#### City of Spartanburg

Procurement and Property Division Post Office Drawer 1749, SC 29304-1749 Phone (864) 596-2049 - Fax (864) 596-2365

## RFP Legal Notice Request for Proposals Abatement or Encapsulate Lead Based Paint Residential Structure August 20, 2020

**NOTICE IS HEREBY GIVEN –** The City of Spartanburg is seeking Proposals from Licensed and Certified Lead Remediation Contractors for the abatement or use of Interim controls by removing, covering or sealing of surfaces at the homes located at the following locations:

#### <u>Please note, these are all separate house listings for individuals to bid on from one to the total of five.</u>

#### Pre-Bid: Tuesday, September 1, 2020

293 S. Converse Street	9:00 am at the site	2021-09-08-01
108 Hudson Street	10:00 am at the site	2021-09-08-02
429 Breeze Street	11:00 am at the site	2021-09-08-03
442 Breeze Street	11:00 am at the site	2021-09-08-04
454 Breeze Street	11:00 am at the site	2021-09-08-05

Please remember due to the COVID-19 concerns we must remain vigilant about social distancing practices. In this season of uncertainty, there is the need to put your and our employee's safety first. Attendees will practice appropriate physical separation (six feet or more) as we go about our work. Please wear masks. Attendees must have temperatures checked.

The City of Spartanburg, hereby, notifies all proposers that it will affirmatively ensure that all disadvantaged and women's business enterprises will be afforded full opportunity to submit proposals in response to this invitation and will not be discriminated against on the grounds of gender, race, color, or national origin in consideration for an award.

The City of Spartanburg reserves the right to reject any or all proposals or to waive any informality in the qualifications process. Proposals may be held by the City of Spartanburg for a period not to exceed sixty (60) days from the date of the opening of Proposals for the purpose of reviewing the Proposals and investigating the qualifications of prospective parties, prior to awarding of the Contract. The vendor that is awarded the proposal will be required to obtain a City of Spartanburg Business License.

#### THIS WORK MUST BE COMPLETED WITHIN 10 DAYS OF ASSIGNMENT

#### Please summit two (2) copies of your sealed proposals:

<u>Sealed Bids</u> <u>Due Tuesday, September 8, 2020, no later than 3 PM</u>. Proposals must be submitted to Carl Wright, Procurement and Property Manager, City Hall 145 W. Broad Street, at which time they will be publicly opened and read aloud in the Training Room.

Technical question regarding the scope of services should be directed to Lynn Coggins, Construction Project Administrator, and City of Spartanburg at 864-596-2914.

Proposals can be hand delivered or mailed to the following address:

City of Spartanburg P.O. Box 5107 145 W. Broad Street Spartanburg, SC. 29304

Attn: Procurement and Property Division

For further information and complete Proposal Package, please contact the Procurement and Property office at (864) 596-2049. Complete proposal package also available at <a href="www.cityofspartanburg.org">www.cityofspartanburg.org</a> by following the links for Invitations for bids.

#### City of Spartanburg

Procurement and Property Division Post Office Drawer 1749, SC 29304-1749 Phone (864) 596-2049 - Fax (864) 596-2365

RFP Legal Notice
Request for Proposals
Abatement or Encapsulate Lead Based Paint
Residential Structure
August 1, 2020

**NOTICE IS HEREBY GIVEN –** The City of Spartanburg is seeking Proposals from Licensed and Certified Lead Remediation Contractors for the abatement or use of Interim controls by removing, covering or sealing of surfaces at a home located at <u>442 Breeze St.</u>

Proposal No: 2021-09-08-04

The City of Spartanburg, hereby, notifies all proposers that it will affirmatively ensure that all disadvantaged and women's business enterprises will be afforded full opportunity to submit proposals in response to this invitation and will not be discriminated against on the grounds of gender, race, color, or national origin in consideration for an award.

The City of Spartanburg reserves the right to reject any or all proposals or to waive any informality in the qualifications process. Proposals may be held by the City of Spartanburg for a period not to exceed sixty (60) days from the date of the opening of Proposals for the purpose of reviewing the Proposals and investigating the qualifications of prospective parties, prior to awarding of the Contract. The vendor that is awarded the proposal will be required to obtain a City of Spartanburg Business License.

#### THIS WORK WILL BE REASSIGNED WITHIN 10 DAYS OF ASSIGNMENT

Pre-Bid: Tuesday, September 1, 2020 11:00 AM, at the site. Spartanburg, SC

Please remember due to the COVID-19 concerns we must remain vigilant about social distancing practices. In this season of uncertainty, there is the need to put your and our employee's safety first.

