anderson County Government, Clinton, Tennessee. Anderson County Government shall be named as an additional insured on all policies except worker's compensation and auto. Insurance carrier ratings shall have a Best's rating of A-VII or better, or its equivalent. Cancellation clause on certificate should strike out "endeavor to" and include a 30-day notice of cancellation where applicable. Any deviations from the above requirements must be disclosed to the Anderson County Purchasing Agent. Any liability deductibles or exclusions must also be disclosed.

**Non-discrimination.** The Contractor shall comply with the Tennessee Human Rights Act, T. C. A. §4-21-101 et. seq., as amended and any rules and regulations promulgated in accordance therewith.

**Equal Employment Opportunity.** It shall also be an unlawful employment practice for the Contractor (1) to fail or refuse to hire or to discharge any individual or otherwise to discriminate against any individual with respect to their compensation, or the terms, conditions, or privileges of their employment, because of such individual's race, color, religion, sex, age, handicap or national origin; or (2) to limit, segregate, or classify their employees or applicants for employment in any way which would deprive or tend to deprive any individual of employment opportunities or otherwise adversely affect their status as an employee, because of such individual's race, color, religion, sex, age, handicap or national origin. Contractor shall comply with The Civil Rights Act of 1964, 42 U.S.C. sec. 2000 et seq. (2000), as amended.



## Attachment 5 - Sample Contract for Services

**Notice.** Any notice required or provided pursuant to this Agreement shall be in writing and sent or delivered to the parties.

**Titles and Subtitles.** Titles of paragraphs and subparagraphs are for convenient reference only and shall not have the effect of modifying, amending, or changing the express terms of this Agreement.

**Assignment.** This Agreement shall be assignable only upon the written consent of the non-assigning party. Consent to an assignment shall not be unreasonably withheld. In the event of assignment or succession, the terms and conditions of this Agreement shall be binding upon the parties and their successors, assigns, heirs, executors, and/or administrators.

**Further Documentation.** The parties agree for themselves and their successors and assigns to execute any and all instruments in writing which are or may become necessary or proper to carry out the purpose and intent of this Agreement.

Vendor/Supplier:		Anderson County Government Administrative Approval:	
Signature	Date	Natalie Erb, CPA, CTP, Finance Director	Date
Printed Name		Anderson County Department Head Approval:	
Title			
Name of Company		Da	te
Name of Company		Approved as to Form	
Address			
		Law Director Da	te
City. State Zip			

#### **General Terms and Conditions**

#### **BID ENVELOPE SUBMISSION INSTRUCTIONS:**

Bids are to be received in a sealed envelope/package with the bid number, company name and opening date clearly marked. Failure to comply may result in rejection of the entire bid. Anderson County will not be responsible for any lost or misdirected mail. Late bids, e-mailed bids and faxed bids will not be considered nor returned. It is the sole responsibility of the bidder to ensure their bid is delivered to the Purchasing Department. Late bids will not be considered.

Please note that Anderson County Government does not receive a guaranteed delivery time for express mail and/or packages. PLEASE MAIL ACCORDINGLY.

## ANDERSON COUNTY PURCHASING DEPARTMENT 100 NORTH MAIN STREET, SUITES 214 AND 218 CLINTON, TN 37716

Email: <u>purchasing@andersontn.org</u>
Website: <u>http://andersontn.org/purchasing</u>

(865) 457-6218 Phone (865) 457-6252 Fax

Bid documents must be completed in ink or typed, signed in ink, and free from alterations, erasures or mark-throughs.

#### **SECTION 1 - GENERAL TERMS AND CONDITIONS**

- **1.1 ALTERATIONS OR AMENDMENTS:** Alterations, amendments, changes, modifications or additions to this solicitation shall not be binding on Anderson County without prior written approval.
- **1.2 NO CONTACT POLICY:** After vendor receives a copy of this bid, any contact initiated by any vendor with any Anderson County representative, other than the Purchasing Department, concerning this invitation for bid is prohibited and agreements made thereto will not be considered binding on Anderson County. Any such unauthorized contact may cause the disqualification of the bidder from this procurement transaction.
- **1.3 QUESTIONS:** Pursuant to TCA §12-4-113, questions regarding the specifications or bid procedures must be received by the Purchasing Agent and/or designer no less than ninety-six (96) hours before the bid opening date. No addenda within less than forty-eight (48) hours of the bid opening date shall be permitted. Any questions concerning the bid document must be submitted to <a href="mailto:purchasing@andersontn.org">purchasing@andersontn.org</a> no less than ninety-six (96) hours before bid opening date.
- 1.4 BID CLOCK: The bid/time clock in the Anderson County Purchasing office will be the time of record.
- **1.5** <u>TAXES</u>: Anderson County is not liable for Federal excise or State sales tax. Tax exemption certificates will be provided upon request.

