

County of Georgetown, South Carolina

129 Screven Street, Suite 239 · Georgetown, SC 29440-3641 Post Office Box 421270, Georgetown, SC 29442-4200 (843)545-3083 · Fax (843)545-3500 · <u>purch@gtcounty.org</u>

## **REQUEST FOR PROPOSALS**

**BID NUMBER:** 20-019

**ISSUE DATE:** Wednesday, March 18, 2020

**OPENING DATE: Wednesday, April 8, 2020** 

**OPENING TIME: 3:00 PM (ET)** 

Pre-Bid Site Inspection and/or Conference: [None]

**PROCUREMENT FOR:**Forest ManagementCommodity Code(s):94784

Subject to the conditions, provisions and the enclosed specifications, sealed bids will be received at the location and time stated herein and will be publicly opened and read.

## MAILING ADDRESS:

County of Georgetown Post Office Drawer 421270 Georgetown SC 29442-4200 Attn: Purchasing

#### **STREET ADDRESS:**

Georgetown County Courthouse 129 Screven Street, Suite 239 Georgetown SC 29440-3641 Attn: Purchasing

**IMPORTANT COVID-19 NOTICE:** Until further notice all bids **MUST BE** submitted electronically through the Purchasing Department's Vendor Registry webpage. Please click on the following link <a href="http://www.georgetowncountysc.org/purchasing/default.html">http://www.georgetowncountysc.org/purchasing/default.html</a> for instructions on how to submit bids electronically through this system. As always, emailed/faxed bids will not be accepted. Your bid must be submitted electronically through Georgetown County's Purchasing Vendor Registry page to ensure it remains sealed until the scheduled bid opening date and time.

Any scheduled bid openings will still be opened at the designated date and time as listed in the bid document or related addendum. However, due to the office closure to the public at the time of this bid issuance, these bid openings will not be open to the public, but will be accompanied by at least one witness. As usual, following the bid opening, bid tabulation results will be posted online for the public's viewing.

| <b>Purchasing Contacts:</b> | Nancy Silver         | Pamela Bassetti        |
|-----------------------------|----------------------|------------------------|
| Phone                       | (843)545-3076        | (843)545-3082          |
| Fax:                        | (843)545-3500        | (843)545-3500          |
| E-mail:                     | nsilver@gtCounty.org | pbassetti@gtCounty.org |

This solicitation does not commit Georgetown County to award a contract, to pay any cost incurred in the preparation of the bid, or to procure or contract for goods or services. It is the responsibility of each bidder to see that they submit their bids on or before, the date and time specified for the bid opening. No bid will be accepted thereafter. Georgetown County reserves the right to reject any or all bids and to waive any informalities and technicalities in the bid process.



# **Intent to Respond**

## REF: RFP #20-019, Forest Management

If your company intends to respond to this solicitation, please complete and promptly return this form to assure that you can be included on the mailing list to receive all addenda regarding this project.

It is not necessary to return any other portion of the bid documents if you are not bidding.

Failure to return the Intent to Respond shall not be sufficient cause to rule a submittal as non-responsive; nor does the return of the form obligate an interested party to submit a response. Georgetown County's efforts to directly provide interested parties with addenda or additional information are provided as a courtesy only, and do not alleviate the respondent from their obligation to verify they have received and considered all addenda. All addenda are published and available on the county website at www.gtcounty.org select "Bid Opportunities" under Quick Links, then click on the "View Current Bid Solicitations" link.

 $\Box$  Our firm <u>does</u> intend on responding to this solicitation.

Our firm <u>does not</u> intend on responding to this solicitation.

| Company Name:                            |
|------------------------------------------|
| Address:                                 |
|                                          |
| Contact Person:                          |
|                                          |
| Telephone:                               |
| FAX:                                     |
| E-Mail:                                  |
| How did you hear about this opportunity? |
| Reason if <u>not</u> responding:         |

#### Please return this completed form to Nancy Silver, Purchasing Officer

- by e-mail to purch@gtcounty.org
- or by FAX to (843)545-3500.

[End of Intent to Respond]

**Time Line: Request for Proposals #20-019** 

| This Line. Request for Troposals #20 012   |                           |           |            |
|--------------------------------------------|---------------------------|-----------|------------|
| Item                                       | Date                      | Time      | Location*  |
| Advertised Date of Issue:                  | Wednesday, March 18, 2020 | n/a       | n/a        |
| <b>Pre-Bid Conference/Site Inspection:</b> | [None]                    | n/a       | n/a        |
| <b>Deadline for Questions:</b>             | Wednesday, April 1, 2020  | 3:00PM ET | n/a        |
| Bids Must be Received on/or Before:        | Wednesday, April 8, 2020  | 3:00PM ET | Electronic |
| *Bid Opening & Tabulation:                 | Wednesday, April 8, 2020  | 3:00PM ET | Electronic |

\*Due to the current COVID-19 situation, County offices at the time of this bid posting remain open but are closed to the public. However, bid openings may occur from an alternate secure and/or remote location as needed.

# RFP # 20-019 Forest Management

Georgetown County, SC is soliciting proposals from firms having specific experience and qualifications in forest management.

## I. <u>BACKGROUND</u>

The Georgetown County Economic Development Department (GCEDD) manages two different industrial parks which hold pines of varying degrees of development. The Georgetown County Business Park in Andrews has roughly 450 acres of various aged stands of pine. The County also recently acquired 933 acres of property along Pennyroyal Road. This property has been used exclusively for growing pine for more than 50 years. This tract also has various stands of differing ages.

In addition, the Georgetown County Parks & Recreation Department has need of a forest management plan for the following area(s): 8 Oaks Plantation (unimproved portion) located at 6610 Highmarket St., Georgetown, SC 29440, TMS #02-1008-006-00-00. Approximately 317 acres of unimproved land/forest(s). Unimproved land is adjacent to approximately 70 acres of improved area. All improved areas are located along/adjacent to highway 521. Improvements include 6 field baseball/softball complex and a maintenance facility with several buildings.

See *Attachment A* for maps of the areas as indicated above which are in immediate need of these services. Additional tracts of County owned property may be added to this contract. This contract may be extended and used by different County departments as needed.

## II. <u>SCOPE OF SERVICES:</u>

The County would like to engage a forest management consultant to assist in the following:

- 1. Timber harvest plan 10 year
- 2. Timber harvest schedule 10 year
- 3. Timber stand mapping
- 4. Total harvest gross revenue projections 10 year
- 5. Estimation of all expenses related directly to harvesting, preparing and replanting
- 6. Recommendation of best practice

## III. <u>GUARANTEE:</u>

The Contractor shall provide a written guarantee that timber harvesting shall be done to standards set by the South Carolina Forest Commission Laws (SCFC Laws) and laws governed by Georgetown County. The guarantee shall include a written statement and insurance that indemnifies the County in the event of any lawsuit or violation of the laws that govern timber harvesting and hauling at no cost to the County.

## IV. PROPOSAL SUBMITTAL REQUIREMENTS:

## A. <u>General</u>

Offeror shall provide one (1) unbound, reproducible original RFP submittal clearly marked and labeled on the outermost container with the firm's name, the bid number, and the name of the bid. The RFP response must be complete, clear and concise, not to exceed fifty (50) 8<sup>1</sup>/<sub>2</sub>" x 11" pages (may be fewer) and numbered at the bottom right hand corner of the page. The submittal shall use a minimum 12-point Times New Roman font and shall be printed one-sided with no tabs or dividers. The submittal shall be concise, well organized and demonstrate an understanding of the Scope of Services. The County's Mandatory Bid Submission Forms included herein will not count towards the page limitation.

## B. <u>Content</u>

Proposals submitted in response to this RFP shall be in the following order and shall include:

## 1. <u>Cover Letter</u>

Include a 1 page cover letter with the following items:

- a) Legal name and address of company
- b) Legal form of company (partnership, corporation, joint venture, etc.). If joint venture, identify the members of the joint venture and provide all information required within this section for each member.
- c) Name, title, email, address and telephone number of the person to contact concerning submittal questions.
- d) If different from item c) above, name, title, email, address and telephone number of the person(s) able to sign contracts & bind the RFP submittal.
- 2. Experience & References

Describe your company's background and history, including number of years in business and the scope of service currently provided to clients. Describe your company's experience in completing similar forest management services. Proposer shall list at least three (3) projects of a similar nature within the last five years. Provide at least three (3) references listing names of client, contact name, telephone number, email address, the type of work performed, and the value of the contract.

Describe your company's experience working with Government agencies such as Local, State, and Federal agencies and your firm's familiarity with the local, state, and federal laws regarding the work.

The Consultant shall describe the involvement it has established for maintaining communication with clients.

- 3. Key Personnel
  - a) Provide a discussion of your company's staffing plan and level of personnel to be involved, their qualifications, experience, resumes, roles, and the name of the individual who will be in charge and responsible for coordination with the County. Provide the names of the persons who will assist in performing the Work including a description of qualifications, skills, and their responsibilities in this project.
  - b) List your closest office in proximity to Georgetown County. Identify proposed subconsultants (if any) that will be retained to perform any items of work. Provide a list of the tasks, responsibilities, and qualifications of any sub-consultants proposed to be used.