Attendees will practice appropriate physical separation (six feet or more) as we go about our work. Please wear masks. Attendees must have temperatures checked.

#### Please summit one original and two (2) copies of your sealed proposals:

<u>Sealed Bids</u> <u>Due Tuesday, September 8, 2020, no later than 3 PM</u>. Proposals must be submitted to Carl Wright, Procurement and Property Manager, City Hall 145 W. Broad Street, at which time they will be publicly opened and read aloud in the City of Spartanburg Council Chambers.

Technical question regarding the scope of services should be directed to Lynn Coggins, Construction Project Administrator, and City of Spartanburg at 864-596-2914.

Proposals can be hand delivered or mailed to the following address:

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For further information and complete Proposal Package, please contact the Procurement and Property office at (864) 596-2049. Complete proposal package also available at <a href="www.cityofspartanburg.org">www.cityofspartanburg.org</a> by following the links for Invitations for bids.

## May 15, 2020 The City of Spartanburg, Neighborhood Services Department Request for Proposals To Abate or Encapsulate lead based paint For a residential structure

#### **Bidding Requirements for Contractors**

This entire bid package must be submitted with two copies or your bid will be considered incomplete and will be eliminated.

The contractor will meet with City Program officials, the property owner and current tenant before work starts.

#### 1. This is a Lump Sum Priced Project. PRESENT ONE PRICE TO COMPLETE THE LEAD WORK AND THE HEALTHY HOMES REPAIRS

- Contractor must have a current City License, State license and all current certifications to bid this work.
- 3. Contractors and all subcontractors must be fully insured per City's insurance requirements.
- 4. Must have three years of experience removing lead paint from Residential houses and know the regulations, protocol and adhere to them.
- 5. Must submit six references for work completed in the last twelve months on table D.
- 6. Management Companies (jobbers) (shoppers) who subcontract other companies to perform their work do not qualify.
- 7. Return entire RFP bound, signed, or initialized, and two copies.
- 8. The actual work cannot be subcontracted, the bidder must be licensed to remove lead paint and own sufficient amount of dependable equipment and have enough personnel to complete the work in the 10 day time period required by the City.

#### 10. Work Time Schedule

The typical acceptable working time is Monday thru Friday from 7AM to 7PM. However, time is of the essence and the work in most cases should be completed within 3 days because the owner may be displaced, staying at another location until the work is done.

#### 11. Pre Bid Conference

The pre-bid conference is not mandatory however, if you do not attend the pre-bid conference and miss important information you are still responsible for information you missed.

#### 12. Contract

The most responsive contractor will be asked to sign a contract with the City and property owner. This entire bid package will be part of the contract.

#### 13. Warranty and Callback

The contractor will guarantee his work for a period of one year and return to make any corrections to the work they completed at their own expense.

#### 14. Final Clearance

City has hired an environmentalist to conduct (1) one clearance test when all work is completed. If the clearance test fails the contractor will pay for any additional tests needed.

#### 15. Liquidated Damages

Liquidated damages for non-compliance of a late or incomplete contract will be deducted at \$300.00 per day and will be deducted from the original contract amount.

#### **Scope of Work**

The Contractor must furnish everything to complete the work including removing all loose and chipping and peeling paint, <u>level and smooth all paint edges</u> and seal the entire surface and repaint the entire surface. Contractor must furnish all related equipment and materials, labor, Insurance and Workers Compensation. Follow all current Federal Requirements, HUD, SCDHEC, OSHA, and City Requirements and obtain all Permits to complete the work.

Contractor must follow the guidelines in the environmental report.

#### **WORK TO BE PERFORMED WITH LBP GRANT**

The following Interim Control Measures will be performed as part of the Scope of Work for the Grant:

#### Interior:

- 1) Paint stabilization in kitchen to include all walls and woodwork
- 2) Installation of window track to prevent dust created by friction in the two current bedroom areas: child's and parents. Total (6) sets of windows

#### Exterior:

- Removal of a minimum of two inches of soil at dripline, and addition of mulch over areas where soil is removed
- 2) Enclosure of all stucco to be covered with vinyl and metal where needed, owners choice of in stock colors.
- 3) Professional wrapping of all window casing by means of bent shaped metal with insulation and caulking (10) Ten windows inclusive on all four sides.
- 4. All other exterior areas including roof overhang, roof supports, front porch ceiling, columns etc. Must be covered in vinyl and or metal Paint stabilization of all exterior components that cannot be feasibly wrapped to include must be completed.