- **1.6** <u>CONFLICT OF INTEREST:</u> If requested by the Purchasing Agent, vendors must complete and submit a "Conflict of Interest Affidavit Statement" prior to contract award, see T.C.A. 5-14-114 and T. C. A. 12-4-101.
- 1.7 <u>NON-COLLUSION:</u> Vendors, by submitting a signed bid, certify that the accompanying bid is not the result of, or affected by, any unlawful act of collusion with any other person or company engaged in the same line of business or commerce, or any other fraudulent act punishable under Tennessee or United States law.
- **1.8 NON-DISCRIMINATION:** Contracted vendors will not discriminate against any employee or applicant for employment because of race, religion, sex, national origin or disability except where religion, sex, national origin or disability is a bona fide occupational qualification reasonably necessary to the normal operation of the contractor.
- 1.9 <u>SAME AS OR EQUIVALENT TO:</u> Vendors are to bid as specified herein or propose an approved equal. Determination of equality is solely Anderson County's responsibility. Any designated brands are for reference purpose only, not a statement of preference. When an alternate manufacturer, brand, model or make is bid, Anderson County will determine if the item bid meets or exceeds the items as specified. If the bidder does not indicate that an alternate manufacturer, brand, model or make is being bid, it is understood that the item(s) bid are the same manufacturer, brand, model or make as requested in the Invitation to Bid. Comparable products of other manufacturers will be considered if proof of comparability is contained in the bid submission. It shall be the responsibility of the vendors, including vendors whose product is referenced to furnish upon request catalog pages, brochures or other data to provide an adequate basis for determining the quality and functional capabilities of the product offered. Failure to provide this data may be considered valid justification for rejection of bid.
- 1.10 MULTIPLE BIDS/AWARDS: Anderson County may consider multiple bid awards.
- 1.11 STATE OF TENNESSEE CONTRACTORS' LICENSE LAW (T.C.A. 62-6-119) b): Bids for which the total cost of the project is twenty-five thousand dollars (\$25,000) or more, the outside of the sealed bid envelope/package containing the bid provides the following information: the Company Name, the Contractor's license number, license classification, the date of the license expiration and that part of each license classification applying to the bid. In addition, each heating ventilation or air conditioning, plumbing and electrical subcontractor's license number, date of the license expiration and that part of each classification applying to the bid if the value of the work is \$25,000 or greater, must be notated. If the value of either the contractor or the subcontractor's work is less than \$25,000, the bid envelope/package containing the bid is to be notated with the phrase "Contractor or Subcontractor's Bid is Less than \$25,000" after each appropriate heading. In the case of joint ventures, each party submitting the bid must provide this information. If no subcontractors are being used, the outside of the envelope/package containing the bid must state, "No Subcontractors are being used on this project."
- **1.12 ACCEPTANCE:** Vendors shall hold their price firm and subject to acceptance by Anderson County for a minimum period of sixty (60) working days from the date of the bid opening, unless otherwise indicated in their bid. Any or all bids may be rejected for good cause.
- **1.13 BID AWARDS:** Bids will be awarded to the lowest and best bidder, taking into consideration the qualities of the articles to be supplied, their conformity with specifications and their suitability to the requirements of Anderson County and the delivery terms. Anderson County also reserves the right to not award this bid.
- **1.14 PROTEST:** Any vendor wishing to protest the bid award shall notify in writing the Anderson County Purchasing Agent and the County Law Director, 101 S. Main Street, Suite 310, Clinton, TN 37716. No protest will be accepted, except those protests made in writing and received within (10) ten calendar days of the bid award. Protests must be in writing and envelopes/package containing protest must be clearly marked with bid number and words "BID PROTEST". The Purchasing Agent, in conjunction with the Purchasing Committee, and with the advice and counsel of the County Law Director, shall review and make a final decision as to any bid protest. Appeals shall be filed in the Circuit or Chancery Courts of Anderson County within sixty (60) days of the final decision.