The County's evaluation of the proposal will consider consultant's entire team. Once proposed, no changes in the team composition will be allowed without prior written approval of the County.

- c) Bidder shall disclose any current adverse business circumstances, including litigation that might adversely affect this project.
- 4. <u>Methodology</u>

Outline your company's basic technical procedures and managerial approaches to accomplish the Work required by this contract. Describe your present workload capacity and your ability to meet the needs of the County. Include any limitations you would foresee in your firm's ability to handle the Work, or work capacity limitations and how you might overcome them. Demonstrate your firm's ability to provide adequate project efficiency. Provide a proposed timeline of the work and a brief logging plan. This section should also include the part III. Guarantee as indicated above, followed by all mandatory bid submittal forms.

#### 5. <u>Cost/Revenue Proposal</u>

Provide an itemized schedule of fees for services, including as applicable:

- a) Forest management planning costs
- b) Timber harvest planning, layout and administration costs (including a complete list of services provided)
- c) Timber harvest operational/ implementation of costs (if any)
- d) Timber harvest estimated gross revenues
- e) Net project revenue/cost

Upon receipt by the County, each submittal will become the property of the County, without compensation to the offeror, for disposition or usage by the County at its discretion. The County reserves

the right to reject any and all submittals and is not bound to accept any submittal if it is contrary to the best interest of the County. The particulars of any submittal will remain confidential until a contract is signed with the successful offeror.

## VI. EVALUATION & AWARD:

An evaluation committee will be selected and approved by the County Administrator. The committee will review all responses and evaluate in detail those offerors whose qualifications and services appear to best meet the requirements of the County based on the below provided matrix. The evaluation committee may then choose to shortlist and conduct interviews with the most highly qualified offeror(s). The County will then begin negotiations with the highest ranked proposer. If a satisfactory contract cannot be agreed upon, negotiations may be conducted, with the second highest ranked proposer, and so on and so forth until a satisfactory negotiation can be made.

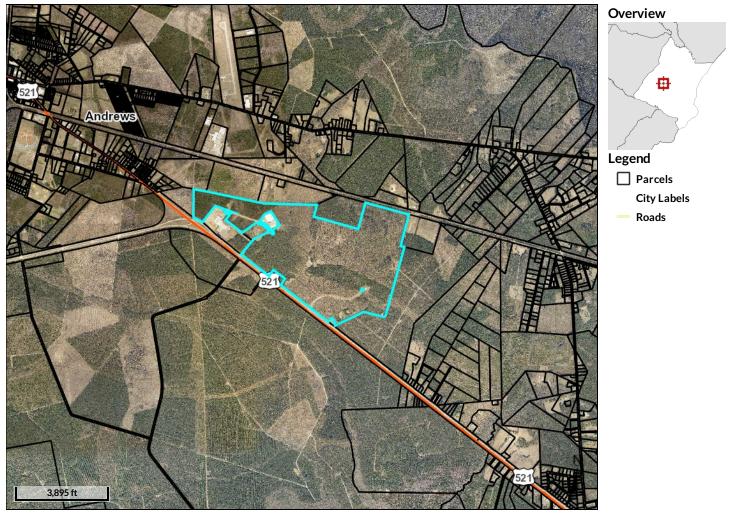
Once a final determination has been made, a notice of intent to award letter will be mailed out to all respondents. The County of Georgetown is not required to furnish a statement of the reason(s) why a proposal was not deemed to be the most advantageous.

|           |                                                                                                                                                                                                                                                                                                                                                   | Maximum<br>Points |
|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
| А.        | <ul> <li><u>Experience &amp; References</u>:</li> <li>1. Firms experience of work of similar scope &amp; services.</li> <li>2. Past projects and client references.</li> </ul>                                                                                                                                                                    | <u>25 points</u>  |
| B.        | <ul> <li><u>Key Personnel</u>:</li> <li>1. Resumes of key personnel showing experience, education, and any certification/licensure.</li> <li>2. Staff's background in undertaking similar types of work.</li> <li>3. Firm's proximity to Georgetown County and if applicable, review of any sub-consultants and/or local firms listed.</li> </ul> | 25 points         |
| C.        | <ol> <li>Methodology:</li> <li>Technical procedures and approaches to accomplish the work.</li> <li>Firm's present workload capacity and ability to meet the Count</li> <li>Firm's honesty regarding limitations and how they would over</li> <li>Adequate staffing of firm &amp; efficiency of firm.</li> </ol>                                  | -                 |
| E.        | Cost/Revenue Proposal:<br>1. As itemized in section IV.B.5.                                                                                                                                                                                                                                                                                       | 25 points         |
| Maximum T | <b>Cotal Points</b>                                                                                                                                                                                                                                                                                                                               | 100 points        |

**<u>Ranking of Criteria</u>** Consultants will be evaluated based on the following criteria:

# ATTACHMENT A

# **qPublic.net**<sup>™</sup> Georgetown County, SC



| Parcel ID | 02-0416-035-06-<br>00 | Mailing<br>Address | GEORGETOWN<br>COUNTY      | Land Value<br>Improvement | \$355,300<br>\$0 | Last 2 Sales<br>Date | Price | Reason | Qual |
|-----------|-----------------------|--------------------|---------------------------|---------------------------|------------------|----------------------|-------|--------|------|
| Taxing    | Dist 2                |                    | P O BOX 421270            | Value                     |                  | 10/11/2011           | \$10  | OTHER  | U    |
| District  |                       |                    | GEORGETOWN, SC            | Accessory Value           | \$0              | 4/16/2010            | \$10  | OTHER  | U    |
| Acres     | 541.93                |                    | 29442                     | Total Value               | \$355,300        |                      |       |        |      |
|           |                       | Physical           | 4808 GEORGETOWN           |                           |                  |                      |       |        |      |
|           |                       | Address            | HWY                       |                           |                  |                      |       |        |      |
|           |                       |                    | Andrews                   |                           |                  |                      |       |        |      |
| Brief     | US HWY 52             | 21; SLD 724-10; Pl | T 2529-225;SLD 769-2;C SL | .D 769-2; SLD 769-5; I    | PLT 2753-        |                      |       |        |      |

Tax Description

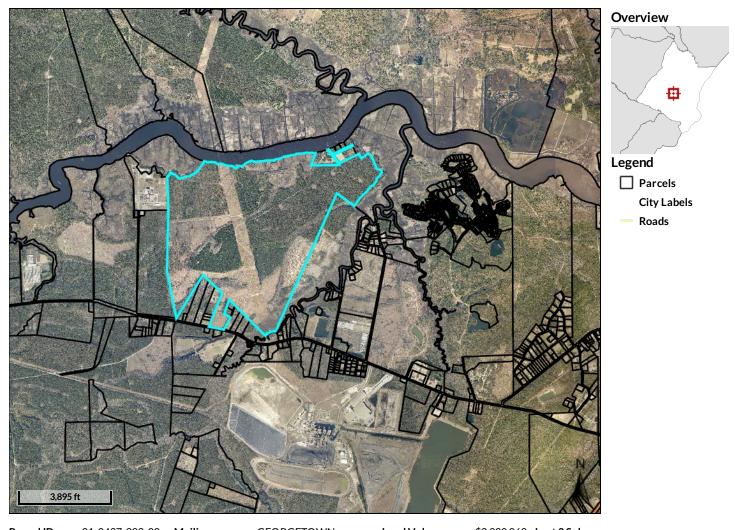
US HWY 521; SLD 724-10; PLT 2529-225; SLD 769-2; C SLD 769-2; SLD 769-5; PLT 2 309; SLD 801-5

(Note: Not to be used on legal documents)

Date created: 3/9/2020 Last Data Uploaded: 3/9/2020 6:14:36 AM



# **qPublic.net**<sup>™</sup> Georgetown County, SC



| Parcel ID | 01-0437-002-00- | Mailing          | GEORGETOWN        |
|-----------|-----------------|------------------|-------------------|
|           | 00              | Address          | COUNTY            |
| Taxing    | Dist 1          |                  | 716 PRINCE STREET |
| District  |                 |                  | GEORGETOWN, SC    |
| Acres     | 933.5           |                  | 29440             |
|           |                 | Physical         | PENNYROYAL RD     |
|           |                 | Address          | Georgetown        |
| Brief     | ST HWY 42       | 22-116 22- SLD 8 | 805-4             |

| Land Value      | \$2,920,060 | Last 2 Sales | S         |        |      |
|-----------------|-------------|--------------|-----------|--------|------|
| Improvement     | \$O         | Date         | Price     | Reason | Qual |
| Value           |             | 12/5/2019    | \$3734000 | VALID  | Q    |
| Accessory Value | \$O         | 12/8/2009    | \$10      | VALID  | U    |
| Total Value     | \$2,920,060 |              |           |        |      |
| Total Value     | \$2,920,060 |              |           |        |      |

