

PERALTA COMMUNITY COLLEGE DISTRICT



PRE-QUALIFICATION QUESTIONNAIRE FOR PROSPECTIVE DESIGN/BUILD ENTITIES

Laney College Campus Central Utility Plant Upgrade Project

**RFQ 19-20/12
Peralta Community College District**

July 24, 2020

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CERTIFICATION

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**PERALTA COMMUNITY COLLEGE DISTRICT
REQUEST FOR QUALIFICATIONS
LANEY COLLEGE CENTRAL UTILITY PLANT UPGRADE PROJECT
DESIGN-BUILD SERVICES
RFQ 19-20/12**

Peralta Community College District ("District") is seeking to prequalify Design-Build Entities to submit Proposals to design and construct the District's Central Utility Plant Upgrade **at Laney College** ("Project"), in accordance with Education Code section 81700 et seq. Only respondents that have been prequalified by the District in response to this Request for Qualifications ("RFQ") will be eligible to submit proposals in response to the District's subsequent Request for Proposals ("RFP") for the Project.

Respondents to this RFQ shall submit a fully completed and sealed Statement of Qualifications ("SOQ"), using the District's standard prequalification template (Refer Appendix "B") for design-build projects, as further described herein, to:

Peralta Community College District
Department of General Services
333 East 8th Street
Oakland, CA 94606
ATTN: John Hiebert/Purchasing Buyer
RFQ 19-20/12

ALL SOQS ARE DUE BY 2:00 P.M. ON August 18, 2020. Oral, telegraphic, facsimile, telephone, or email SOQs will not be accepted. SOQs received after this date and time will not be accepted and will be returned unopened. All SOQS must be submitted electronically using the Peralta Community College website through the Purchasing Department through the following link:

<https://web.peralta.edu/purchasing/documents-list-of-current-bids-rfps-and-rfqs/>

The District reserves the right to waive irregularities and omissions in the information contained in any SOQ, and to make all final determinations. District may use other sources of information outside of the SOQs to investigate respondents or to verify answers.

Each SOQ must be certified under penalty of perjury by the respondent. If any information provided by a respondent becomes inaccurate, the respondent must immediately notify District and provide updated, accurate information in writing, under penalty of perjury. District reserves the right to suspend or rescind prequalification at any time based on subsequently learned information.

Questions regarding this RFQ may be directed in writing to:

John Hiebert at jhiebert@peralta.edu

and must be submitted on or by 2:00pm August 10, **2020**.

I. RFQ SCHEDULE SUMMARY

The District may change the dates on this schedule without prior notice.

DEADLINE	ACTION ITEM
July 24, 2020	Release of RFQ.
August 4, 2020 at 2:00pm	Mandatory prequalification conference -virtual
August 5, 2020 at 2:00 PM	Mandatory site tour - virtual
August 10, 2020 at 2:00 PM	Last day to receive written questions from respondents.
August 12, 2020	Last day for District to issue addenda or answer questions.
August 18, 2020 at 2:00 PM	Deadline for submissions in response to RFQ.
Late August/early September, 2020	Notice to prequalified respondents.
Early September, 2020	Release of RFP to prequalified respondents.

II. PROJECT DESCRIPTION AND SCOPE OF SERVICES

Pursuant to Education Code section 81700 et seq., the District is seeking a design-build entity or team ("DBE") to design and construct the District's **Laney College Central Utility Plant Upgrade Project** ("Project"), located at 900 Fallon Street, Oakland, CA 96607. The Project is further defined in the attached **APPENDIX A**, along with the District's expected cost range and schedule for the Project. The District's performance specifications, which the selected DBE must adhere to in delivering the Project, will be made available to prequalified respondents at the RFP stage.

The selected DBE must be appropriately licensed and registered in the State of California for architectural, engineering, and construction services as needed to complete the Project. In addition, the selected DBE shall have experience with both design and construction of public school facilities and in working with the California Community Colleges Chancellor's Office ("CCCCO"), the Division of the State Architect ("DSA"), and Title 24 of the California Code of Regulations.

The selected DBE must be registered with the Department of Industrial Relations ("DIR") as required by law. The selected DBE will be required to comply with the Labor Code prevailing wage requirements and the District's bonding and insurance requirements. The selected DBE shall be required to work cooperatively with District staff, the Board of Trustees, all other technical consultants, the criteria architect, the project inspector, and any program and/or construction manager, if any, retained by the District for the Project, citizens' oversight committee, other District committees, and the community to facilitate timely and professional completion of the Project.