#### WORK TO BE PREFORMED UNDER SAFE HOMES GRANT

Remove the existing AC unit in the heat pack. Replace the existing AC portion of the heat pack

#### Install Complete all new Heat Pump System 15+ Seer R410A exterior gas pack style

Provide heating and cooling load calculations and proposed distribution system layout.

Install a 15 Seer; air-to air-heat pump system to manufacture's most recent installation guidelines with a System to include but not limited to:

All material, labor, profit, digital thermostat, concrete pad, power, and control wiring, work light, digital thermostat, filter, and fan, heat strips per mfg. recommendations, ducts, vents, returns, operating and repair manual, provide two extra filters, Provide condensation overflow drain, and pan. Insulate all ductwork to R8.

Provide separate power circuits for units.

Return filter must be inside house.

Unit must be inspected and working to submit payment request

Include duct blaster testing and report

10 year equipment warranty, one year free service warranty, must be given written with payment request

#### **General Eligibility Requirements:**

To be considered contractors must be properly licensed by all Federal, State and local regulations to perform the required work, complete all required documentation for the individual program and complete a contractor's project statement and an affidavit.

For work requiring abatement of Lead Based Paint Hazards, Contractors must have a Lead Based Paint Abatement Certification from the State of SC and employ Certified Lead Abatement Workers and/or Certified Lead Abatement Supervisors as required by law. Contractors must attach current copies of State Abatement Contractor's Certification and a list of certified employees and/or supervisors.

All General Contractors must be adequately insured and provide evidence of insurance. Contractor will also require that City be named as an additionally insured party in conjunction with any construction contract awarded. Contractors must attach a current Certificate of Insurance showing all coverage and liability limits for general liability and workers compensation insurance. All contractors will be required to submit a completed lien waiver request for all Subcontractors that are utilized.

City will pay contractors for completed work only and will not make deposits or down payments. City will pay contractors for completed work on a timely basis, but Contractors will need to be capable of financing construction pending reimbursement. Contractors must provide a physical company address to receive reimbursement checks and associated correspondence.

#### **Cleaning Interior and Exterior**

This house must pass an interior and exterior clean test and work with the City's Environmental Consultant before the work is considered completed and payment is made.

#### **Change Orders**

No Change Order request will be permitted this is one price completes all the work.

#### Field Verification

Field measure all structures. The City will use Spartanburg County Assessors information as a guideline.

#### Limb or shrub removal

If a Contractor is required to remove a tree limb or shrub to complete the work include in your total bid.

#### **Lead Based Paint**

The contractor must properly handle and dispose of debris containing Lead based Paint at a Subtitle D Landfill.

#### **Lead Dust Control**

It is the responsibility of the contractor to use good judgment to control paint dust during work and meet all EPA, HUD, and OSHA regulations for its removal.

#### **Waste Manifest Receipts**

The original waste manifest receipts must be presented with the final Invoice for all materials disposed.

#### **Improper Disposal**

Improper disposal will be reported to SCDHEC. The City of Spartanburg <u>will not pay</u> a contractor any portion of the contract if improper disposal occurs. Legal action may also be taken against the contractor.

#### **Preparation of Bid**

Each bid must be submitted on the prescribed forms (contained herein). All blank spaces for bid prices must be completed in ink or typewritten, in words and/or figures, and all required Certifications must be fully completed and executed when submitted.

The lowest total <u>BID</u> will carry the most weight not the unit prices along with the contractor's ability to meet the City's needs.

#### **Awarding Contracts**

The City of Spartanburg shall be the sole judge of the bid and the resulting agreement that is in its best interest and its decision shall be final. All bidding and award procedures undertaken by the City in regard to this project shall be consistent with the City's adopted procurement procedures. Bid prices shall remain in effect for 60 days after bid opening.

#### **Lowest Bid**

The lowest bid does not automatically guarantee a contractor will get the work they bid on. Bids will be reviewed and scored for experience, pricing, quality of recent service, previous work history, references, State Licenses, State Registration, Insurances, Bonds, Subcontractors, Equipment Owned, Equipment Rented, Operators Experience, and Financial Stability. The City at its sole discretion will decide after a review which contractor, if any is responsive to the RFP.

#### **Bid Submittal**

INCOMPLETE BID INFORMATION OR UNSIGNED BIDS WILL BE REJECTED IMMEDIATELY WITHOUT RECOURSE.