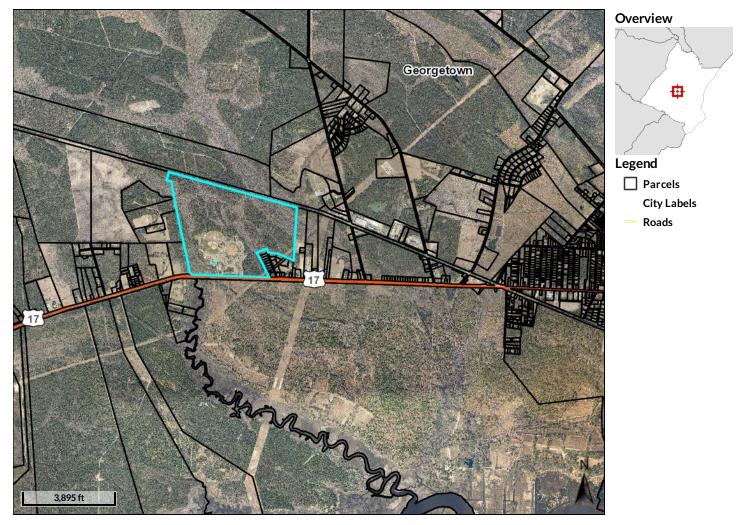
**Tax Description** 

(Note: Not to be used on legal documents)

Date created: 3/9/2020 Last Data Uploaded: 3/9/2020 6:14:36 AM



# **QPublic.net**<sup>™</sup> Georgetown County, SC



Parcel ID 02-1008-Mailing 006-00-00 Address Taxing Dist 2 District 381.7 Physical Acres Address **GEORGETOWN COUNTY** SOUTH CAROLINA PO BOX 421270 GEORGETOWN, SC 29440 6610 HIGHMARKET ST Georgetown

\$397,000 Land Value Improvement \$735,500 Value \$837,600 Accessory Value Total Value \$1,970,100 1/1/1901 0

Last 2 Sales Date Price Reason 7/22/2009 \$1550000 What sold does

Qual U not match appraisal record What sold does U not match appraisal record

Brief **Tax Description** 

EIGHT OAKS PLANTATION - for CIP; PLT 1877-58 \*\*County Park\*\*

(Note: Not to be used on legal documents)

Date created: 3/10/2020 Last Data Uploaded: 3/10/2020 6:18:25 AM

Developed by ch<u>neide</u>r



# These are general instructions and conditions that accompany each bid package. If more specific instructions are given in the individual bid package, those instructions should prevail.

## 1. Submission of Questions

Questions must be submitted in writing via electronic mail, facsimile or postal mail to the Issuing Officer no later than the "Deadline for Questions" cutoff identified in the Bid Timeline on page three (3) in order to generate an official answer. All written questions will receive an official written response from the Georgetown County Purchasing Office (GCPO) and will become addenda to the solicitation.

GCPO reserves the right to reject or deny any requests made by the Consultant.

Impromptu, unwritten questions are permitted and verbal answers may be provided, but are only intended as general direction and will not represent the official GCPO position. The only official position of GCPO is that which is stated in writing and issued in the solicitation as addenda thereto.

No other means of communication, whether oral or written, shall be construed as a formal or official response/statement and may not be relied upon. SEND QUESTIONS TO:

Nancy Silver, Purchasing Officer Post Office Box 421270, Georgetown, SC 29442-4200 Fax: (843) 545-3500 Email: <u>nsilver@gtcounty.org</u>

2. Written sealed Request for Proposals to provide <u>Forest Management</u> shall be received in the Purchasing Office, Second Floor, Suite 239, 129 Screven Street, Georgetown, SC until the cut-off time shown in the bid timeline on page three (3) of this document. Bids will then be publicly and promptly opened at the designated time by the Purchasing Officer. Bids that are not in the Purchasing Officer's possession prior to the stated opening date and time will be considered <u>NON RESPONSIVE</u> and returned unopened. An official authorized to bind the offer must sign all proposals submitted.

## 3. <u>Inclement Weather/Closure of County Courthouse</u> Due to the current COVID-19 situation, County offices at the time of this bid posting remain open but are closed to the public. However, bid openings may occur from an alternate secure and/or remote location as needed.

4. This solicitation does not commit Georgetown County to award a contract, to pay any cost incurred in the preparation of the bid, or to procure or contract for goods or services. It is the responsibility of each bidder to see that the Georgetown County Purchasing Office receives bids on, or before, the date and time specified for the bid opening. No bid will be accepted thereafter. The County assumes no responsibility for delivery of bids that are mailed. Georgetown County reserves the right to reject any or all bids and to waive any informalities and technicalities in the bid process.

#### 5. NON EXCLUSIVITY

Nothing herein is intended nor shall be construed as creating any exclusive arrangement with Contractor. Any resulting contract shall not restrict the County from acquiring similar, equal or like

goods and/or services from other entities or sources, when Staff determines internally that this resulting action is in the best interest of Georgetown County.

6. One (1) unbound, reproducible ORIGINAL of your proposal must be submitted in a sealed envelope and clearly marked on the outermost container as follows:

## OFFEROR'S NAME BID ITEM NAME BID NUMBER

- 7. <u>No Bidder may submit more than one bid</u>. Multiple bids for different manufacturers but represented by the same firm will not be accepted. Bids offered directly from manufacturers shall indicate if a local dealer/representative will be involved.
- 8. Definitions:
  - a) The terms "Consultant", "Company", "Firm", "Proposer", "Offeror", "Vendor" or "Bidder" refer to those parties who are submitting sealed responses for the work set forth in this document to the OWNER, as distinct from a sub-bidder who provides a bid to the Bidder.
  - b) The term "Forest Management", "Project" or "Services" refers to the **complete set of services** as specified in this document, in every aspect.
  - c) The terms "Owner" and "County" refer to the County of Georgetown, South Carolina.
  - d) Where the words "shall" or "must" are used, it signifies an absolute minimum function or capacity that, if not satisfied, may result in disqualification.
  - e) Where the words "should", "may", or "is desirable" are used, it signifies desirable, but not mandatory functions or capacities. Bidders who are able to provide these functions or capacities may be evaluated more favorably that those who cannot.

## 9. Correction or Withdrawal of Bids; Cancellation of Awards

An offeror must submit in writing a request to either correct or withdraw a bid to the Procurement Officer. Each written request must document the fact that the offeror's mistake is clearly an error that will cause him substantial loss.

- a) Correction of awards : An offeror shall not be permitted to correct a bid mistake after bid opening that would cause such offeror to have the low bid unless the mistake in the judgment of the Procurement Officer is clearly evident from examining the bid document; for example, extension of unit prices or errors in addition.
- b) Cancellation of awards prior to performance: When it is determined after an award has been issued but before performance has begun that Georgetown County's requirements for the goods or services have changed or have not been met, the award or contract may be canceled and either re-awarded or a new solicitation issued.

## 10. Faxed or E-mailed bids will not be accepted by Georgetown County. Electronic bid submissions must be submitted through the Georgetown County Purchasing Vendor Registry page to ensure they remain sealed until the scheduled bid opening date and time.

- 11. If you need any reasonable accommodation for any type of disability in order to participate in this procurement, please contact the purchasing office as soon as possible.
- 12. <u>Title VI of the Civil Rights Act of 1964</u>: Georgetown County hereby gives public notice that it is the policy of the agency to assure full compliance with Title VI of the Civil Rights Act of 1964, the Civil Rights Restoration Act of 1987, Executive Order 12898 on Environmental Justice, and related statutes and regulations in all programs and activities. Title VI requires that no person in the United States of America shall, on the grounds of race, color, or national origin, be excluded from the participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which Georgetown County receives federal financial assistance. Any person who believes they have been aggrieved by an unlawful discriminatory practice under Title VI has a right to file a formal complaint with Georgetown County. Any such complaint must be in writing and filed with Georgetown County's Title VI Coordinator within one hundred and eighty (180) days following the date of the alleged discriminatory occurrence. For more information, or to obtain a Title VI Discriminatory Complaint Form, please see our website at <a href="http://www.gtCounty.org/about/faqs.html">http://www.gtCounty.org/about/faqs.html</a>.
- 13. Any deviations from the specifications or modification of this bid and any extra or incidental work or reductions in work shall be set forth in writing and signed by both parties prior to making such change. Any increase or decrease in the bid price resulting from such change shall be included in writing.
- 14. <u>Exceptions</u>: The bidder shall list on a separate sheet of paper any variations from, or exceptions to, the conditions and specifications of this bid. This sheet shall be labeled "Exception(s) to Bid Conditions and Specifications," and shall be attached to the bid. When Proposers find instances where they must take exception with certain requirements or specifications of the bid, all exceptions shall be clearly identified. Written explanations shall include the scope of the exceptions, the ramifications of the exceptions for the County of Georgetown, and a description of the advantage to be gained or disadvantages to be incurred by the County as a result of these exceptions. If none, write "NONE".
- 15. Georgetown County reserves the right to reject any or all bids, and to waive as an informality any irregularities contained in any bid as may be deemed in the best interest of the County. Georgetown County further reserves the right to reject any bid submitted, at its sole option, that the vendor may not be able to meet the service requirements of the bid.
- 16. <u>Publicity releases</u>: contractor agrees not to refer to award of any resulting contract in commercial advertising in such a manner as to state or imply that the products or services provided are endorsed or preferred by the user.
- 17. <u>Material Safety Data Sheets</u>: The County of Georgetown will not receive any materials, products, or chemicals which may be hazardous to an employee's health unless accompanied by a Material Data Sheet when received.
- 18. Ownership of Copyright: All right, title and interest in all copyrightable materials which vendor shall create in the performance of its obligations hereunder shall be the property of the procurer. Vendor agrees to assign and hereby does assign any and all interest it has in and to such material to procurer. Vendor agrees, upon the request of procurer to execute all papers and perform all other such acts necessary to assist procurer to obtain and register copyrights on such materials. Where applicable, works of authorship created by the vendor in the performance of its obligations hereunder, shall be considered "works for hire" as defined in the U.S. Copyright Act.

