

#### INVITATION TO BID (Formal)

Natalie Erb, Director of Finance 100 North Main Street, Courthouse, Rooms 214 and 218 Clinton, Tennessee 37716 (865) 457-6251 Submit all questions to: <u>purchasing@andersontn.org</u> (865) 457-6252 Fax

Bid No.: 4765

#### Date Issued: APRIL 11, 2017

Bids will be received until 2:30 p.m. Eastern Time on May 4, 2017

Sealed bids subject to the <u>General Terms and Conditions</u> of this Formal Invitation to Bid, and any other data attached or incorporated by reference. Bids will be received in the Office of the Anderson County Purchasing Agent until the date and time specified above, and at that time publicly opened and read aloud.

THE ANDERSON COUNTY PURCHASING AGENT RESERVES THE RIGHT TO WAIVE ANY INFORMALITIES IN OR TO REJECT ANY OR ALL BIDS AND TO ACCEPT THE BID DEEMED FAVORABLE TO THE BEST INTEREST OF ANDERSON COUNTY.

Natalie Erb, Director of Finance

Services or Supplies

STONE, CRUSHER RUN, OIL CHIPS & ROCK – Anderson County Highway Department, Anderson County, TN

For the period of July 1, 2017 through June 30, 2018

All materials must be in conformance with Tennessee Department of Transportation specifications. Materials will be ordered as needed.

#### All items must be same as or equivalent to

It is our intent to request a five (5) year contract.

If your bid varies from the specifications make a full explanation. A two-week written notice must be given to the Anderson County Purchasing Agent prior to any price increase.

#### All vendors must submit 1 original and 1 copy, including brochures, of their bid.

Contact Purchasing in writing with any questions. Refer to General Terms and Conditions Section 1.2.

### BID #4765 STONE, CRUSHER RUN, OIL CHIPS & ROCK SPECIFICATIONS

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Description	Price per t	ton: FOB Plant
Base Grade D	\$	
No. 7 Oil Chips	\$	
No. 6 Oil Chips	\$	
2" to 4" Field Drain Rock	\$	
Red Flag Stone	\$	
Shot Quarry Rock 4" to 8"	\$	
Shot Rock 36" to Dust	\$	
Shot Rock, Graded	\$	
Graded Rip Rap 6" to 12"	\$	
Graded Rip Rap 12" to 24"	\$	
3/8" Clean Chips	\$	
3/8" Washed Chips	\$	
#67 <sup>3</sup> / <sub>4</sub> " Rock	\$	
#57 Stone	\$	
Crusher Run	\$	
Plant Location		
Minimum Delivery		
Delivery Charge		per ton mile
Company Name	Signature	Date
Address/City/State/Zip	Bid Representa	tive (please print)
() Phone Number	()Fax N	umber

#### BID NUMBER: 4765

#### BID TITLE: Stone, Crusher Run, Oil Chips & Rock

#### BID ENVELOPE SUBMISSION INSTRUCTIONS:

Bids <u>MUST</u> be received in a sealed envelope/package with the bid number, company name and opening date clearly marked. Failure to comply may result in rejection of the entire bid. Anderson County will not be responsible for any lost or misdirected mail. Late bids, e-mailed bids and faxed bids will not be considered nor returned. It is the sole responsibility of the bidder to ensure their bid reach the Purchasing Department. If the bid is not delivered to the <u>correct location by the correct time it is not considered</u>.

Please note that Anderson County Government does not receive a guaranteed delivery time for express mail and/or packages. PLEASE MAIL ACCORDINGLY.

#### ANDERSON COUNTY PURCHASING DEPARTMENT 100 NORTH MAIN STREET, SUITES 214 AND 218 CLINTON, TN 37716

Email: <u>purchasing@andersontn.org</u> Website: <u>http://andersontn.org/purchasing</u>

> (865) 457-6218 Phone (865) 457-6252 Fax

# Bid documents must be completed in ink or typed, signed in ink, and free from alterations, erasures or mark-throughs.

#### SECTION 1 - GENERAL TERMS AND CONDITIONS

**1.1** <u>ALTERATIONS OR AMENDMENTS:</u> Alterations, amendments, changes, modifications or additions to this solicitation shall not be binding on Anderson County without prior written approval.