Please submit <u>BOUND</u> this entire RFP one (1) original and one (2) copies of your bid in a sealed envelope reflecting on the outside thereof the bidder's name and "Sealed Bid for all Services"

**Table A** Complete table A. Fee Schedule

**Table B** List the sub-contractors you plan to use. If none, indicate none.

Table D Complete Table D, References

Immigration Reform Act: Read and Sign

Insurance Requirements: Read and Sign

#### TABLE A

#### **FEE SCHEDULE**

Cell Phone	Email
Owner/ Manager Signature	DATE
Owner/ Manager Name	
Company Physical Address	
Company Name	
YOUR FINAL PAYMENT WILL BE DELAYED AND DEDUCTED FROM YOUR ORIGONAL BID PRICE	
THIS PROJECT WILL BE REASSIGNED TO A DII WORK IS NOT COMPLETED WITHIN 10 DAYS OF	
PRICE FOR TOTAL WORK \$	
HEALTHY HOMES WORK \$	
LEAD WORK \$	
MY PRICE FOR LEAD WORK AND HEALTHY HOMES	S WORK IN THE SCOPE OF WORK IS

#### TABLE B List all subcontractors and their contact information

Company Name:	Federal ID or SS #:	
Street Address: City, State, Zip:	Telephone #:	
Company Name:	Federal ID or SS #:	
Street Address: City, State, Zip:		
Company Name: Street	Federal ID or SS #:	
Address:City, State, Zip:		
Company Name: Street	Federal ID or SS #:	
Address: City, State, Zip:		
Company Name:	Federal ID or SS #:	
Street Address:	Telephone #:	

City, State,	<u> </u>	
Company Name	Contractor/Owner Signature	Date
	<u>Table D</u>	
	References	
List only referen	ces you have completed work for in the last twelve	months.
Company Name:	Federal ID or SS #:	
Street	Telephone #:	
	Fax #:	
Company Name:	Federal ID or SS #:	
Address:	Telephone #:	
City, State, Zip:	Fax #:	
Company Name: Street	Federal ID or SS #:	
Address:	Telephone #	

Company Name: SS #: \_\_\_\_\_

Federal ID or

Federal ID or

Fax #: \_\_\_\_\_

SS #: \_\_\_\_\_

Fax #: \_\_\_\_\_

Telephone #: \_\_\_\_\_

L P Grant, 442 Breeze st Page 10

City, State,

Company

City, State,

Street

Zip:

Name:

Address: \_\_\_\_\_

Zip: \_\_\_\_\_

StreetAddress:	Telephone #:	
	Fax #:	
Company Name	Contractor/Owner Signature	Date
Address:		
Each Contra	ctor and Sub-Contractor must complete one of thes	e forms.
participating in the Fe employ only workers w License or Identification Identification Card from to have requirements a comply with the Statutestablish applicability of Furthermore, The City verification within five were comply with the statutestables.	deral Work Authorization Program (E-Verify) pursuant ho at the time of their employment possess a valid Sout in Card or are eligible to obtain same or possess a valid in another state deemed by the Director of the Department least as strict as those in South Carolina. Contractor is in its entirety and agrees to provide the Owner with of the Statute to the Contractor and compliance by same of Spartanburg will have the right to request and receive working days of any person working under Contract with	t to the Statute or th Carolina Driver's Driver's License or at of Motor Vehicles certifies that it will documentation to e. e legal status a Contractor or
Contractor Name		
registering and participathe Statute or employing South Carolina Driver's a valid Driver's Licensed Director of the Departre Carolina. By the signal City with documentation signature below, certifications.	pating in the Federal Work Authorization Program (E-Veing only workers who at the time of their employment positions are considered in the contraction of their employment positions are considered in the contractor (Subcontractor, etc.) agrees in the establish the applicability of the Statute to the Contractor that it is compliant with the Statute with all regards. To this Statute require that the Contractor verify the hiring the statute in the statute of the statute of the statute require that the Contractor verify the hiring the statute in the statute of the statute require that the Contractor verify the hiring the statute in the statute of the statute in the statute of t	erify) pursuant to ssess a valid same or possess en deemed by the strict as South to provide the tractor and by the This certification
	Name of Contractor or (Subcontra	actor, etc.)

Бу			
Date			

### CITY OF SPARTANBURG INSURANCE REQUIREMENTS FOR CONTRACTORS AND SUB CONTRACTORS AND ALL VENDORS September 30, 2010

NOTE: DO NOT BID ON THIS PROJECT IF YOU CAN NOT MEET THE FOLLOWING INSURANCE REQUIREMENTS!

Each sub-contractor must also complete this form and furnish a Certificate of Coverage.