- 19. <u>Ownership of Documents</u>: Any reports, studies, photographs, negatives or other documents prepared by vendor in the performance of its obligations shall be the exclusive property of the procurer and all such material shall be remitted to the procurer by the vendor upon completion, termination or cancellation of this order. Vendor shall not use, willingly allow or cause to have such material used for any purpose other than performance of its obligations under this order without the prior written consent of the procurer.
- 20. <u>Affirmative Action</u>: The contractor will take affirmative action in complying with all Federal and State requirements concerning fair employment and employment of the handicapped, and concerning the treatment of all employees, without regard or discrimination by reason of age, race, color, religion, sex, national origin or physical handicap. The following are incorporated herein by reference: 41 C.F.R. 60-1.4, 60-250.4 and 60-741.4.
- 21. Inclusion and participation of disadvantaged, small, and local business entities is strongly encouraged, but minimum participation standards are not in effect for this project.
- 22. All Construction Contracts Over \$2,000:
  - a) Davis-Bacon Requirements. These contracts need to include a provision for compliance with the Davis-Bacon Act (40 USC 276a to a—7) and the Department of Labor implementing regulations (29 CFR Part 5). Under this Act, Contractors are required to include the contract provisions in Section 5.5 (a) of 29 CFR Part 5, and to pay wages to laborers and mechanics at a rate not less than the minimum wages specified in the wage determination made by the Secretary of Labor. In addition, Contractors shall be required to pay wages not less than the minimum wages specified in the Secretary of Labor. In addition, Contractors shall be required to pay wages not less than the minimum wages often than once a week. Current Wage Determination for Georgetown County in South Carolina is available on-line at: <a href="http://www.wdol.gov/dba.aspx#14">http://www.wdol.gov/dba.aspx#14</a>
  - b) Contract Work Hours and Safety Standard Act Requirements. The contracts must include a provision for compliance with Sections 103 and 107 of the Contract Work Hours and Safety Standards Act (40 USC 327-330) as supplemented by the Department of Labor regulations (29 CFR Part 5). Under Section 103 of the Act, each Contractor shall be required to compute the wages of every mechanic and laborer on the basis of a standard workweek of 40 hours. Work in excess of the standard workweek is permissible provided that the worker is compensated at a rate not less than one times the basic rate of pay for all hours worked in excess of 40 hours in the workweek. Section 107 of the Act is applicable to construction work and provides that no laborer of mechanic shall be required to work in surroundings or under working conditions which are unsanitary, hazardous, or dangerous to health and safety as determined under construction, safety and health standards promulgated by the Secretary of Labor. These requirements do not apply to the purchases of supplies, materials, or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.
  - c) Copeland "Anti-Kickback" Act Requirements. All construction contracts over \$2,000.00 must include a provision for compliance with the Copeland "Anti-Kickback" Act (18 U.S.C. 874) as supplemented in Department of Labor regulations (29 CFR Part 3). This act provides that each Contractor shall be prohibited from inducing, by any means, persons employed in the construction, completion, or repaid of public work to give up any part of their compensation.
- 23. Bidders must clearly mark as "confidential" each part of their bid which they consider to be proprietary information that could be exempt from disclosure under section 30-4-40, Code of Laws of

South Carolina 1976, as amended (Freedom of Information Act). If any part is designated as confidential, there must be attached to that part an explanation of how this information fits within one or more categories listed in section 30-4-40. The County reserves the right to determine whether this information should be exempt from disclosure and no legal action may be brought against the County or its agents for its determination in this regard.

## 24. CERTIFICATION REGARDING DRUG-FREE WORKPLACE:

The contractor certifies that the vendor(s) will provide a "drug-free workplace" as that term is defined in Section 44-107-30 of the Code of Laws of South Carolina, 1976, as amended, by the complying with the requirements set forth in title 44, Chapter 107.

## 25. Certification of Non-Segregated Facilities

The federally-assisted construction contractor certifies that he does not maintain or provide, for his employees, any segregated facilities at any of his establishments and that he does not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. The federally-assisted construction contractor certifies that he will not maintain or provide, for his employees, segregated facilities at any of his establishments and that he will not permit his employees to perform their services at any location under his control where segregated facilities are maintained. The federally-assisted construction contractor certifies that he will not permit his employees to perform their services at any location under his control where segregated facilities are maintained. The federally-assisted construction contractor agrees that a breach of this certification is a violation of the Equal Opportunity Clause in this Contract.

As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms, and washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated on the basis of race, color, religion, or national origin because of habit, local custom, or any other reason. The federally assisted construction contractor agrees that (except where he has obtained identical certifications from proposed subcontractors for specific time periods) he will obtain identical certifications from proposed subcontractor s prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity Clause and that he will retain such certifications in his files.

26. Nothing herein is intended to exclude any responsible vendor, his product or service or in any way restrain or restrict competition. On the contrary, all responsible vendors are encouraged to bid and their bids are solicited.

## 27. Acknowledgement of Addenda

Each contractor is responsible to verify the number of total addenda issued prior to bid. **Failure to** acknowledge all addenda may disqualify the bidder. All addenda are posted by the County at the website located at <u>www.georgetownCountysc.org</u>, select "Purchasing" and "Current Bids". It is each proposer's responsibility to verify that all addenda have been received and acknowledged.

28. This Request for Proposals covers the estimated requirements to provide <u>Forest Management</u> for the Georgetown County <u>Economic Department</u>. The purpose is to establish a Contract with firm pricing and delivery. The right is reserved to extend the use of this contract to any County Department as needed.

## 29. TERMS OF AGREEMENT / RENEWAL

The County reserves the right to negotiate with the successful bidder, after contract award, for an additional discount.

## 30. PRICE ESCALATION/DE-ESCALATION:

Prices are to remain firm for the first contract period. In subsequent terms, the contractor may request, in writing at least sixty (60) days in advance of the contract ending date, an increase/decrease. Should the County elect to exercise the option to renew the contract for additional year(s), the contract prices for the additional years shall not exceed the percentage increase/decrease of the "Services" category of the CPI-W SECTION OF THE COMSUMER PRICE INDEX of the United States Bureau of Labor Statistics for the latest twelve month for which statistics are available. Should the price change be granted and the County elects to renew the contract, the purchase order will reflect the changes.

31. <u>Bids must be made on Proposal or Bid Form furnished or will be rejected.</u> Proposals shall be typewritten or written in ink on the form prepared by the County. The person signing the bid shall initial all corrections or erasures.

#### 32. Insurance

The successful bidder shall procure, maintain, and provide proof of, insurance coverage for injuries to persons and/or property damage as may arise from or in conjunction with, the work performed on behalf of the County by the bidder, his agents, representatives, employees or subcontractors. Proof of coverage as contained herein shall be submitted fifteen (15) days prior to the commencement of work and such coverage shall be maintained by the bidder for the duration of the contract period; for occurrence policies.

#### a. <u>General Liability</u>

Coverage shall be as broad as: Comprehensive General Liability endorsed to include Broad Form, Commercial General Liability form including Products/Completed Operations.

#### 1. <u>Minimum Limits</u>

General Liability: \$1,000,000 General Aggregate Limit \$1,000,000 Products & Completed Operations \$1,000,000 Personal and Advertising Injury \$1,000,000 Each Occurrence Limit \$50,000 Fire Damage Limit \$50,000 Medical Expense Limit

b. <u>Automobile Liability</u>

Coverage sufficient to cover all vehicles owned, used, or hired by the bidder, his agents, representatives, employees or subcontractors.

1. <u>Minimum Limits</u>

Automobile Liability:

\$1,000,000 Combined Single Limit \$1,000,000 Each Occurrence Limit \$5,000 Medical Expense Limit

c. Workers' Compensation

Limits as required by the Workers' Compensation Act of SC. Employers Liability, \$1,000,000.

d. <u>Owners' & Contractors' Protective Liability</u>

Policy will be in name of County. Minimum limits required are \$1,000,000.

## e. <u>Professional Liability (a/k/a Errors and Omissions)</u>

Minimum limits are \$1,000,000 per occurrence.