**1.2** <u>NO CONTACT POLICY:</u> After vendor receives a copy of this bid, any contact initiated by any vendor with any Anderson County representative, other than the Purchasing Department, concerning this invitation for bid is prohibited and agreements made thereto will not be considered binding on Anderson County. Any such unauthorized contact may cause the disqualification of the bidder from this procurement transaction.

**1.3** <u>QUESTIONS</u>: Pursuant to TCA §12-4-113, questions regarding the specifications or bid procedures must be received by the Purchasing Agent and/or designer no less than ninety-six (96) hours before the bid opening date. No addenda within less than forty-eight (48) hours of the bid opening date shall be permitted. Any questions concerning the bid document must be submitted to <u>purchasing@andersontn.org</u> no less than ninety-six (96) hours before bid opening date.

**1.4** <u>BID CLOCK:</u> The bid/time clock in the Anderson County Purchasing office will be the time of record.
 **1.5** <u>TAXES</u>: Anderson County is not liable for Federal excise or State sales tax. Tax exemption certificates will be provided upon request.

**1.6** <u>CONFLICT OF INTEREST</u>: If requested by the Purchasing Agent, vendors must complete and submit a "Conflict of Interest Affidavit Statement" prior to contract award, see T.C.A. 5-14-114 and T. C. A. 12-4-101.

1.7 <u>NON-COLLUSION</u>: Vendors, by submitting a signed bid, certify that the accompanying bid is not the result of, or affected by, any unlawful act of collusion with any other person or company engaged in the

same line of business or commerce, or any other fraudulent act punishable under Tennessee or United States law.

**1.8** <u>NON-DISCRIMINATION</u>: Vendors, during the performance of this contract, will not discriminate against any employee or applicant for employment because of race, religion, sex, national origin or disability except where religion, sex, national origin or disability is a bona fide occupational qualification reasonably necessary to the normal operation of the contractor.

**1.9 SAME AS OR EQUIVALENT TO:** Vendors are to bid as specified herein or propose an approved equal. Determination of equality is solely Anderson County's responsibility. The designated brands are for reference purpose only, not a statement of preference. When an alternate manufacturer, brand, model or make is bid, Anderson County will determine if the item bid does meet or exceed the items as specified. If the bidder does not indicate that an alternate manufacturer, brand, model or make is being bid, it is understood that the item(s) bid are the same manufacturer, brand, model or make as was requested in the Invitation to Bid. Comparable products of other manufacturers will be considered if proof of comparability is contained in the bid. It shall be the responsibility of the vendors, including vendors whose product is referenced to furnish upon request catalog pages, brochures or other data as will provide an adequate basis for determining the quality and functional capabilities of the product offered. Failure to provide this data may be considered valid justification for rejection of bid.

**1.10** <u>MULTIPLE BIDS/AWARDS:</u> Anderson County will consider multiple bids that meet specifications. Bid awards may include multiple approved vendors and the list of approved vendors may be added to or deleted from at any time.

**1.11 STATE OF TENNESSEE CONTRACTORS' LICENSE LAW (T.C.A. 62-6-119) b):** Bids for which the total cost of the project is <u>twenty-five thousand dollars (\$25,000) or more</u>, the outside of the sealed bid envelope/package containing the bid provides the following information: the Company Name, the Contractor's license number, license classification, the date of the license expiration and that part of each license classification applying to the bid. In addition, each heating ventilation or air conditioning, plumbing and electrical subcontractor's license number, date of the license expiration and that part of each classification applying to the bid if the value of the work is \$25,000 or greater, must be notated. If the value of either the contractor or the subcontractor's work is less than \$25,000, the bid envelope/package containing the bid is to be notated with the phrase "Contractor or Subcontractor's Bid is Less than \$25,000" after each appropriate heading. In the case of joint ventures, each party submitting the bid must provide this information. If no subcontractors are being used, the outside of the envelope/package containing the bid must state, "No Subcontractors are being used on this project."

**1.12** <u>ACCEPTANCE:</u> Vendors shall hold their price firm and subject to acceptance by Anderson County for a minimum period of sixty (60) working days from the date of the bid opening, unless otherwise indicated in their bid. Any or all bids may be rejected for good cause.