1. All vendors (firm/company/contractor) should follow the Alameda County Health Department's mandated COVID-19 workplace safety and health guidelines. Workers working under the Contract shall comply with all applicable laws, ordinances, rules, regulations and lawful orders of public authorities bearing on the safety of persons or property, or their protection from damage,

injury or loss. Vendors shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Work.

2. Information item regarding access to Build Peralta Website: The PCCD Build Peralta website has information about the Districts Bond Program and has additional information about the programs that are in place for all of the PCCD campuses including Laney College. Information about the SLBE/SELBE Program and the PCCD PLA can be found at this location. The link to the Build Peralta website is: <https://build.peralta.edu/doing-business>.
3. General Project Schedule: Issue the Request for Proposal "RFP" to up to three (3) prequalified DBE's in early to mid-September 2020, award DBE Contract in mid -November 2020 and an anticipated DBE start in mid-late November 2020 and estimated completion of Construction in late September, early October 2022.
4. DSA fees will be paid for by the District. DBE staff time dealing with obtaining DSA approvals is part of the DBE project cost.

III. DESIGN-BUILD PROCUREMENT PROCESS

Pursuant to Education Code section 81703, procurement of a DBE will follow two (2) phases:

1. Prequalification – First, by this RFQ, the District prequalify respondents using a standard template request for statements of qualifications. The significant factors the District reasonably expects to consider in evaluating qualifications are identified in the "Evaluation" paragraph of the RFQ. Respondents to attend a virtual **mandatory** pre-qualification conference and site inspection (refer para. I above for date/time) via Zoom online meeting software.

On or after May 1, 2020, a design-build entity shall not be prequalified or shortlisted unless the entity provides an enforceable commitment to the community college district that the entity and its subcontractors at every tier will use a skilled and trained workforce to perform all work on the project or contract that falls within an apprenticeable occupation in the building and construction trades, in accordance with Chapter 2.9 (commencing with Section 2600) of Part 1 of Division 2 of the Public Contract Code.

2. Design-Build Proposal – Second, the District will issue an RFP, inviting up to three (3) short-listed, prequalified respondents to submit competitive sealed proposals for the Project. The District will use a best value selection method for evaluating proposals. The procedure for final selection will be as follows:
 - a. The District will evaluate responsive proposals using only the criteria and selection procedures specifically identified in the RFP.

- b. The District may, at its discretion, request proposal revisions and hold discussions and negotiations with responsive proposers. The RFP will define applicable procedures to ensure that discussions or negotiations are conducted in good faith.
- c. The District will rank responsive proposers based on a determination of value provided, but shall not be required to rank more than three (3) proposers.
- d. The District will award the contract, if at all, to the responsible DBE whose proposal is determined by the District to be the best value.
- e. The two Short-listed respondents receiving the RFP that submit a responsive proposal but are not selected, will be eligible to receive a stipend not to exceed fifty thousand Dollars (\$50,000.00) for their efforts. A stipend agreement will be included in the RFP.

Any person or entity that has performed or is performing services for District relating to the solicitation of this design-build project, including, without limitation, services relating to the development of performance criteria, will **not** be eligible to submit a proposal as a design-build entity or to join a design-build team, including:

1. Noll & Tam Architects
2. Taylor Engineering
3. CSW ST2
4. The Engineering Enterprise

IV. FULL OPPORTUNITY

The District hereby affirmatively ensures that Disadvantaged Business Enterprise ("DBE"), Small Local Business Enterprise ("SLBE"), Small Emerging Local Business Enterprise ("SELBE") and Disabled Veterans Business Enterprise ("DVBE") firms shall be afforded full opportunity to submit qualifications in response to this RFQ and will not be discriminated against on the basis of race, color, national origin, ancestry, disability, gender, transgender status, political affiliation, or religion in any consideration leading to the award of contract.

The Small Local Business Enterprise (SLBE) and Small Emerging Local Business Enterprise (SELBE) Programs for the Peralta Community College District (PCCD) will be applicable to this Project, but that information will be not be submitted until the Request for Proposal (RFP) phase. Should a DBE contemplate applying for the bidding preferences in the SLBE and SELBE Programs, professional services provided by SLBE/SELBE qualified firms that are part of the DBE's SOQ can be included as part of the RFP submittal. The description of the SLBE and SELBE Programs can be found at the following link on the PCCD Purchasing website: <https://web.peralta.edu/business/files/2011/06/SLBE-Program-and-Affidavit-.pdf>. The document can be downloaded from the Peralta Small Local Business Program section, at the bottom of the main page.