VENDORS PLEASE NOTE: ANDERSON COUNTY WILL NOT STOP THE PURCHASE PROCESS. THE PURCHASE MAY BE COMPLETED OR THE PROJECT MAY BE RE-BID WHILE THE PROTEST PROCEDURE IS STILL IN OPERATION. IF A RE-BID IS MADE, THE PROTESTING VENDOR SHOULD SUBMIT A NEW BID. OTHERWISE, THEY WILL BE WITHOUT A BID ON THE RE-BID. FURTHER, THE RE-BIDDING WILL NOT END THE APPEALS PROCESS. IT WILL CONTINUE UNTIL A FINAL DECISION IS REACHED OR THE COMPLAINANT WITHDRAWS THE APPEAL.

- **1.15 <u>DELIVERY</u>:** Bid pricing is to include complete supply and delivery to Anderson County, Tennessee. Vendors are to state the delivery time in the bid. Anderson County requires that vendors deliver all products "free on board" to final destination unless indicated otherwise in the bid requirements.
- **1.16 PROOF OF FINANCIAL AND BUSINESS CAPABILITY:** Bidders must, upon the request of Anderson County, provide satisfactory evidence of their ability to furnish products or services in accordance with the terms and conditions of these specifications. Anderson County will make the final determination as to the bidder's ability.
- **1.17 VENDOR'S DEFAULT:** Anderson County reserves the right, in case of vendor default, to procure the articles or services from other sources and hold the defaulting vendor responsible for any excess costs occasioned thereby.
- **1.18 <u>DUPLICATE COPIES</u>**: Vendors are to submit one original and at least one exact copy of their bids, including brochures; unless additional copies are requested in bid specifications.
- **1.19 DRUG-FREE WORKPLACE:** Under the provisions of Tennessee Code Annotated §50-9-113 enacted by the General Assembly effective 2001, all employers with five (5) or more employees who contract with either the state or a local government to provide construction services are required to submit an affidavit stating that they have a drug free workplace program that complies with Title 50, Chapter 9, in effect at the time of submission of a bid at least to the extent required of governmental entities. The statute imposes other requirements on the contractor and contractors should consult private legal counsel if legal questions arise under this section or any other provision of this document. All contractors with five (5) or more employees that will be providing construction services are to return the provided written affidavit signed by the principal officer of a covered employer acknowledging that the contracting entity is in compliance with the Drug Free Workplace laws of State of Tennessee.
- **1.20 RESTRICTIVE OR AMBIGUOUS SPECIFICATIONS:** It is the responsibility of the bidder to review the entire Invitation to Bid document and to notify the Purchasing Department if the Invitation to Bid is formulated in a manner that would unnecessarily restrict competition or if it is ambiguous in what is being requested. The Purchasing Agent must receive questions regarding the specifications or bid procedures no less than ninety-six (96) hours prior to the time set for the bid opening.
- 1.21 <u>SCHOOL CAFETERIA BIDS:</u> If this bid is for Anderson County School's Cafeteria Food Service Department, bidders must be in compliance with Section 104(d) of the William F. Goodling Child Nutrition Reauthorization Act of 1998 which requires school and institutions participating in the National School Lunch Program (NSLP) and School Breakfast Program (SBP) to "Buy American" to the maximum extent practicable.
- **1.22 TERMINATION:** Anderson County reserves the right to terminate contracts in whole or in part with thirty (30) days written notification to the contractor. In the event of termination, the County shall not be liable for any costs other than the cost of services performed and materials delivered and accepted prior to termination date.
- **1.23** OSHA SAFETY: The Vendor is responsible for training their employees in Safety and Health Regulations for the job, assuring compliance with Tennessee Occupational Safety and Health regulations and any other Regulatory Agency.