**1.13** <u>**BID AWARDS:**</u> Bids will be awarded to the lowest and best bidder, taking into consideration the qualities of the articles to be supplied, their conformity with specifications and their suitability to the requirements of Anderson County and the delivery terms. Anderson County also reserves the right to not award this bid.

**1.14 PROTEST:** Any vendor wishing to protest the bid award shall notify in writing the Anderson County Purchasing Agent and the County Law Director, 101 S. Main Street, Suite 310, Clinton, TN 37716. No protest will be accepted, except those protests made in writing and received within (10) ten calendar days of the bid award. Protests must be in writing and envelopes/package containing protest must be clearly marked with bid number and words "BID PROTEST". The Purchasing Agent, in conjunction with the Purchasing Commission, and with the advice and counsel of the County Law Director, shall review and make a final decision as to any bid protest. Appeals shall be filed in the Circuit or Chancery Courts of Anderson County within sixty (60) days of the final decision.

VENDORS PLEASE NOTE: ANDERSON COUNTY WILL NOT STOP THE PURCHASE PROCESS. THE PURCHASE MAY BE COMPLETED OR THE PROJECT MAY BE RE-BID WHILE THE PROTEST

#### PROCEDURE IS STILL IN OPERATION. IF A RE-BID IS MADE, THE PROTESTING VENDOR SHOULD

#### SUBMIT A NEW BID. OTHERWISE, THEY WILL BE WITHOUT A BID ON THE RE-BID. FURTHER, THE RE-BIDDING WILL NOT END THE APPEALS PROCESS. IT WILL CONTINUE UNTIL A FINAL DECISION IS REACHED OR THE COMPLAINANT WITHDRAWS THE APPEAL.

**1.15** <u>DELIVERY:</u> Bid pricing is to include complete supply and delivery to Anderson County, Tennessee. Vendors are to state the delivery time in the bid. Anderson County requires that vendors deliver all products "free on board" to final destination unless indicated otherwise in their bid, reference Terms & Conditions section 2.4.

**1.16 PROOF OF FINANCIAL AND BUSINESS CAPABILITY:** Bidders must, upon the request of Anderson County, provide satisfactory evidence of their ability to furnish products or services in accordance with the terms and conditions of these specifications. Anderson County will make the final determination as to the bidder's ability.

**1.17** <u>VENDOR'S DEFAULT:</u> Anderson County reserves the right, in case of vendor default, to procure the articles or services from other sources and hold the defaulting vendor responsible for any excess costs occasioned thereby.

**1.18** <u>DUPLICATE COPIES</u>: Anderson County requires that all vendors submit one original and two exact copies of their bids, including brochures; unless additional copies are requested in bid specifications.

**1.19 DRUG-FREE WORKPLACE:** Under the provisions of Tennessee Code Annotated §50-9-113 enacted by the General Assembly effective 2001, all employers with five (5) or more employees who contract with either the state or a local government to provide construction services are required to submit an affidavit stating that they have a drug free workplace program that complies with Title 50, Chapter 9, in effect at the time of submission of a bid at least to the extent required of governmental entities. The statute imposes other requirements on the contractor and contractors should consult private legal counsel if legal questions arise under this section or any other provision of this document. All contractors shall provide a written affidavit signed by the principal officer of a covered employer acknowledging that the contracting entity is in compliance with the Drug Free Workplace laws of State of Tennessee.

**1.20 RESTRICTIVE OR AMBIGUOUS SPECIFICATIONS:** It is the responsibility of the bidder to review the entire Invitation to Bid document and to notify the Purchasing Department if the Invitation to Bid is formulated in a manner that would unnecessarily restrict competition or if it is ambiguous in what is being requested. The Purchasing Agent must receive questions regarding the specifications or bid procedures not less than seventy-two (72) hours prior to the time set for the bid opening.

**1.21** <u>SCHOOL CAFETERIA BIDS:</u> If this bid is for Anderson County School's Cafeteria Food Service Department, bidders must be in compliance with Section 104(d) of the William F. Goodling Child Nutrition Reauthorization Act of 1998 which requires school and institutions participating in the National School Lunch Program (NSLP) and School Breakfast Program (SBP) to "Buy American" to the maximum extent practicable.