CONTRACTOR'S LIABILITY AND OTHER INSURANCE: The Contractor shall purchase and maintain with a company acceptable to the City and authorized to do business in the State of South Carolina, such insurance as will protect him from claims under workers' compensation laws, disability benefit laws or other similar employee benefit laws; from claims for damages because of bodily injury, occupational sickness or disease, or death of his employees, and claims insured by usual personal injury liability coverage; from claims for damages because of bodily injury, sickness or disease, or death of any person other than his employees, including claims insured by usual bodily injury liability coverage; and from claims for injury to or destruction of tangible property, including loss of use resulting there from - any or all of which may arise out of or result from the Contractor's operation under the contract documents, whether such operations be by himself or any subcontractor or anyone directly or indirectly employed by any of them or for whose acts any of them may be legally liable. This insurance shall be written for not less than the limits of liability specified below, or required by law.

**Automobile** - Bodily injury and property damage liability covering all owned, non-owned and hired automobiles for limits of not less than \$1,000,000 **minimum** for bodily injury each person/each accident and \$1,000,000 property damage, or \$1,000,000 combined single limit - Bodily injury and property damage combined.

Comprehensive general liability – This coverage shall be on an "Occurrence" basis. Coverage shall include Premises and Operations; Products and Completed Operations; Medical Expense in reference to General Liability, and Contractual Liability. Bodily injury and property damage liability shall protect the Contractor and any subcontractor performing work under this contract from claims of bodily injury, Personal & Advertising injury, and property damage which could arise from operations of this contract whether such operations are performed by the Contractor, any subcontractor or anyone directly or indirectly employed by either. The amounts of such insurance shall not be less than \$1,000,000 per occurrence / personal & advertising injury, \$2,000,000 general aggregate, / Products completed operations aggregate, \$100,000 damages to rented premises, and \$10,000.00 medical expense (any one person) in reference to General Liability.

This insurance shall include coverage for products/completed operations, personal injury liability and contractual liability assumed under the indemnity provision of this contract and broad form property damage, explosion, collapse and underground utility damage stating if policy is written on an occurrence basis. Any policy written on a claim made basis must be approved by the City of Spartanburg in advance.

**Property Insurance including Builders Risks**-Property coverage will name the City of Spartanburg as loss payee in instances where the City has an interest in the property unless otherwise requested.

**Workers' Compensation and Employer's Liability –** This coverage shall meet the statutory requirement of the State of South Carolina. Employers Liability shall be in the amount of \$1,000,000 each accident and disease - each employee and \$1,000,000 disease - policy limit. Sole Proprietors, Partners, Members of LLC, and Corporate officers will not be excluded from coverage.

The Contractor shall provide the City with insurance certificates certifying that the foregoing insurance is in force; and such insurance certificates shall include provisions that the insurance shall not be canceled, allowed to expire or be materially changed without giving the City thirty (30) days advance notice by registered mail.

#### The City of Spartanburg, its employees, and agents shall be named as additional insured under the Contractor's general liability policies.

The Contractor is advised that if any part of the work under the contract is sublet, he shall require the subcontractor(s) to carry insurance as required above. However, this will in no way relieve the Contractor from providing full insurance coverage on all phases of the projects, including any that are sublet.

When certain work is to be performed inside right-of-way owned by railroads, South Carolina Department of Transportation or other Agencies, both the Contractor and any subcontractor may be required to furnish individual insurance certificates made in favor by the controlling agency, with limits as established by that agency.

Cancellation and Re-issuance of Insurance: If any insurance required to be provided by the Contractor should be canceled or changed by the insurance company or should any such insurance expire during the period of this contract, the Contractor shall be responsible for securing other acceptable insurance to provide continuous coverage during the life of this contract.

Failure of the Contractor to maintain continuous coverage as specified herein will result in this project being shut down and any payments due, or to become due, withheld until such time as adequate, acceptable insurance is restored. This would be in addition to any legal recourse open to the City under breach of contract.

All coverage's and provisions shall be in place, and documentation of such coverage shall be provided to the City of Spartanburg, before any work can began.

#### I will maintain the insurance requirements during all related work.

Date

#### **SECTION 3 CLAUSE**

- A. The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low-and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- B. The parties to this contract agree to comply with HUD's regulations in 24 CFR part 135, which implement section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.
- C. The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.
- D. The contractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.
- E. The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.
- F. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
- G. With respect to work performed in connection with section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (I) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to

the provisions of section 3 and section 7(b) agree to comply with section 3 to the maximum extent feasible, but not in derogation of compliance with section 7

Company Name	Contractor or Owner	Date

#### City of Spartanburg, South Carolina Projects Involving Federal Funds Federal Procurement Requirement – Appendix II

Appendix II to Part 200—Contract Provisions for Non-Federal Entity Contracts Under Federal Awards

In addition to other provisions required by the Federal agency or non-Federal entity, all contracts made by the non-Federal entity under the Federal award must contain provisions covering the following, as applicable.