- f. <u>Coverage Provisions</u>
  - 1. All deductibles or self-insured retention shall appear on the certificate(s).
  - 2. The County of Georgetown, its officers/ officials, employees, agents and volunteers shall be added as "additional insured" as their interests may appear. This provision does not apply to Professional Liability or Workers' Compensation/Employers' Liability.
  - 3. The offeror's insurance shall be primary over any applicable insurance or selfinsurance maintained by the County.
  - 4. Shall provide 30 days written notice to the County before any cancellation, suspension, or void of coverage in whole or part, where such provision is reasonable.
  - 5. All coverage for subcontractors of the bidder shall be subject to all of the requirements stated herein.
  - 6. All deductibles or self-insured retention shall appear on the certificate(s) and shall be subject to approval by the County. At the option of the County, either; the insurer shall reduce or eliminate such deductible or self-insured retention; or the bidder shall be required to procure a bond guaranteeing payment of losses and related claims expenses.
  - 7. Failure to comply with any reporting provisions of the policy(s) shall not affect coverage provided the County, its officers/officials, agents, employees and volunteers.
  - 8. The insurer shall agree to waive all rights of subrogation against the County, its' officers/officials, agents, employees or volunteers for any act, omission or condition of premises which the parties may be held liable by reason of negligence.
  - 9. The bidder shall furnish the County certificates of insurance including endorsements affecting coverage. The certificates are to be signed by a person authorized by the insurance company(s) to bind coverage on its' behalf, if executed by a broker, notarized copy of authorization to bind, or certify coverage must be attached.
  - 10. All insurance shall be placed with insurers maintaining an A.M. Best rating of no less than an A:VII. If A.M. Best rating is less than A:VII, approval must be received from County's Risk Officer.

## 33. Workman's Compensation Coverage

Georgetown County, SC will require <u>each contractor and service Consultant</u> to maintain on file with the purchasing officer, a current Certificate of Insurance showing limits as required by the Workers' Compensation Act of SC: Employers Liability, \$1,000,000.

The law also recognizes "statutory employees." These are employees who work for a subcontractor who may be working for a business or another contractor. Employers should inquire whether or not a subcontractor working for them has workers' compensation insurance, regardless of the number of employees employed by the subcontractor. If the subcontractor does not, the subcontractor's injured employees would be covered under the employer's workers' compensation insurance. If the

subcontractor does not carry workers' compensation insurance, then the owner or the principal contractor would be liable just as if the subcontractor's employee was one of their employees.

For answers to additional questions, visit the SC Worker's Compensation Commission website, at:

#### http://www.wcc.sc.gov/Pages/FrequentlyAskedQuestions.aspx#emp1

#### 34. Hold Harmless Clause

The Contractor shall, during the term of the contract including any warranty period, indemnify, defend, and hold harmless the County, its officials, employees, agents, and representatives thereof from all suits, actions, or claims of any kind, including attorney's fees, brought on account of any personal injuries, damages, or violations of rights, sustained by any person or property in consequence of any neglect in safeguarding contract work or on account of any act or omission by the contractor or his employees, or from any claims or amounts arising from violation of any law, bylaw, ordinance, regulation or decree. The vendor agrees that this clause shall include claims involving infringement of patent or copyright.

#### 35. Condition of Items

All items shall be new, in first class condition, including containers suitable for shipment and storage, unless otherwise indicated herein. Verbal agreements to the contrary will not be recognized.

#### 36. Workmanship and Inspection

All work under this contract shall be performed in a skillful and workmanlike manner. The County may, in writing, require the Contractor to remove any employee from work that the County deems incompetent or careless.

Further, the County may, from time to time, make inspections of the work performed under this contract. Any inspection by the County does not relieve the Contractor from any responsibility regarding defects or other failures to meet the contract requirements.

#### 37. Invoicing and Payment

The firm shall submit invoices on a frequency to be determined, as agreed upon by the County, for each payment requested. Such invoice shall also include a detailed breakdown of all charges. All such invoices will be paid within thirty (30) days unless any items thereon are questioned, in which event payment will be withheld pending verification of the amount claimed and the validity of the claim. The firm shall provide complete cooperation during any such investigation. All invoices shall be forwarded to the following address:

County of Georgetown Accounts Payable P.O. Box 421270 Georgetown, SC 29442-4200

Individual contractors shall provide their social security numbers, and proprietorships, partnerships, and corporations shall provide their federal employer identification number on the pricing form.

#### 38. <u>South Carolina Sales Tax</u>

The County of Georgetown, SC is <u>not</u> exempt and pays the appropriate SC sales tax on all applicable purchases.

#### 39. Assignment of Contract

This contract may not be assigned in whole or part without the written consent of the Purchasing Officer.

## 40. Termination

Subject to the provisions below, the contract may be terminated by the County upon sixty (60) days advance written notice to the other party; but if any work or service hereunder is in progress, but not completed as of the date of termination, then this contract may be extended upon written approval of the County until said work or services are completed and accepted.

## a. <u>Termination for Convenience</u>

In the event that this contract is terminated or canceled upon request and for the convenience of the County, without the required sixty (60) days advance written notice, then the County shall negotiate reasonable termination costs, if applicable.

#### b. <u>Termination for Cause</u>

Termination by the County for cause, default or negligence on the part of the contractor shall be excluded from the foregoing provision; termination costs, if any, shall not apply. The sixty (60) days advance notice requirement is waived in the event of Termination for Cause.

#### c. <u>Non-Appropriation:</u>

It is understood and agreed by the parties that in the event funds are not appropriated in the current fiscal year or any subsequent fiscal years, this contract will become null and void and the County will only be required to pay for services completed to the satisfaction of the County.

#### 41. Default

In case of default by the contractor, for any reason whatsoever, the County may procure the goods or services from another source and hold the contractor responsible for any resulting excess cost and may seek other remedies under law

#### 42. Severability

In the event that any provision shall be adjudged or decreed to be invalid, such ruling shall not invalidate the entire Agreement but shall pertain only to the provision in question and the remaining provisions shall continue to be valid, binding and in full force and effect.

#### 43. Applicable Laws

This Agreement shall be governed by and construed in accordance with the laws of the State of South Carolina, U.S.A.

#### 44. Claims and Disputes:

All claims, disputes and other matters in question between parties arising out of, or relating to, this Agreement, or the breach thereof, shall be decided in the Circuit Court of the Fifteenth Judicial circuit in Georgetown County, South Carolina. By executing this Agreement, all parties specifically consent to venue and jurisdiction in Georgetown County, South Carolina and waive any right to contest jurisdiction and venue in said Court.

#### 45. Rights of County

The County reserves the right to reject all or any part of any bid, waive informalities and award the contract to the lowest responsive and responsible bidder to best serve the interest of the County.

#### 46. Notice of Award

A Notice of Intent to Award will be mailed to all respondents.

#### 47. Protest

Bidders may refer to Sections 2-67, 2-73, and 2-74 of Ordinance #2008-09, also known as the Georgetown County, South Carolina Purchasing Policy to determine their remedies concerning this competitive process. The failure to be awarded a bid shall not be valid grounds for protest.

## 48. Debarment

By submitting a bid, the offeror certifies to the best of its knowledge and belief, that it and its principals, sub-contractors and assigns are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal, State or local department or agency A copy of the County's debarment procedure in accordance with Section 2-68 of Ordinance #2008-09, also known as the Georgetown County, South Carolina Purchasing Policy is available upon request.

#### 49. Firm Pricing for County Acceptance

Bid price must be firm for County acceptance for 90 days from bid opening date. "Discount from list," bids are not acceptable unless specifically requested.

#### 50. Quotations to be F.O.B .: Destination

Quote F.O.B.: Destination for this competitive sealed bid. As an alternate, show exact cost for delivery.

## 51. Unit Prices and Extension

Bid unit price on quantity specified -- extend and show total. In case of errors in extension, unit prices shall govern. Bids subject to unlimited price increases will not be considered.

52. Use of Brand Names (If Appropriate)

Unless otherwise stated in an Invitation for Bid, the name of a certain brand, make or manufacturer does not restrict bidders to the specific brand, make or manufacturer named; it conveys the general style, type, character, and quality of the article desired, and any article which the County in its sole discretion determines to be the equal of that specified, considering quality, workmanship, economy of operation, and suitability for the purpose intended, shall be accepted. Any catalog, brand name or manufacturer's reference used in bid invitation is descriptive - NOT restrictive - it is to indicate type and quality desired. Bids on brands of like nature and quality will be considered. If bidding on other than reference or specifications, bid must show manufacturer, brand or trade name, catalog number, etc. of article offered. If other than brand(s) specified is offered, illustrations and complete description must be submitted with bid. Samples may be required. If bidder makes no other bid and takes no exception to specifications or reference data, he will be required to furnish brand names, numbers, etc., as specified. Bidders must certify that item(s) bid upon meet and/or exceed specifications.

#### 53. Delivery After Receipt of Order (ARO)

Bid must show the number of days required to place material in using agency's receiving room under normal conditions. Failure to state delivery time obligates bidder to complete delivery in fourteen (14) calendar days. Unrealistically short or long delivery promised may cause bid to be disregarded. Consistent failure to meet delivery promises without valid reason may cause removal from bid list. Delivery shall be made during normal working hours only, 9 to 5, unless prior approval has been obtained from the County.