**1.22** <u>**TERMINATION:**</u> Anderson County reserves the right to terminate this contract in whole or in part with thirty (30) days written notification to the contractor. In the event of termination, the County shall not be liable for any costs other than the cost of services performed and materials delivered and accepted prior to termination date.

**1.23** <u>OSHA SAFETY</u>: The Contractor is responsible for training their employees in Safety and Health Regulations for the job, assuring compliance with Tennessee Occupational Safety and Health regulations and any other Regulatory Agency.

**1.24** <u>PERFORMANCE BOND</u>: A standard surety or performance bond or an irrevocable letter of credit in favor of Anderson County Government at a federally insured financial institution will be required to be submitted with bid, if indicated in section four, item six insurance requirement checklist.

**1.25** <u>BACKGROUND CHECKS:</u> Contractors shall comply with Public Chapter 587 of 2007, as codified in Tennessee Code Annotated Section 49-5-413, which requires all contractors to facilitate a criminal history records check conducted by the Tennessee Bureau of Investigation and the Federal Bureau of Investigation for each employee prior to permitting the employee to have contact with students or enter school grounds when students are present.

**1.26** <u>AWARD RESULTS:</u> As soon as practicable after proposal or bid evaluations, Anderson County shall post the award decision to Vendor Registry at <u>www.vendorregistry.com</u>. Individual notices are normally not mailed or e-mailed except to the successful vendor.

**1.27** <u>PRICE INCREASE/DELIVERY CHARGES:</u> Request for price or delivery charge increases must be received in writing 30-days prior to implementation. The Anderson County Purchasing Agent will review requests and make a determination to continue or cancel services.

**1.28** <u>INDEMNIFICATION/HOLD HARMLESS</u>: Contractor shall indemnify, defend, save and hold harmless Anderson County and, its officers, agents and employees from all suits, claims, actions or damages of any nature brought because of, arising out of, or due to breach of the agreement by Contractor, its subcontractors, suppliers, agents, or employees or due to any negligent act or occurrence or any omission or commission of Contractor, its subcontractors, suppliers, agents, suppliers, agents or employees.

**1.29 DECLARATIVE STATEMENT:** Any statement or words (i.e.: must, shall, will, etc.) are declarative statements and the proposer must comply with the condition. Failure to comply with any such condition may result in their bid being non-responsive and disqualified.

**1.30** <u>WAIVING OF INFORMALITIES</u>: Anderson County reserves the right to waive minor informalities or technicalities when it is in the best interest of Anderson County.

**1.31** <u>APPROPRIATION:</u> In the event no funds are appropriated by Anderson County for the goods or services in any fiscal year or insufficient funds exist to purchase the goods or services, then the Contract shall expire upon the expenditure of previously appropriated funds or the end of the current fiscal year, whichever occurs first, with no further obligations owed to or by either party.

**1.32** <u>ASSIGNMENT:</u> Contractor shall not assign or sub-contract this agreement, its obligations or rights hereunder to any party, company, partnership, incorporation or person without the prior written specific consent of Anderson County.

1.33 **QUANTITIES:** Anderson County does not guarantee quantities to be purchased off this bid.

**1.34** <u>UNIT PRICE:</u> Unit price for each unit bid shall be shown and such price shall include packing unless otherwise specified. A total shall be entered in the amount column of the pricing page for each item bid. In case of discrepancy between any unit price and an extended price, the unit price will be presumed to be correct, subject, however, to correction to the same extent and in the same manner as any other mistake.

**1.35** <u>MODIFICATION OR WITHDRAWAL OF BIDS</u>: When it is certain that a mistake has been made in the preparation of the bid, a request will be made to the bidder to confirm the bid. Provisions must be made so that mistakes can be taken care of and the ambiguity resolved satisfactorily. Bids may be modified or withdrawn by written notice received in the Purchasing Department prior to the time and date set for the bid opening. The changes or withdrawal of the bids should be in writing and signed by an official of the company. The envelope containing the modification should clearly state "modification to bid." Either the entire bid or a particular item may be withdrawn or modified in this manner.