- A. Contracts for more than the simplified acquisition threshold currently set at \$150,000, which is the inflation adjusted amount determined by the Civilian Agency Acquisition Council and the Defense Acquisition Regulations Council (Councils) as authorized by 41 U.S.C. 1908, must address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate.
- B. All contracts in excess of \$10,000 must address termination for cause and for convenience by the non-Federal entity including the manner by which it will be effected and the basis for settlement.
- C. Equal Employment Opportunity. Except as otherwise provided under 41 CFR Part 60, all contracts that meet the definition of "federally assisted construction contract" in 41 CFR Part 60-1.3 must include the equal opportunity clause provided under 41 CFR 60-1.4(b), in accordance with Executive Order 11246, "Equal Employment Opportunity" (30 FR 12319, 12935, 3 CFR Part, 1964-1965 Comp., p. 339), as amended by Executive Order 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," and implementing regulations at 41 CFR part 60, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor."
- D. Davis-Bacon Act, as amended (40 U.S.C. 3141-3148). When required by Federal program legislation, all prime construction contracts in excess of \$2,000 awarded by non-Federal entities must include a provision for compliance with the Davis-Bacon Act (40 U.S.C. 3141-3144, and 3146-3148) as supplemented by Department of Labor regulations (29 CFR Part 5, "Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction"). In accordance with the statute, contractors must be required to pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. In addition, contractors must be required to pay wages not less than once a week. The non-Federal entity must place a copy of the current prevailing wage determination issued by the Department of Labor in each

solicitation. The decision to award a contract or subcontract must be conditioned upon the acceptance of the wage determination. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency. The contracts must also include a provision for compliance with the Copeland "Anti-Kickback" Act (40 U.S.C. 3145), as supplemented by Department of Labor regulations (29 CFR Part 3, "Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States"). The Act provides that each contractor or subrecipient must be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency.

- E. Contract Work Hours and Safety Standards Act (40 U.S.C. 3701-3708). Where applicable, all contracts awarded by the non-Federal entity in excess of \$100,000 that involve the employment of mechanics or laborers must include a provision for compliance with 40 U.S.C. 3702 and 3704, as supplemented by Department of Labor regulations (29 CFR Part 5). Under 40 U.S.C. 3702 of the Act, each contractor must be required to compute the wages of every mechanic and laborer on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of 40 U.S.C. 3704 are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.
- F. Rights to Inventions Made Under a Contract or Agreement. If the Federal award meets the definition of "funding agreement" under 37 CFR §401.2 (a) and the recipient or subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that "funding agreement," the recipient or subrecipient must comply with the requirements of 37 CFR Part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by the awarding agency.
- G. Clean Air Act (42 U.S.C. 7401-7671q.) and the Federal Water Pollution Control Act (33 U.S.C. 1251-1387), as amended—Contracts and subgrants of amounts in excess of \$150,000 must contain a provision that requires the non-Federal award to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251-1387). Violations must be reported to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).
- H. Debarment and Suspension (Executive Orders 12549 and 12689)—A contract award (see 2 CFR 180.220) must not be made to parties listed on the government wide exclusions in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 CFR 180 that implement Executive Orders 12549 (3 CFR part 1986 Comp., p. 189) and 12689 (3 CFR part 1989 Comp., p. 235), "Debarment and Suspension." SAM Exclusions contains the

- names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549.
- I. Byrd Anti-Lobbying Amendment (31 U.S.C. 1352)—Contractors that apply or bid for an award exceeding \$100,000 must file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 U.S.C. 1352. Each tier must also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the non-Federal award.
- J. See 2 CFR §200.322 Procurement of recovered materials.
- K. Government Restrictions: In the event any governmental restrictions may be imposed which would necessitate alteration of the material, quality, workmanship or performance of the goods or services offered, it shall be the responsibility of the successful firm to immediately notify the City of Spartanburg in writing specifying the regulation which requires alteration. The City of Spartanburg reserves the right to accept any such alteration, including any reasonable price adjustments occasioned thereby, or to cancel the contract at no expense to the City of Spartanburg.
- L. Assignment or Transfer: The successful firm shall not assign or transfer any interest in the contract, in whole or part, without written approval of the City of Spartanburg. Claims for sums of money due, or to become due from the City of Spartanburg pursuant to the contract may be assigned to a bank, trust company or other financial institution. The City of Spartanburg is hereby expressly relieved and absolved of any and all liability in the event a purported assignment or subcontracting of the contract is attempted in the absence of the firm obtaining the Collaborative Partner's prior written consent.
- M. Availability of Records: The Comptroller General of the United States, the Department of Housing and Urban Development (HUD), the City of Spartanburg and any duly authorized representative of each, shall have full and free access to, and the right to audit and to make excerpts and transcripts from, any and all pertinent books, records, documents, invoices papers and the like, of the vendor, or in the possession of the firm, which shall relate to, or concern the performance of the contract.
- N. Permits and Licenses: The successful firm shall obtain all permits and licenses that are required for performing its work. The firm shall pay all related fees and costs in connection with required permits and licenses. Proof of ownership shall be made on all software used in the execution of the contract. The firm will hold the City of Spartanburg harmless for any violation of software licensing resulting from breaches by employees, owners and agents of the firm.
- O. Taxes: The successful firm is responsible for all state and federal payroll and/or social security taxes. The firm shall hold the City of Spartanburg harmless in every respect against tax liability.