#### 54. Permits

The successful Offeror must be responsible for obtaining all necessary city, County, and state permits/licenses and must comply with all local codes and ordinances. Copies of such permits/licenses shall be made available to the County upon request. Building contractors working within Georgetown County must also secure a Contractor's License from the Building Department. Work within the

Georgetown City Limits may require a City Business License. For additional information, please review the "Forms and Fees" section of the Building and Planning web page at the link below: http://www.georgetownCountysc.org/building/default.html

55. Environmental Management:

Vendor/Supplier/Contractor will be responsible for complying with all federal, state and local environmental regulations relating to transportation, handling, storage, spillage and any other aspect of providing the services specified herein, as applicable.

## 56. Bid Tabulation Results

Vendors wishing to view the bid tabulation results may visit the Georgetown County, SC web-site at: <u>http://www.georgetownCountysc.org</u>. Select "Bid Opportunities" from the Quick Links box, then "Bids Under Review" and double click the link under the individual bid listing.

- 57. The Bidder hereby certifies that he or she has carefully examined all of the Documents for the project, has carefully and thoroughly reviewed this Request for Bid/Quotation, has inspected the location of the project (if applicable), and understands the nature and scope of the work to be done; and that this Bid is based upon the terms, specifications, requirements, and conditions of the Request for Bid/Documents. The Bidder further agrees that the performance time specified is a reasonable time, having carefully considered the nature and scope of the project as aforesaid.
- 58. Any attempt by the vendor to influence the opinion of County Staff or County Council by discussion, promotion, advertising, misrepresentation of the submittal or purchasing process or any procedure to promote their offer will constitute a violation of the vendor submittal conditions and will cause the vendor's submittal to be declared null and void.
- 59. Apparent omission of a detailed description concerning any point, shall be regarded as meaning the best commercial practice is to prevail and that only material and workmanship of the finest quality are to be used.
- 60. Response Clarification

Georgetown County reserves the right to request additional written or oral information from Bidders in order to obtain clarification of their Responses.

61. Due to this project being federally funded, the County's Local Vendor Preference Option will not be used during this solicitation.

#### 62. Vendor Checklist

The items indicated below must be returned as a part of the Bid Submission package:

- □ RFP Submittal [50-pages maximum]
- □ IRS Form W-9
- □ Residence Certification for Local Preference
- □ Mandatory Bid Submittal Form
- □ Mandatory Exceptions Page

The successful proposer will be required to provide a Certificate of Insurance naming Georgetown County, SC as an additional insured. This must be on file with the Procurement Department prior to any services being performed and must be on file within fifteen (15) days of written notification of award.

[THE REMAINDER OF THIS PAGE IS INTENTIONALLY LEFT BLANK.]

#### MANDATORY RFP SUBMISSION FORM



## **Request for Taxpayer** Identification Number and Certification

► Go to www.irs.gov/FormW9 for instructions and the latest information.

|                                             | 2 Business name/disregarded entity name, if different from above                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                         |  |  |
|---------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|
| Print or type.<br>c Instructions on page 3. | following seven boxes.         Individual/sole proprietor or       C Corporation       S Corporation       Partnership         Individual/sole proprietor       Individual/sole propriate box       Individual/sole propriate box       Individual/sole propriate box         Note:       Check the appropriate box in the line above for the tax classification of the single-member unless the owner of the LLC is       Individual/sole propriate box | Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) Exemption from FATCA reporting code (if any) |  |  |
| P<br>Specific                               | is disregarded from the owner should check the appropriate box for the tax classification of its owner.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                         |  |  |
| ě                                           | Other (see instructions) ►                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | (Applies to accounts maintained outside the U.S.)                                                                                                                       |  |  |
| See <b>Sp</b>                               | 5 Address (number, street, and apt. or suite no.) See instructions. Requester's name an                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | nd address (optional)                                                                                                                                                   |  |  |
| ŭ                                           | 6 City, state, and ZIP code                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                         |  |  |
|                                             | 7 List account number(s) here (optional)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                         |  |  |
|                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                         |  |  |
| Par                                         | Taxpayer Identification Number (TIN)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                         |  |  |

| Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid      | Social security number         |
|-----------------------------------------------------------------------------------------------------------|--------------------------------|
| backup withholding. For individuals, this is generally your social security number (SSN). However, for a  |                                |
| resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other |                                |
| entities, it is your employer identification number (EIN). If you do not have a number, see How to get a  |                                |
| TIN, later.                                                                                               | or                             |
| Note: If the account is in more than one name, see the instructions for line 1. Also see What Name and    | Employer identification number |
| Number To Give the Requester for guidelines on whose number to enter.                                     |                                |
|                                                                                                           |                                |

#### Certification Part II

Under penalties of perjury, I certify that:

- 1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- 3. I am a U.S. citizen or other U.S. person (defined below); and
- 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

| Sign | Signature of  |
|------|---------------|
| Here | U.S. person > |

## **General Instructions**

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

## **Purpose of Form**

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

• Form 1099-INT (interest earned or paid)

• Form 1099-DIV (dividends, including those from stocks or mutual

Date 🕨

- funds) Form 1099-MISC (various types of income, prizes, awards, or gross
- proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest),
- 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property) Use Form W-9 only if you are a U.S. person (including a resident

alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),

2. Certify that you are not subject to backup withholding, or

3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and

4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting*, later, for further information.

**Note:** If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

• An individual who is a U.S. citizen or U.S. resident alien;

• A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;

• An estate (other than a foreign estate); or

• A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States.

• In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity;

• In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust; and

• In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

**Foreign person.** If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Pub. 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items.

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.

2. The treaty article addressing the income.

3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.

 $\ensuremath{\mathsf{4.}}$  The type and amount of income that qualifies for the exemption from tax.

5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

**Example.** Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

## **Backup Withholding**

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 24% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

#### Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester,

2. You do not certify your TIN when required (see the instructions for Part II for details),

3. The IRS tells the requester that you furnished an incorrect TIN,

4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or

5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code*, later, and the separate Instructions for the Requester of Form W-9 for more information.

Also see Special rules for partnerships, earlier.

## What is FATCA Reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code*, later, and the Instructions for the Requester of Form W-9 for more information.

## **Updating Your Information**

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account; for example, if the grantor of a grantor trust dies.

#### **Penalties**

**Failure to furnish TIN.** If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

**Civil penalty for false information with respect to withholding.** If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

**Criminal penalty for falsifying information.** Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

**Misuse of TINs.** If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

# **Specific Instructions**

#### Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account (other than an account maintained by a foreign financial institution (FFI)), list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9. If you are providing Form W-9 to an FFI to document a joint account, each holder of the account that is a U.S. person must provide a Form W-9.

a. **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

**Note: ITIN applicant:** Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040/1040A/1040EZ you filed with your application.

b. **Sole proprietor or single-member LLC.** Enter your individual name as shown on your 1040/1040A/1040EZ on line 1. You may enter your business, trade, or "doing business as" (DBA) name on line 2.

c. Partnership, LLC that is not a single-member LLC, C corporation, or S corporation. Enter the entity's name as shown on the entity's tax return on line 1 and any business, trade, or DBA name on line 2.

d. **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on line 2.

e. **Disregarded entity.** For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a "disregarded entity." See Regulations section 301.7701-2(c)(2)(iii). Enter the owner's name on line 1. The name of the entity entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2, "Business name/disregarded entity name." If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

#### Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, you may enter it on line 2.

#### Line 3

Check the appropriate box on line 3 for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box on line 3.

| IF the entity/person on line 1 is a(n)                                                                                                                                                                                                                                                                                                               | THEN check the box for                                                                                                                   |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| Corporation                                                                                                                                                                                                                                                                                                                                          | Corporation                                                                                                                              |
| <ul> <li>Individual</li> <li>Sole proprietorship, or</li> <li>Single-member limited liability<br/>company (LLC) owned by an<br/>individual and disregarded for U.S.<br/>federal tax purposes.</li> </ul>                                                                                                                                             | Individual/sole proprietor or single-<br>member LLC                                                                                      |
| <ul> <li>LLC treated as a partnership for<br/>U.S. federal tax purposes,</li> <li>LLC that has filed Form 8832 or<br/>2553 to be taxed as a corporation,<br/>or</li> <li>LLC that is disregarded as an<br/>entity separate from its owner but<br/>the owner is another LLC that is<br/>not disregarded for U.S. federal tax<br/>purposes.</li> </ul> | Limited liability company and enter<br>the appropriate tax classification.<br>(P= Partnership; C= C corporation;<br>or S= S corporation) |
| Partnership                                                                                                                                                                                                                                                                                                                                          | Partnership                                                                                                                              |
| Trust/estate                                                                                                                                                                                                                                                                                                                                         | Trust/estate                                                                                                                             |

#### Line 4, Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space on line 4 any code(s) that may apply to you.

#### Exempt payee code.

• Generally, individuals (including sole proprietors) are not exempt from backup withholding.

• Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.

• Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.

• Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space in line 4.