**1.36** <u>PRE-BID CONFERENCES</u>: Attendance at Pre-bid Conference is strongly encouraged. When deemed necessary a Mandatory Pre-bid Conference will be held. A company representative MUST be in attendance and sign the Pre-bid sign-in sheet in order to be awarded the bid.

1.37 <u>ADDENDUM</u>: § T.C.A. 12-14-113 Anderson County Government reserves the right to amend this solicitation by addendum. Addendum will be posted to the website <u>www.andersontn.org/purchasing</u> up to

48 hours in advance of the bid/proposals due date and time. It is the bidder's responsibility to check the website for addendum. If in the County's opinion revisions are of such a magnitude, the deadline for this solicitation may be extended in an addendum. In addition, addendum can change specifications, reply sheets, and times and dates for pre-bid meetings as well as due dates/deadlines for questions and bids/proposals.

**1.38 PROPOSALS:** All proposals, once received, become property of Anderson County Government and will not be returned.

**1.39** WEATHER AND COURTHOUSE CLOSINGS: In the event of a situation severe enough to necessitate the closing of Anderson County Government offices, bidders/proposers will receive notification of the new date and time upon re-opening of county government offices. No bids will be opened until the rescheduled date for bid opening and all bidders/proposers whose submissions meet the extended deadline will be given equal consideration at that time. Anderson County shall not be liable for any commercial carrier's decision regarding deliveries during inclement weather.

**1.40 IRAN DIVESTMENT ACT OF 2014:** Pursuant to the Iran Divestment Act of 2014, Tenn. Code Ann. § 12-12-106 requires the State of Tennessee Chief Procurement Officer to publish, using creditable information freely available to the public, a list of persons it determines engage in investment activities in Iran, as described in § 12-12-105. Inclusion on this list makes a person ineligible to contract with the City of Johnson City; if a person ceases its engagement in investment activities in Iran, it may be removed from the list. The State of Tennessee list is available here: http://tennessee.gov/generalservices/article/Public-Information-library.

#### BID NUMBER: 4765 BID TITLE: Stone, Crusher Run, Oil Chips & Rock

#### SECTIONS: 2, 3, AND 4 OF THIS FORM ARE TO BE SUBMITTED/RETURNED WITH YOUR BID AS PART OF THE CONTRACT BID DOCUMENTATION.

SEC	TION 2 - BID INFORMATION	SECTION 3 - VENDOR INFORMATION
2.1	I acknowledge the receipt of: (Please write "Yes" if you received)	Vendor Name
	Addenda 1 Addenda 2 Addenda 3 Addenda 4	Vendor Address
2.2	Please list and include in your bid packet all warranty information concerning length and coverage below ("Standard Mfg. Warranty" is unacceptable):	City State Zip
2.3	Guaranteed days until delivery after receipt of purchase order:	Telephone Number
2.4	Additional Shipping, Freight or Handling Fees \$Total. (To be added to bid.)	Contact Person (Please Print)
2.5	If bid varies from the specifications, make full explanation.	E-Mail Address
2.6	Payment terms shall be%days. Offers of less than 20 days will not be considered in bid evaluation. Anderson County's normal payment terms of Net 30 will be adopted if no other terms are	Taxpayer Identification Number, Social Security or Employer Identification Number:
2.7	quoted and accepted. Bid Pricing will remain stable days	What is the major service or product your company provides?
2.8	from bid opening. Bidding on "all or none" basis Bidding on "low item" basis (Please check one)	(Explain/Describe)
2.9	Will you honor additional purchases at this same price? Yes No	
2.10	Cooperative Purchasing Agreement: Vendors are to indicate if it is permissible for other governmental agencies in the State of Tennessee to purchase these items or services at the same price. Freight charges may be adjusted to reflect differences in	The undersigned agrees if bid is accepted, to furnish all license information.
	delivery costs to other locations.	Authorizing Signature:
	indicate vendor's approval of the Cooperative asing AgreementYesNo	(Please sign original in blue ink)
	of Tennessee Business License Number: e #	I agree to abide by all Terms and Conditions of this Invitation to Bid and certify that I am authorized to sign
item 1.1	of Tennessee Contractors' License Number:: <u>(See</u> <u>11)</u> e #	this bid for the vendor. Failure to include any information mentioned in the bid or to comply with these bid instructions may result in rejection of your entire bid. Signing the Bid Form affirms that the original Invitation for Bid document has not been altered in any way.