- P. Standards of Conduct: The successful firm shall be responsible for maintaining satisfactory standards of its employees' competence, conduct, courtesy, appearance, honesty, and integrity. It shall be responsible for taking such disciplinary action with respect to any of its employees as may be necessary.
- Q. Federal, State, and Local Reporting Compliance: The firm shall provide such financial and programmatic information as required by the City of Spartanburg to comply with all Federal, State and local law reporting requirements.
- R. Nondiscrimination: The firm agrees that it will abide by Federal, State and Local Laws, and City ordinances incorporated by reference herein.
- S. Section 3 Clause: Every applicant, recipient, contracting party, contractor, and subcontractor shall incorporate or cause to be incorporated a "Section 3 Clause" in all contracts for work in connection with a Section 3 covered development, if applicable. All proposals must also include a Compliance Plan to include submittal of reports applicable to Section 3 requirements, if applicable.
- T. Notices: All written notices required to be given by either party under the terms of the contract(s) resulting from the contract award shall be addressed to the firm at their legal business residence as given in the contract. Written notices to the City of Spartanburg shall be addressed as provided in the contract.
- U. Cancellation: Irrespective of any default hereunder the City of Spartanburg may also at any time, at its discretion, cancel the contract in whole or in part. In the event of cancellation, the Firm shall be entitled to receive equitable compensation for all work completed and accepted prior to such termination or cancellation as shall be indicated in the contract.
- V. Contract Documents: Written contract documents will be prepared by the City of Spartanburg. Modifications may be adopted based on final negotiations and specific requirements of the contract under this particular procurement or contract.

### Exhibit C Corporate / Company Resolution

#### A RESOLUTION

FOR THE PURPOSE OF AUTHORIZING _ CONTRACT WITH SPARTANBURG CITY		_ TO EXECUTE AN
WHEREAS,	will or has submitted a bid goods or services; and	/proposal to Spartanburg City
WHEREAS,	may be or has been award	
WHEREAS, Check the applicable box):  □ Sole Proprietorship  □ Partnership  □ Corporate entity (not tax-exempled):  □ Government entity (Federal, States):  □ Other	ot) ate or Local)	:
NOW THEREFORE BE IT RESOLVED that body) of does hereby		
Individual) to execute a contract with Spartanbu		
ADOPTED AND APPROVED this day	of, 20	
NAME OF O	RGANIZATION	]
	Ву:	(signature)
		(printed name)
	Title:	

#### Exhibits D AFFIDAVIT OF NON-COLLUSION

I state that I am	(title) of	(name of
firm) and that I am authorized to make	ke this affidavit on behalf of my firm, and it	s owners, directors, and officers. I
am the person responsible in my firm	n for the price(s) and the amount of this Offe	er.
I state that:		
	f this Offer have been arrived at <b>indeper</b> t with any other Proposer or potential Propo	
amount of this Offer, have be	the amount of this Offer, and neither the ap en disclosed to any other firm or person who d before Solicitation opening.	
(3) No attempt has been made of	or will be made to induce any firm or perser higher than this Offer, or to submit any in	
(4) The Offer of my firm is mainducement from, any firm of	ade in good faith and not pursuant to any r person to submit a complementary or othe	r noncompetitive Offer.
directors and employees are the last four years been conv jurisdiction, involving consp described in the attached app	not currently under investigation by any govicted of or found liable for any act prohibitracy or collusion with respect to bidding endix.	ited by State or Federal law in any on any public contract, except as
I state that		rm) understands and acknowledges
in awarding the contract(s) for misstatement in this affidavit	s are material and important, and will be rel or which this Offer is submitted. I understant is and shall be treated as fraudulent concealer e submission of Offers for this contract.	nd and my firm understands that any
(Authorized Signature)		_
(Name of Company/Pos	sition)	_
Sworn to and subscribed	l before me this day of	, 20
	Notary	
My Commis	sion Expires:	