V. LIMITATIONS

This RFQ is neither a formal request for bids, nor an offer by the District to contract with any party responding to this RFQ. The District reserves the right to add additional prequalified respondents for consideration after distribution of this RFQ, if it is found to be in the best interest of the District. All decisions concerning selection of the DBE will be made in the best interests of the District. The issuing of a subsequent RFP and awarding of the contract pursuant to the subsequent RFP, if at all, is at the sole discretion of the District.

The District makes no representation that participation in this RFQ process will lead to an award of contract or any consideration whatsoever. The District shall in no event be responsible for the cost of preparing any response to this RFQ.

SOQs submitted by respondents are not public records and are not open to public inspection. All information provided will be kept confidential to the extent permitted by law. The contents, however, may be disclosed to third parties for purpose of verification or investigation. State law requires that the names of respondents applying for prequalification status shall be public records subject to disclosure.

VI. RESTRICTIONS ON LOBBYING AND CONTACTS

From the period beginning on the date of the issuance of this RFQ and ending on the date of the award of the contract, no person, or entity submitting in response to this RFQ, nor any officer, employee, representative, agent, or consultant representing such a person or entity shall contact through any means or engage in any discussion regarding this RFQ, the evaluation or selection process/or the award of the contract with any member of the District, Board of Trustees, selection members, or any member of the Citizens' Oversight Committee. Any such contact shall be grounds for the disqualification of the respondent submitting a SOQ.

VII. STANDARD TEMPLATE FOR STATEMENT OF QUALIFICATIONS

Attached hereto as **APPENDIX B** is the District's prequalification template. Respondent must complete the prequalification template, certify its answers under penalty of perjury, and attach all information requested therein. The completed, certified prequalification template, together with all attachments, constitutes respondent's SOQ.

Each SOQ must be certified under penalty of perjury. If any information provided by a respondent becomes inaccurate, the respondent must immediately notify District and provide updated, accurate information in writing, under penalty of perjury.

VIII. EVALUATION

The District will evaluate all timely-submitted SOQs. Each SOQ must be complete. Incomplete SOQs will be considered nonresponsive and grounds for disqualification. The District retains the sole discretion to determine issues of compliance and to determine whether a respondent is responsive and qualified. Significant factors the District reasonably expects to consider in evaluating qualifications are as follows:

- All required licenses and registration to design and construct the Project, with no recent revocations or suspensions;
- Established performance history, including an absence of criminal or civil violations or significant disputes;
- Capacity to obtain required bonds and insurance of the Project;
- Design expertise and experience with similar projects;
- Construction expertise and experience;
- Acceptable safety record;
- Skilled labor force availability; and
- Financial capacity to complete the Project.

District may use other sources of information outside of the SOQs to investigate respondents or verify answers. District's investigation may extend beyond contacting project references identified in SOQs.

After completing its evaluation, the District will notify respondents selected for prequalification.

IX. FINAL DETERMINATION

Prequalification is at the sole discretion of the District. The District reserves the right to waive irregularities and omissions in the information contained in any SOQ, and to make all final determinations. The District further reserves the right to suspend or rescind prequalification at any time based on subsequently learned information.

The District makes no representation that participation in this RFQ process will lead to an award of contract or any consideration whatsoever. The District reserves the right to seek proposals from or to contract with any firm not participating in this process. The District shall in no event be responsible for the cost of preparing any SOQ or other response to this RFQ.

WE THANK YOU FOR YOUR INTEREST IN THE DISTRICT'S PROJECT!

APPENDIX A

PROJECT DESCRIPTION

The Peralta Community College District (hereinafter "the District") seeks responses from experienced Design/Build Entities (DBE) to construct the Central Utility Plant Upgrade at Laney College for the Peralta Community College District, to be located at 900 Fallon Street, Oakland, CA 94607.

The project includes the replacement of the existing chillers, boilers and cooling towers, demolition of the existing cooling towers and supports, repair or replacement of associated pumps, piping, valves and a new Building Automation System. The new chillers and boilers will be located in the existing Central Plant in Building E and the new cooling towers will be located in a new enclosure, provided by the DBE, south of Building F. New piping to be included from new cooling tower location to Building E. For survey of existing equipment and capacities, refer to **attached Appendix C** "2020 0305 Central Plant Study" by Taylor Engineering.

The estimate of the DBE Design & Construction contract is \$12,000,000.