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#### BID NUMBER: 4765 BID TITLE: Stone, Crusher Run, Oil Chips & Rock

#### SECTION 4 - INSURANCE REQUIREMENT CHECKLIST

The bidder awarded this bid or contract will maintain, at their expense adequate insurance coverage to protect them from claims arising under the Worker's Compensation Act, any and all claims for bodily injury and property damage to the Bidder and to Anderson County Government while delivery and service are being done. A certificate of insurance <u>must</u> be on file in the Purchasing Department before work may begin and must be maintained until work is completed. **Only the items marked with an "X" are applicable to this bid and or contract.** 

1.	$\boxtimes$	Workers Compensation Employers Liability	Statutory limits 100,000/100,000/500,000
2.	$\boxtimes$	Commercial General Liability	\$1,000,000 per occurrence \$2,000,000 aggregate
		<ul> <li>Occurrence Form Only</li> <li>Include Premises Liability</li> <li>Include Contractual</li> <li>Include XCU</li> <li>Include Products and Completed Operation</li> <li>Include Personal Injury</li> <li>Include Independent Contractors</li> <li>Include Vendors Liability</li> <li>Include Professional or E&amp;O Liability</li> </ul>	
3.		Business Auto         Include Garage Liability         Include Garage Keepers Liability         Copy of Valid Driver's License         Copy of Current Motor Vehicle Record         Copy of Current Auto Liability Declaration	\$1,000,000 \$1,000,000
4.		Crime Coverages Employee Dishonesty Employee Dishonesty Bond	
5.		Property Coverages         Builders Risk         Inland Marine         Transportation	

6. Performance Bond Required – A <u>One Hundred Percent (100%)</u> performance or an irrevocable letter of credit in favor of Anderson County Government at a federally insured financial institution. This <u>MUST</u> be submitted before purchase order issued.

**Certificate Holder Shall Be**: Anderson County Government, Clinton, Tennessee, and shall show the bid number and title. Anderson County Government shall be named as an additional insured on all policies except worker's compensation and auto. Insurance carrier ratings shall have a Best's rating of A-VII or better, or its equivalent. Cancellation clause on certificate should strike out "endeavor to" and include a 30-day notice of cancellation where applicable. Any deviations from the above requirements <u>must</u> be disclosed to the Anderson County Purchasing Agent. Any liability deductibles or exclusions must also be disclosed. Exceptions can be granted if applicable.

#### **Bidders Statement and Certification**

I understand the insurance requirements of these specifications and will comply in full within <u>21 (twenty-one) calendar days</u> if awarded this bid and or contract. I agree to furnish the county with proof of insurance for the entire term of the bid and or contract.

Vendor's Name

Authorized Signature

#### DRUG-FREE WORKPLACE AFFIDAVIT

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

The undersigned, principal officer of	, an
employer of five (5) or more employees contracting with	County
Government to provide construction services, hereby states under oath as f	follows:

- 2. The Company submits this Affidavit pursuant to T.C.A. 50-9-113, which requires each employer with no less than five (5) employees receiving pay who contracts with the state or any local government to provide construction services to submit an affidavit stating that such employer has a drug-free workplace program that complies with Title 50, Chapter 9 of the *Tennessee Code Annotated*.
- 3. The Company is compliance with T.C.A. 50-9-113

Further affiant saith not.

Principal Officer

STATE OF\_\_\_\_\_

COUNTY OF\_\_\_\_\_

Before me personally appeared\_\_\_\_\_\_, with whom I am personally acquainted (or proved to me on the basis of satisfactory evidence), and who acknowledged that such person executed the foregoing affidavit for the purpose therein contained.

Witness my	hand and seal office this _	day of	,
20			

Notary Public

My commission expires: \_\_\_\_\_, 20\_\_\_\_.