#### Exhibit G GOOD FAITH DOCCUMENTATION MUST ACCOMPANY THE BID DOCUMENT

City of Spartanburg, hereby, notifies all proposers that it will affirmatively ensure that all disadvantaged and women's business enterprises will be afforded full opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of gender, race, color, or national origin in consideration for an award. Each proposer shall attest that they engaged in good faith efforts in an endeavor to achieve the City's M/WBE goal of 10%.

Any questions or any assistance please contact Mrs. Natasha Pitts.

Contact Information Phone 864-596-3449

Email npitts@cityofspartanburg.org

## INTENT TO PERFORM CONTRACT WITH OWN WORKFORCE

I HERBY CERTIFY THAT IT IS OUR INTENT TO PERFORM 100% OF THE WORK REQUIRED FOR THE ABOVE PROJECT. IN MAKING THIS CERTIFICATION, THE BIDDER STATES THAT THE BIDDER DOES NOT CUSTOMARILY SUBCONTRACT ELEMENTS OF THIS TYPE OF PROJECT, AND NORMALLY PERFORMS AND HAS THE CAPACITY TO PERFORM AND WILL PERFORM ALL ELEMENTS OF THE WORK PROJECT WITH HIS/HER OWN CURRENT WORK FORCES; AND IF THE BIDDER DOES NOT PERFORM 100% OF THE WORK REQUIRED, THE BIDDER WILL PROVIDE A LIST OF SUBCONTRACTORS

THE BIDDER AGREES TO PROVIDE ANY INFORMATION OR DOCUMENTATION TO THE CITY OF SPARTANBURG IN SUPPORT OF THE ABOVE STATEMENT.

COMMITMENTS HEREIN SET FORTH. THE UNDERSIGNED HEREBY CERTIFIES THAT HE OR SHE HAS READ THIS DOCUMENTATION AND IS AUTHORIZED TO BIND THE BIDDER TO THE

These documents are a part of this solicitation and contract. You are required to fill out this information. verification by the Minority & Women Business Enterprise Program Coordinator and that submission of said information is an assertion of its accuracy. properly apprised of the upcoming City of Spartanburg project. Bidders/Responders are advised that the information contained herein is subject to The listing of an MWBE shall constitute a representation by the bidder/responder to City of Spartanburg that such MWBE has been contacted and

I certify that the above information is true to the best of my knowledge:

Date: Subscribed and sworn to before me this Title: Signature: **Notary Signature** day of 20

THIS DOCUMENT MUST BE PROVIDED WITH THE SUBMITTAL AND SIGNED BY THE PERSON SIGNING THE SUBMITTAL

Notary Seal

# MWBE Good Faith Effort Participation Commitment Contract

This form should be filled out completely and *included in your bid document*. This form should also be accompanied by an executed Letter of Intent from each Sub-Contractor firm listed in this form. You may use additional sheets if necessary.

DATE:
PROJECT NAME: ADDRESS:
CONTACT PERSON: EMAIL:
TELEPHONE: ( ) FAX: ( )

## MWBE SUBCONTRACTORS

COMPANY

CLASS

CITY, STATE

CONTACT

MBE-B		To	Tota				1	PHONE
<b>3E-B -</b> African American <b>MBE-S -</b> Asian American <b>MBE-H -</b> Hispa American <b>WBE -</b> American Woman <b>MBE N/A -</b> Native American	MWBE CLASSIFICATION  MBE-B - African American MBE-S - Asian American MBE-H - Hispanic  American WBE - American MBE-N/A - Nation American  American WBE - American MBE-N/A - Nation American	Total Contract Amount	Total MWBE Participation					TYPE OF WORK TO BE PERFORMED
Asian American ME an MBE N/A - Nativ		\$	\$	\$	\$	\$	₩.	SUBCONTRACT AMOUNT
8E-H - Hispanic e American			%	%	%	%	%	% OF WORK

## NON-MWBE SUBCONTRACTORS

₩.	\$	\$ \$	\$ \$	AMOUNT	TYPE OF WORK TO BE SUBCONTRACT % OF WORK
Total Contract Amount	Total Non-MWBE Participation			PERFORMED	TYPE OF WORK TO BE
Tot	Total N				PHONE
					CONTACT
				, ,	CITY STATE
				CLASS	MWRF
					COMPANY