The Pre-Qualification Application and other documents including addenda will be posted to the District website at:

<https://web.peralta.edu/purchasing/documents-list-of-current-bids-rfps-and-rfqs>

Documents will also be posted with East Bay Blueprint. For information, please contact John Hiebert jhiebert@peralta.edu. Please include in your request Company Name, Contact Person, Mailing Address, valid e-mail address, telephone and fax numbers.

APPENDIX B

STANDARD TEMPLATE FOR STATEMENT OF QUALIFICATIONS

This standard prequalification template should be completed by design-build entities or design-build teams seeking to prequalify for a Peralta Community College District ("District") design-build project in accordance with Education Code section 81700 et seq.

As used in here:

- "DBE" refers both design-build entities and design-build teams.
- "Member" refers to individuals or entities identified as members of the design-build team, including the general contractor and, if utilized in the design of the project, all civil, electrical, mechanical, and plumbing contractors.
- "Associates" refers to all current officers, owners, and/or partners of DBE and of any Member.

Wherever additional space is needed to answer a question fully and accurately, attach additional copies of the template pages and/or additional signed sheets as needed.

Excel files of the tables for your use and/or modification, to make data input easier, are available on the District website. Submit PDF files of your documents for the final electronic submittal.

I. BUSINESS INFORMATION

Business Information is to be filled out by the lead firm for the Design Build Entity (DBE). Typically, this will be the General Contractor.

Articles of Incorporation are required for all DBE's that are Corporations.

A. Contact Information

1. DBE Name: _____
2. Primary contact Person: _____
3. Principal office address: _____
4. Phone: _____
5. Fax: _____
6. Email: _____

B. Form of Organization

1. If the DBE or any Member is a **corporation**:

- a. Date incorporated: _____
- b. Under laws of what state: _____
- c. If a privately held corporation, list all shareholders who will perform work on the project:

Name	Ownership Percentage

- d. Attach a copy of the articles of incorporation.
2. If the DBE or any Member is a **limited liability company**:

- a. Date formed: _____
- b. Under laws of what state: _____
- c. List all LLC members who will perform work on the project:

Name	Ownership Percentage

- d. Attach a copy of the articles of organization.
3. If the DBE or any Member is a **partnership**:

- a. Date formed: _____
- b. Under laws of what state: _____
- c. List all partners who will perform work on the project:

Name	Ownership Percentage

- d. Attach a copy of the partnership agreement.

4. If the DBE or any Member is a **joint venture**:

- a. Date formed: _____
- b. Under laws of what state: _____
- c. List all joint venture members who will perform work on the project:

Name	Ownership Percentage

d. Attach a copy of the joint venture agreement.

5. If the DBE or any Member is a **sole proprietorship**:

- a. Date formed: _____
- b. Under laws of what state: _____
- c. List owner: _____
- d. Attach a copy of organizational documents, if any.

C. Financial Capacity

- 1. Attach a reviewed or audited financial statement with accompanying notes and supplemental information for the past 2 full fiscal years for DBE. A letter verifying availability of a line of credit may also be attached; however, it will be considered supplemental information only, and is not a substitute for the required financial statement. Financial statements are required from the General Contractor only, and not the design firms. Please submit these in PDF format. Include additional confidentiality documents as needed (cover letter, certification, non-disclosure agreement, etc.)
- 2. Is DBE or any Member currently, or has DBE or any Member within the last 5 years been, the debtor in a bankruptcy case?
 Yes No

If "yes," please attach a copy of the bankruptcy petition and a copy of the bankruptcy court's discharge or any other document that ended the case, if any.

II. LICENSING AND REGISTRATION

A. General Contractor

- 1. Name of license holder exactly as on file with the Contractors State License Board ("CSLB"): _____

2. License classification(s): _____
3. License #: _____
4. Issue Date: _____
5. Expiration Date: _____
6. Public Works Contractor Registration # on file with the Department of Industrial Relations ("DIR"): _____
7. Has any CSLB license held by the general contractor or its qualifying individual been suspended or revoked within the last 5 years?
 Yes No
 If "yes," explain on a separate signed sheet.
8. Has the general contractor changed names or license numbers within the past 5 years?
 Yes No
 If "yes," explain on a separate signed sheet.

B. Architect of Record

The architect of record is the architect whose stamp will appear on project documents.

1. Name of license holder exactly as on file with the California Architects Board ("CAB"): _____
2. License #: _____
3. Issue Date: _____
4. Expiration Date: _____
5. Has any CAB license held by the architect of record been suspended or revoked within the last 5 years?
 Yes No
 If "yes," explain on a separate signed sheet.
6. Has the architect of record changed names or license numbers within the past 5 years?
 Yes No
 If "yes," explain on a separate signed sheet.