1-An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)

2-The United States or any of its agencies or instrumentalities

3—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities

4-A foreign government or any of its political subdivisions, agencies, or instrumentalities

#### 5-A corporation

6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or possession

 $7\!-\!A$  futures commission merchant registered with the Commodity Futures Trading Commission

8-A real estate investment trust

9—An entity registered at all times during the tax year under the Investment Company Act of 1940

10-A common trust fund operated by a bank under section 584(a)

11-A financial institution

 $12-A \ \mbox{middleman}$  known in the investment community as a nominee or custodian

13—A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

| IF the payment is for                                                                  | THEN the payment is exempt for                                                                                                                                                                                                  |
|----------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Interest and dividend payments                                                         | All exempt payees except for 7                                                                                                                                                                                                  |
| Broker transactions                                                                    | Exempt payees 1 through 4 and 6<br>through 11 and all C corporations.<br>S corporations must not enter an<br>exempt payee code because they<br>are exempt only for sales of<br>noncovered securities acquired<br>prior to 2012. |
| Barter exchange transactions and patronage dividends                                   | Exempt payees 1 through 4                                                                                                                                                                                                       |
| Payments over \$600 required to be reported and direct sales over \$5,000 <sup>1</sup> | Generally, exempt payees<br>1 through 5 <sup>2</sup>                                                                                                                                                                            |
| Payments made in settlement of payment card or third party network transactions        | Exempt payees 1 through 4                                                                                                                                                                                                       |

<sup>1</sup> See Form 1099-MISC, Miscellaneous Income, and its instructions.

<sup>2</sup> However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

**Exemption from FATCA reporting code.** The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) written or printed on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)

B-The United States or any of its agencies or instrumentalities

C-A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities

D-A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i)

E-A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i)

F-A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state

G-A real estate investment trust

H-A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940

I-A common trust fund as defined in section 584(a)

J—A bank as defined in section 581

K-A broker

L-A trust exempt from tax under section 664 or described in section 4947(a)(1)

M-A tax exempt trust under a section 403(b) plan or section 457(g) plan

**Note:** You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

#### Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, write NEW at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

#### Line 6

Enter your city, state, and ZIP code.

#### Part I. Taxpayer Identification Number (TIN)

**Enter your TIN in the appropriate box.** If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

**Note:** See *What Name and Number To Give the Requester,* later, for further clarification of name and TIN combinations.

**How to get a TIN.** If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at *www.SSA.gov.* You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at *www.irs.gov/Businesses* and clicking on Employer Identification Number (EIN) under Starting a Business. Go to *www.irs.gov/Forms* to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to *www.irs.gov/OrderForms* to place an order and have Form W-7 and/or SS-4 mailed to you within 10 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

**Note:** Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

**Caution:** A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

#### Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code*, earlier.

**Signature requirements.** Complete the certification as indicated in items 1 through 5 below.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

**3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABLE accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

#### What Name and Number To Give the Requester

| For this type of account:                                                                                                                    | Give name and SSN of:                                                                                   |
|----------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| 1. Individual                                                                                                                                | The individual                                                                                          |
| <ol> <li>Two or more individuals (joint<br/>account) other than an account<br/>maintained by an FFI</li> </ol>                               | The actual owner of the account or, if combined funds, the first individual on the account <sup>1</sup> |
| 3. Two or more U.S. persons<br>(joint account maintained by an FFI)                                                                          | Each holder of the account                                                                              |
| 4. Custodial account of a minor<br>(Uniform Gift to Minors Act)                                                                              | The minor <sup>2</sup>                                                                                  |
| 5. a. The usual revocable savings trust<br>(grantor is also trustee)                                                                         | The grantor-trustee <sup>1</sup>                                                                        |
| b. So-called trust account that is not a legal or valid trust under state law                                                                | The actual owner <sup>1</sup>                                                                           |
| 6. Sole proprietorship or disregarded entity owned by an individual                                                                          | The owner <sup>3</sup>                                                                                  |
| <ol> <li>Grantor trust filing under Optional<br/>Form 1099 Filing Method 1 (see<br/>Regulations section 1.671-4(b)(2)(i)<br/>(A))</li> </ol> | The grantor*                                                                                            |
| For this type of account:                                                                                                                    | Give name and EIN of:                                                                                   |
| 8. Disregarded entity not owned by an individual                                                                                             | The owner                                                                                               |
| 9. A valid trust, estate, or pension trust                                                                                                   | Legal entity <sup>4</sup>                                                                               |
| 10. Corporation or LLC electing<br>corporate status on Form 8832 or<br>Form 2553                                                             | The corporation                                                                                         |
| 11. Association, club, religious,<br>charitable, educational, or other tax-<br>exempt organization                                           | The organization                                                                                        |
| 12. Partnership or multi-member LLC                                                                                                          | The partnership                                                                                         |
| 13. A broker or registered nominee                                                                                                           | The broker or nominee                                                                                   |

| For this type of account:                                                                                                                                                                                  | Give name and EIN of: |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|
| 14. Account with the Department of<br>Agriculture in the name of a public<br>entity (such as a state or local<br>government, school district, or<br>prison) that receives agricultural<br>program payments | The public entity     |
| <ol> <li>Grantor trust filing under the Form<br/>1041 Filing Method or the Optional<br/>Form 1099 Filing Method 2 (see<br/>Regulations section 1.671-4(b)(2)(i)(B))</li> </ol>                             | The trust             |

<sup>1</sup> List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

<sup>2</sup> Circle the minor's name and furnish the minor's SSN.

<sup>3</sup> You must show your individual name and you may also enter your business or DBA name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

<sup>4</sup> List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships*, earlier.

\*Note: The grantor also must provide a Form W-9 to trustee of trust.

**Note:** If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

## Secure Your Tax Records From Identity Theft

Identity theft occurs when someone uses your personal information such as your name, SSN, or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, Identity Theft Information for Taxpayers.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

**Protect yourself from suspicious emails or phishing schemes.** Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft. The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to *phishing@irs.gov*. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at *spam@uce.gov* or report them at *www.ftc.gov/complaint*. You can contact the FTC at *www.ftc.gov/idtheft* or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see *www.ldentityTheft.gov* and Pub. 5027.

Visit *www.irs.gov/ldentityTheft* to learn more about identity theft and how to reduce your risk.

#### **Privacy Act Notice**

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.



## **RESIDENCE CERTIFICATION FOR LOCAL PREFERENCE**

## MANDATORY VENDOR SUBMITTAL FORM

WHEREAS, Georgetown County Council desires to further its support of local businesses when awarding contracts for the provision of supplies and construction services to the County through its established procurement procedures.

THEREFOR pursuant to Georgetown County, SC Ordinance #2014-02 as adopted, §2-50 Local Preference Option, the Georgetown County Purchasing Officer requests each offeror provide Residence Certification. The Local Preference Option provides some restrictions on the awarding of governmental contracts; provisions of which are stated below:

## Sec 2-50. Local Preference Option

- 1. A vendor shall be deemed a Local Georgetown County vendor for the purposes of this Section if such vendor is an individual, partnership, association or corporation that is authorized to transact business within the State, maintains an office in Georgetown County, and maintains a representative inventory or commodities within the County on which the bid is submitted, and has paid all taxes duly assessed.
- 2. This option allows the lowest local Bidder whose bid is within five-percent (5%) of the lowest nonlocal Bidder to match the bid submitted by the non-local Bidder and thereby be awarded the contract. This preference shall apply only when (a) the total dollar purchase is \$10,000 or more; (b) the vendor has a physical business address located and operating within the limits of Georgetown County and has been doing business in the County for a period of twelve (12) months or more; and (c) the vendor provides proof of payment of all applicable Georgetown County taxes and fees if so requested.
- 3. Should the lowest responsible and responsive Georgetown County bidder not exercise its right to match the bid as granted herein, the next lowest qualified Georgetown County bidder shall have that right and so on. The right to exercise the right to match the bid shall be exercised within 24 hours of notification of the right to match the non-Georgetown County bidder's bid.
- 4. In order to qualify for the local preference authorized by this Section, the vendor seeking same shall be required to submit with its bid a statement containing relevant information which demonstrates compliance with the provisions of this Section. This statement shall be on a form provided by the County purchasing department and shall be signed under penalty of perjury. Failure to provide such affidavit at the time the bidder submits its bid shall constitute a waiver of any claim for preference.

- 5. For all contracts for architecture, professional engineering, or other professional services governed by § 2-56, Architect-Engineer and Land Surveying Services Public Announcement and Selection Process, the county shall include the local business status of a firm among the factors considered when selecting which firms are "most highly qualified." In determining which firm is the "most qualified" for purposes of negotiating a satisfactory contract, preference shall be given to a local business where all other relevant factors are equal.
- 6. Local preference shall not apply to the following categories of contracts:
  - (a) Goods or services provided under a cooperative purchasing agreement or similar "piggyback" contract;
  - (b) Contracts for professional services except as provided for in section five (§5) above;
  - (c) Purchases or contracts which are funded, in whole or in part, by a governmental or other funding entity, where the terms and conditions of receipt of the funds prohibit the preference;
  - (d) Purchases or contracts made pursuant to a noncompetitive award process, unless otherwise provided by this section; or
  - (e) Any bid announcement which specifically provides that the general local preference policies set forth in this section are suspended due to the unique nature of the goods or services sought, the existence of an emergency as found by either the county council or county administrator, or where such suspension is, in the opinion of the county attorney, required by law.

| □I certify that [Company Name] | <br>is a |
|--------------------------------|----------|
|                                |          |

**<u>Resident Bidder</u>** of Georgetown County as defined in Ordinance #2014-02, (see §1. above) and our local

place of business within Georgetown County is: \_\_\_\_\_

□I certify that [Company Name] \_\_\_\_\_\_ is a

**Non-Resident Bidder** of Georgetown County as defined in Ordinance #2014-02, and our principal place of business is \_\_\_\_\_ [City and State].