- **1.24 PERFORMANCE BOND:** A standard surety or performance bond or an irrevocable letter of credit in favor of Anderson County Government at a federally insured financial institution will be required to be submitted with bid, if indicated in section four, item six insurance requirement checklist.
- **1.25 BACKGROUND CHECKS:** Contractors shall comply with Public Chapter 587 of 2007, as codified in Tennessee Code Annotated Section 49-5-413, which requires all contractors to facilitate a criminal history records check conducted by the Tennessee Bureau of Investigation and the Federal Bureau of Investigation for each employee prior to permitting the employee to have contact with students or enter school grounds when students are present.
- **1.26 AWARD RESULTS:** As soon as practicable after proposal or bid evaluations, Anderson County shall post the award decision to Vendor Registry at <a href="www.vendorregistry.com">www.vendorregistry.com</a>. Individual notices are normally not mailed or e-mailed except to the successful vendor.
- **1.27** PRICE INCREASE/DELIVERY CHARGES: Request for price or delivery charge increases must in be received in writing 30-days prior to implementation. The Anderson County Purchasing Agent will review requests and make a determination to continue or cancel services.
- **1.28 INDEMNIFICATION/HOLD HARMLESS:** Vendor shall indemnify, defend, save and hold harmless Anderson County and, its officers, agents and employees from all suits, claims, actions or damages of any nature brought because of, arising out of, or due to breach of the agreement by Vendor, its subcontractors, suppliers, agents, or employees or due to any negligent act or occurrence or any omission or commission of Vendor, its subcontractors, suppliers, agents or employees.
- **1.29 DECLARATIVE STATEMENT:** Any statement or words (i.e.: must, shall, will, etc.) are declarative statements and the proposer must comply with the condition. Failure to comply with any such condition may result in their bid being non-responsive and disqualified.
- **1.30 WAIVING OF INFORMALITIES:** Anderson County reserves the right to waive minor informalities or technicalities when it is in the best interest of Anderson County.
- **1.31 APPROPRIATION:** Funding for multi-year contracts are subject to budget appropriations. In the event no funds are appropriated by Anderson County for the goods or services in any fiscal year or insufficient funds exist to purchase the goods or services of a contract, then that contract shall expire upon the expenditure of previously appropriated funds or the end of the current fiscal year, whichever occurs first, with no further obligations owed to or by either party.
- **1.32** ASSIGNMENT: Vendor shall not assign or sub-contract any agreement, its obligations or rights hereunder to any party, company, partnership, incorporation or person without the prior written specific consent of Anderson County.
- 1.33 QUANTITIES: Anderson County does not guarantee quantities to be purchased off this bid.
- **1.34 UNIT PRICE:** In case of discrepancy between any unit price and an extended price, the unit price will be presumed to be correct, subject, however, to correction to the same extent and in the same manner as any other mistake.
- 1.35 MODIFICATION OR WITHDRAWAL OF BIDS: When it is certain that a mistake has been made in the preparation of the bid, a request will be made to the bidder to confirm the bid. Provisions must be made so that mistakes can be taken care of and the ambiguity resolved satisfactorily. Bids may be modified or withdrawn by written notice received in the Purchasing Department prior to the time and date set for the bid opening. The changes or withdrawal of the bids shall be in writing and signed by an official of the company. The envelope containing the modification should clearly state "modification to bid." Either the entire bid or a particular item may be withdrawn or modified in this manner.

- **1.36 PRE-BID CONFERENCES:** Attendance at Pre-bid Conferences is strongly encouraged. When deemed necessary a Mandatory Pre-bid Conference will be held. A company representative MUST be in attendance and sign the Pre-bid sign-in sheet in order to be considered for bid award.
- 1.37 ADDENDUM: § T.C.A. 12-14-113 Anderson County Government reserves the right to amend this solicitation by addendum. Addenda will be posted to the vendor registry up to 48 hours in advance of the bid/proposals due date and time. It is the bidder's responsibility to check the website for addendum. If in the County's opinion revisions are of such a magnitude, the deadline for this solicitation may be extended in an addendum. Addenda may change specifications, reply sheets, and times and dates for pre-bid meetings as well as due dates/deadlines for questions and bids/proposals.
- **1.38 OWNERSHIP:** All bids, once received, become property of Anderson County Government and will not be returned.
- **1.39 WEATHER AND COURTHOUSE CLOSINGS:** In the event of a situation severe enough to necessitate the closing of Anderson County Government offices during a planned bid opening, vendors will receive notification of the new date and time upon re-opening of county government offices. No bids will be opened until the rescheduled date for bid opening and all bidders/proposers whose submissions meet the extended deadline will be given equal consideration at that time. Anderson County shall not be liable for any commercial carrier's decision regarding deliveries during inclement weather.
- **1.40 IRAN DIVESTMENT ACT OF 2014:** Pursuant to the Iran Divestment Act of 2014, Tenn. Code Ann. § 12-12-106 requires the State of Tennessee Chief Procurement Officer to publish, using creditable information freely available to the public, a list of persons it determines engage in investment activities in Iran, as described in § 12-12-105. Inclusion on this list makes a person ineligible to contract with Anderson County; if a person ceases its engagement in investment activities in Iran, it may be removed from the list. The State of Tennessee list is available here: http://tennessee.gov/generalservices/article/Public-Information-library.