C. Structural, Mechanical, Plumbing and Electrical Engineers (and other firms on the Design-Build Entity's team):

There are no restrictions on consultants to be part of different DBE teams (however individual DBE teams may have their own restrictions).

Provide the following information for each of the following licensed engineers: 1)Structural 2)Mechanical 3)Plumbing 4)Electrical. Also provide for other firms on the DBE's team, if included:

1. Name of license holder exactly as on file with licensing Agencies: _____

2. License Type: _____
3. Licenses #: _____
4. Issue Date: _____
5. Has any license held by the engineer been suspended or revoked within the last 5 years? Yes No
If "yes," explain on a separate signed sheet.
6. Has the engineer changed names or license numbers within the past 5 years? Yes No
If "yes," explain on a separate signed sheet.

III. PERFORMANCE HISTORY

- A. Has DBE or any Member or Associate ever been found liable in a civil suit, or found guilty in a criminal action, for making any false claim or material misrepresentation to any public agency or entity?
 Yes No
If "yes," explain on a separate signed sheet, including identifying who was found liable or guilty, the court and case number, the name of the public entity, the civil or criminal verdict, the date, and the basis for the finding.
- B. Has DBE or any Member or Associate ever been convicted of a crime involving any federal, state, or local law related to construction or any crime involving fraud, theft, or any other act of dishonesty?
 Yes No
If "yes," explain on a separate signed sheet, including identifying who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.
- C. At any time in the last 5 years, has DBE or any Member been assessed liquidated damages under a construction contract?
 Yes No

If "yes," explain on a separate signed sheet, including the project, owner, owner's address, date of completion, and amount of liquidated damages.

- D. At any time in the last 5 years, has DBE or any Member or Associate been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any public works project?

Yes No

If "yes," explain on a separate signed sheet, including the project, the year of the event, owner, owner's address, and basis for the action.

- E. At any time in the last 5 years, has a public agency found that DBE or any Member was not a responsible bidder?

Yes No

If "yes," explain on a separate signed sheet, including the project, the year of the event, owner, owner's address, and basis for the finding.

- F. In the past 5 years, has any claim exceeding \$50,000 been filed by or against DBE or any Member in court or arbitration concerning work or payment on a construction project?

Yes No

If "yes," explain on a separate signed sheet, including the project name, court or arbitration case name and number, and a brief description of the status of the claim.

- G. In the past 5 years, has there been more than one occasion in which DBE or any DBE member was required to pay either back wages or penalties for failure to comply with California prevailing wage laws or federal Davis-Bacon prevailing wage requirements?

Yes No

If "yes," explain on a separate signed sheet, describing the nature of the violation(s), project, owner, and amount paid, if any.

- H. At any time during the past 5 years, has DBE or any Member been found to have violated any provision of California apprenticeship laws or regulations, or laws pertaining to use of apprentices on public works projects?

Yes No

If "yes," explain on a separate signed sheet, including date(s) of such findings and attaching the DAS' final decision(s).

IV. BONDS AND INSURANCE

A. Bonds

1. Attach a notarized statement from an admitted surety insurer (approved by the California Department of Insurance and authorized to issue bonds in the State of California), which states the current bonding capacity of the DBE (both single job limit and aggregate limit). Note: DBE must have capacity to provide 100% payment bond and 100% performance bond, each issued by an admitted surety insurer, without bonding subcontractors.
2. Provide the name, address, and telephone number of the surety agent: _____

3. List all sureties that have written bonds to the DBE or any Member during the last 5 years:

Name	Address	Date of Bond

4. In the last 5 years, has any surety paid on behalf of the DBE or any Member a result of a default to satisfy any claims made against a payment or performance bond?

Yes No

If "yes," explain on a separate signed sheet, including the amount of each claim, name and telephone number of claimant, date of and grounds for the claim, and present status.

5. If DBE or any Member was required to pay a premium of more than 1 percent for a performance and payment bond on any project in the last 5 years, state the percentage:

Explain on a separate signed sheet why DBE or Member was required to pay the premium of more than 1 percent.

6. In the last 5 years, has DBE or any Member been denied bond coverage by a surety company or had no surety bond in place when once was required?

Yes No

If "yes," explain on a separate signed sheet, including the name of the surety company and/or period during which DBE or Member had no bond in place.