<u>(X)</u>

Signature of Company Officer



## MANDATORY SUBMITTAL FORM RFP #20-019, Forest Management Mandatory RFP Submission Form

The undersigned, on behalf of the vendor, certifies that: (1) this bid is made without previous understanding, agreement or connection with any person, firm or corporation making a bid on the same project; (2) is in all respects fair and without collusion or fraud; (3) the person whose signature appears below is legally empowered to bind the firm in whose name the bid is entered; (4) they have read the complete Request for Bid and understand and accept all provisions; (5) if accepted by the County, this bid is guaranteed as written and amended and will be implemented as stated; and (6) mistakes in writing of the submitted bid will be their responsibility.

| 1. | Name of Company submitting RFP:                                                                                                                                                                                        |  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 2. | Check list of required submittal items:         RFP Submittal (addressing all RFP requirements and all evaluation criteria items)         All Mandatory Bid Forms, including any applicable addendum acknowledgements. |  |
| 3. | RFP submittals must remain valid for ninety (90) days from the bid opening date.                                                                                                                                       |  |
| 4. | Contact Address:                                                                                                                                                                                                       |  |
| 5. | Contact Person:                                                                                                                                                                                                        |  |
| 6. | Telephone Number:    Fax Number:                                                                                                                                                                                       |  |
| 7. | E-Mail address:                                                                                                                                                                                                        |  |
| 8. | Remittance Address:                                                                                                                                                                                                    |  |
| 9. | Accounts Receivable Contact:                                                                                                                                                                                           |  |
| 10 | . Telephone Number: Fax Number:                                                                                                                                                                                        |  |
| 11 | . E-Mail address:                                                                                                                                                                                                      |  |
| 12 | . List three (3) customer references (preferably municipalities) for similar size and scope of services:                                                                                                               |  |
| E  | ntity Name:                                                                                                                                                                                                            |  |

| Entity Name: |  |
|--------------|--|
| Contact:     |  |
| Title:       |  |

| Street:                            |  |
|------------------------------------|--|
| City, State & Zip:                 |  |
| Primary Telephone:                 |  |
| Primary FAX:                       |  |
| E-Mail Address:                    |  |
| Brief Explanation of Relationship: |  |

| Entity Name:                       |  |
|------------------------------------|--|
| Contact:                           |  |
| Title:                             |  |
| Street:                            |  |
| City, State & Zip:                 |  |
| Primary Telephone:                 |  |
| Primary FAX:                       |  |
| E-Mail Address:                    |  |
| Brief Explanation of Relationship: |  |

| Entity Name:       |  |
|--------------------|--|
| Contact:           |  |
| Title:             |  |
| Street:            |  |
| City, State & Zip: |  |
| Primary Telephone: |  |
| Primary FAX:       |  |

| E-Mail Address:                    |  |
|------------------------------------|--|
| Brief Explanation of Relationship: |  |

13. Suspension and Debarment

Federal guidelines require grant recipients to obtain sufficient assurance that vendors are not suspended or debarred from participating in federal programs when contracts exceed \$25,000. By signing below you verify that no party to this agreement is excluded from receiving Federal contracts, certain subcontracts, and certain Federal financial and nonfinancial assistance and benefits, pursuant to the provisions of 31 U.S.C. 6101, note, E.O. 12549, E.O. 12689, 48 CFR 9.404, and each agency's codification of the Common Rule for Non-procurement suspension and debarment. [See https://www.epls.gov/ for additional information.]

- 14. If the bid is accepted, the required Contract must be executed within fifteen (15) days of written notice of formal award of Contract.
- 15. Will you honor the submitted prices and terms for purchase by other departments within Georgetown County and/or by other government entities who participate in cooperative purchasing with Georgetown County, South Carolina?

∐Yes ∐No

16. <u>Acceptance of Invitation for Bid Content:</u> The contents of the successful IFB/RFP/RFP are included as if fully reproduced herein. Therefore, the selected contractor must be prepared to be bound by his/her proposal as submitted.

## 17. RENEWAL OF CONTRACT

The continuation of the terms, conditions, and provisions of any resulting contract beyond the fiscal year is subject to approval and ratification by the Georgetown County Council and appropriation by them of the necessary money to fund said contract for each succeeding year.

## 18. CERTIFICATION REGARDING DRUG-FREE WORKPLACE:

The undersigned certifies that the vendor listed below will provide a "drug-free workplace" as that term is defined in Section 44-107-30 of the Code of Laws of South Carolina, 1976, as amended, by the complying with the requirements set forth in title 44, Chapter 107.



- 19. Any attempt by the vendor to influence the opinion of County Staff or County Council by discussion, promotion, advertising, misrepresentation of the submittal or purchasing process or any procedure to promote their offer will constitute a violation of the vendor submittal conditions and will cause the vendor's submittal to be declared null and void.
- 20. The lowest or any proposal will not necessarily be accepted and the County reserves the right to award any portion thereof. I/We, the undersigned, hereby confirm that all the above noted documents for Bid/Request for Proposal No. <u>20-019</u> were received.

| (a) Is the bidder a South Carolina Certified Minority Business?                                                                                                 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ∐ Yes □ No                                                                                                                                                      |
| (b) Is the bidder a Minority Business certified by another governmental entity?                                                                                 |
| Yes No                                                                                                                                                          |
| If so, please list the certifying governmental entity:                                                                                                          |
| (c) Will any of the work under this contract be performed by a SC certified Minority Business as a subcontractor?                                               |
| Yes No                                                                                                                                                          |
| If so, what percentage of the total value of the contract will be performed by a SC certified Minority Business as a subcontractor?%                            |
| (d) Will any of the work under this contract be performed by a minority business certified by another governmental entity as a subcontractor?                   |
| Yes No                                                                                                                                                          |
| If so, what percentage of the total value of the contract will be performed by a minority business certified by another governmental entity as a subcontractor? |
| (e) If a certified Minority Business is participating in this contract, please indicate all categories for which the Business is certified:                     |
| Traditional minority                                                                                                                                            |
| Traditional minority, but female                                                                                                                                |
| Women (Caucasian females)                                                                                                                                       |
| Hispanic minorities                                                                                                                                             |
| DOT referral (Traditional minority)                                                                                                                             |
| DOT referral (Caucasian female)                                                                                                                                 |
| Temporary certification                                                                                                                                         |
| $\Box$ SBA 8 (a) certification referral                                                                                                                         |
|                                                                                                                                                                 |
| U Other minorities (Native American, Asian, etc.)                                                                                                               |

(If more than one minority contractor will be utilized in the performance of this contract, please provide the information above for each minority business.)

22. ILLEGAL IMMIGRATION: Non-Construction (NOV. 2008): (An overview is available at <u>www.procurement.sc.gov</u>) By signing your offer, you certify that you will comply with the applicable requirements of Title 8, Chapter 14 of the South Carolina Code of Laws and agree to provide to the State upon request any documentation required to establish either: (a) that Title 8, Chapter 14 is inapplicable to you and your subcontractors or sub-subcontractors; or (b) that you and

your subcontractors or sub-subcontractors are in compliance with Title 8, Chapter 14. Pursuant to Section 8-14-60, "A person who knowingly makes or files any false, fictitious, or fraudulent document, statement, or report pursuant to this chapter is guilty of a felony, and, upon conviction, must be fined within the discretion of the court or imprisoned for not more than five years, or both." You agree to include in any contracts with your subcontractors language requiring your subcontractors to (a) comply with the applicable requirements of Title 8, Chapter 14, and (b) include in their contracts with the sub-subcontractors language requiring the sub-subcontractors to comply with the applicable requirements of Title 8, Chapter 14. [07-7B097-1]

# 23. INFORMATION ONLY:

Our company accepts VISA government procurement cards. If yes, list any upcharge for P-Card Payment?

Our company does not accept VISA government procurement cards.

24. Printed Name of person binding bid \_\_\_\_\_\_

25. Signature (X)\_\_\_\_\_

26. Date\_\_\_\_\_

**<u>NOTE</u>**: THE ENTIRE SOLICITATION PACKET NEED NOT BE RETURNED. Thank you.

[THE REMAINDER OF THIS PAGE IS INTENTIONALLY LEFT BLANK.]





MANDATORY RFP SUBMISSION FORM

List any areas where you cannot or will not comply with the specifications or terms contained within the bid documentation. If none, write "NONE".