## Non-Collusion Affidavit

# Attachment #1

- This Non-Collusion Affidavit is material to any contract awarded pursuant to this bid.
- This Non-Collusion Affidavit must be executed by the member, officer, or employee of the bidder who makes the final decision on prices and the amount quoted in the bid.
- Bid rigging and other efforts to restrain competition and the making of false sworn statements in connection
  with the submission of bids are unlawful and may be subject to criminal prosecution. The person who signs
  the affidavit should examine it carefully before signing and assure himself or herself that such statement is
  true and accurate, making diligent inquiry, as necessary, of all other persons employed by or associated with
  the bidder with responsibilities for the preparation, approval, or submission of the bid.
- In the case of a bid submitted by a joint venture, each party to the venture must be identified in the bid documents, and an affidavit must be submitted separately on behalf of each party.
- The term "complementary bid" as used in the affidavit has the meaning commonly associated with that term in the bidding process and includes the knowing submission of bids higher than the bid of another firm, an intentionally high or noncompetitive bid, and any other form of bid submitted for the purpose of giving a false appearance of competition.
- Failure to file an affidavit in compliance with these instructions may result in disqualification of the bid.

#### Non-Collusion Affidavit

STATE OF \_\_\_\_\_\_

COUNTY OF \_\_\_\_\_

I state that I am (Title) \_\_\_\_\_\_ of (Name of My Firm) \_\_\_\_\_ and that I am authorized to make this affidavit on behalf of my firm and its owners, directors, and officers. I am the person responsible in my firm to the price(s) and the amount of this bid.

I STATE THAT:

- The price(s) and amount of this bid have been arrived at independently and without consultation, communication, or agreement with any other contractor, bidder, or potential bidder.
- Neither the price(s) nor the amount of this bid and neither the approximate price(s) nor approximate amount
  of this bid, have been disclosed to any other firm or person who is a bidder or potential bidder, and they will
  not be disclosed before bid opening.
- No attempt has been made or will be made to induce any firm or person to refrain from bidding on this
  contract, or to submit a bid higher than this bid, or to submit any intentionally high or noncompetitive bid or
  other form of complementary bid.
- The bid of my firm is made in good faith and not pursuant to any agreement or discussion with, or inducement from, any firm or person to submit a complementary or other noncompetitive bid.
- (Name of My Firm) \_\_\_\_\_\_\_, its affiliates, subsidiaries, officers, directors, and employees are not currently under investigation by any governmental agency and have not in the last three years been convicted or found liable for any act prohibited by State of Federal law in any jurisdiction involving conspiracy or collusion with respect to bidding on any public contract, except as follows:

I state that (Name of My Firm) \_\_\_\_\_\_\_ understands and acknowledges that the above representation are material and important and will be relied on by <u>Anderson County</u> in awarding the contract(s) for which this bid is submitted. I understand and my firm understands that any misstatement in this affidavit is and shall be treated as fraudulent concealment from <u>Anderson County</u> of the true facts relating to submission of bids for this contract.

Representative's Signature		Title
Sworn to and subscribed before me this	day of	,,
Notary Public	My commission ex	xpires:



#### Definitions for Determining Minority, Women And Small-Owned Firms

The guidelines for determining minority, women and small-owned firms are defined as follows:

"MINORITY" means a person who is a citizen or lawful permanent resident of the United States and who is:

- Black (a person having origins in any of the black racial groups of Africa);
- Hispanic (a person of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race);
- Asian American (a person having origins in any of the original peoples of the Far East, Southeast
- o Asia, the Indian subcontinent, or the Pacific Islands); or
- American Indian and Alaskan Native (a person having origins in any of the original peoples of North America).

#### "MINORITY BUSINESS ENTERPRISE" shall mean a minority business:

A continuing, independent, for profit business which performs a commercially useful function, and is at least 51 percent owned and controlled by one or more minority individuals; or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned and controlled by one or more minorities. Whose management and daily business operations are controlled by one or more of minority individuals. "Control" as used in the above clause, means exercising the power to make policy decision. "Operate," as used in the above clause, means being actively involved in the day-to-day management of the business.

#### "WOMEN BUSINESS ENTERPRISE" shall mean a woman owned business:

A continuing, independent, for profit business which performs a commercially useful function, and which is at least 51 percent owned and controlled by one or more women; or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned and controlled by one or more women. Whose management and daily business operations are controlled by one or more of such individuals. "Control" as used in the above clause, means exercising the power to make policy decision. "Operate," as used in the above clause, means being actively involved in the day-to-day management of the business.

# **DIVERSITY BUSINESS INFORMATION** ANDERSON COUNTY GOVERNMENT

NOTE: This form is to be submitted only by those who qualify. Bidders do not have to be a minority business to be considered.

#### **IMPORTANT! NOTARY AND COPY OF CERTIFICATION REQUIRED**

**SECTION 6 – DIVERSITY INFORMATION** 

'n

Type of Company: (Check One)
() Corporation () Partnership () Limited Liability () Sole Proprietor
s your company 51% Owned or Operated by a Minority Group? Yes No
f yes, check the ethnic category and indicate % of ownership:
<ul> <li>American Indian/Alaskan Native%</li> <li>African American%</li> <li>Hispanic%</li> <li>Asian/Pacific Islander%</li> <li>Other%(please indicate)</li> </ul>
Please name the entity of certification:
Please provide a copy of certification letter or certificate.
, HEREBY CERTIFY THAT THE ABOVE INFORMATION IS TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.
Signature:OFFICER OF THE COMPANY
Name: Title:
NOTARY ACKNOWLEDGEMENT:
STATE OF)
COUNTY OF)
ON,20, BEFORE ME,,
PERSONALLY APPEARED, PERSONALLY KNOWN TO ME (OR PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE) TO BE THE PERSON(S) WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE/SHE/ THEY EXECUTED THE SAME IN HIS/HER/THEIR AUTHORIZED CAPACITY(IES), AND THAT BY HIS/HER/THEIR SIGNATURE(S) ON THE INSTRUMENT THE PERSON(S), OR THE ENTITY UPON BEHALF OF WHICH THE PERSON (S) ACTED, EXECUTED THE INSTRUMENT.
WITNESS MY HAND AND OFFICIAL SEAL.
SIGNATURE OF NOTARY:
PRINTED FULL NAME OF NOTARY:
MY COMMISION EXPIRES:

#### Certifying Agencies

Revised 11/09/15

Tennessee Department of Transportation Small Business Development Program Suite 1800, James K. Polk Building 505 Deaderick Street Nashville, TN 37243-0347 (888)370-3647 (615)741-3681 www.tdot.state.tn.us/civil%2Drights/smallbusiness/ Memphis Area Transit Authority 1370 Levee Road Memphis, TN 38108-1011 (901)722-7138 www.matatransit.com

Chattanooga Area Regional Transportation Authority 1617 Wilcox Blvd. Chattanooga, TN 37406 (423)629-1411 www.carta-bus.org Nashville Metropolitan Transit Authority 130 Nestor Street Nashville, TN 37210 (615)862-5969 www.nashvillemta.org

If you wish to be considered for ACDBE certification, you will need to complete the Airport Concession DBE certification application package, which can be accessed at:

Memphis/Shelby County Airport Authority 2491 Winchester Road, Suite 113 Memphis, TN 38116 (901)922-8000 <u>www.mscaa.com</u> Metropolitan Nashville Airport Authority One Terminal Drive, Suite 501 Nashville, TN 37214-4114 (615)275-1620 www.flynashville.com

Chattanooga Metropolitan Airport Authority 1001 Airport Road, Suite 14 Chattanooga, TN 37421 (423)855-2202 www.chattairport.com Metropolitan Knoxville Airport Authority P.O. Box 15600 Knoxville, TN 37901-5600 (865)342-3062 www.flyknoxville.com

The following member agencies can be accessed for your information:

Smyrna Airport Authority – (615)459-2651 <u>www.smyrnaairport.com</u> Tri Cities Airport Authority – (423)325-6044 <u>www.triflight.com</u>

Jackson Airport Authority – (731)423-0995 www.mklairport.com

Jackson Transit Authority - (731)423-020 www.ridejta.com

Clarksville Transit System – (932)553-2430 www.cityofclarksville.com

Greater Nashville Regional Transportation Authority – (615)862-8869 www.gnrc.org

Knoxville Area Transit – (865)215-7830 www.ci.knoxville.tn.us/kat/

Johnson City Transit – (423)434-6269 www.johnsoncitytransit.org