B. Insurance

1. Does DBE have liability insurance with a policy limit of at least \$2,000,000 per occurrence and \$4,000,000 aggregate for a California admitted company?

Yes No

If "no," provide on a separate signed sheet what policy limits are available to DBE.

2. Does DBE have current workers' compensation insurance as required by the California Labor Code or is DBE legally self-insured pursuant the California Labor Code?

3. Does DBE have professional liability (errors and omissions) insurance with a policy limit of at least \$2,000,000 aggregate from a California admitted company?

Yes No

If "no," provide on a separate signed sheet what policy limits are available to DBE.

4. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew an insurance policy for DBE or any Member?

Yes No

If "yes," explain on a separate signed sheet, including the name of the insurance carrier, form of insurance, and year of the refusal.

V. SAFETY

A. Attach a description, not to exceed 1 page, of DBE's worker safety program as applicable to this project.

B. Within the past 5 years, has the California or federal Occupation Safety and Health Administration ("OSHA") cited and assessed penalties against DBE or any Member, for "serious," "willful" or "repeat" violations of its safety or health regulations?

Yes No

If "yes," explain on a separate signed sheet, identifying the citation(s), nature of the violation(s), project, and amount of penalty paid, if any.

C. Within the past 5 years, has the Environmental Protection Agency ("EPA") or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against DBE or any Member or the owner of the project on which DBE/Member was the contractor?

Yes No

If "yes," explain on a separate signed sheet, identifying the citation(s), nature of the violation(s), project, and amount of penalty paid, if any.

D. State the Workers' Compensation Experience Modification Rate ("EMR") for DBE and each Member for the past 3 premium years:

Year	EMR

If EMR was 1.00 or higher in any of 3 years, attach a letter of explanation.

E. State the total recordable injury or illness rate and the lost work rate for DBE and each Member for the past 3 years:

Year	Incident Rate	Lost Work Rate

F. Within the past 5 years, has there ever been a period when DBE or any Member had employees but was without workers' compensation insurance or state-approved self-insurance?

Yes No

If "yes," explain on separate signed sheet, including the date(s) and reason(s) for the absence of workers' compensation insurance.

VI. PROJECT EXPERIENCE AND REFERENCES

The Design Build Team must show experience and expertise with the design and construction of similar projects. Listed work should include public sector projects that required coordination and close working relationships for project reviews/ approvals/project close out with both the Division of the State Architect (DSA) and the office of the State Fire Marshal (SFM). Listed projects should also indicate work done for California community colleges and specifically if any projects included furnishing central chilled and hot water plant as components of the project.

The District is looking for DBEs that have shown creative/innovative design and technical expertise regarding the production of sound contract documents, rigid standards during construction administration and the ability to work collaboratively with the Districts management team and Facilities/Operations groups.

The DBE team should also show experience with working in occupied building and an occupied campus with the proven ability to prioritize student/staff/public safety and to minimize disruption to the operational needs of the College/District. The projects list should also include those that require coordination and connections to the campus central plant/utilities with service coordination and shut downs/cut overs to minimize impact to campus operations.

A. General Contractor:

1. **Table A-1:** List Open Construction Contracts (A-1.a) and Recently Completed Construction Projects (A-1.b) – provide information listed on tables for each project.
2. **Table A-2:** List Similar Project Experience for Design Build Projects over \$5M (A-2.a) / Renovation Projects over \$5M (A-2.b) / Central Utility Plant Projects with replacement of heating & cooling system, over \$5M (A-2.c) – provide information listed on tables.

B. Project References:

1. Complete **both** project reference forms attached hereto as **Exhibit B-1** (References for Design-Build Projects) and **Exhibit B-2** (References for California Community College Projects) for a minimum of three (3) projects. District may, in its discretion, contact these project references and/or additional project references.

C. Relevant Experience of Key Personnel on the proposed Design Build Team:

1. **Exhibit C-1:** Relevant Experience of proposed Design Built Team – including project references.

Each of the team participants shall submit examples of relevant projects. Submit examples from the General Contractor, Architect of Record, Structural Engineer, Mechanical Engineers, Electrical Engineers, Plumbing Engineer and others as appropriate. Information shall be submitted in the format of forms as indicated in Exhibit C-1 and shall identify the relevance of each project identified as applicable to the District's Central Utility Plant Upgrade Project.

2. **Exhibit C-2:** Relevant Experience / Training of Key Project Personnel within the proposed Design Built Team – including references.

Present the proposed key personnel for the Design Build team that would **be assigned to or be responsible for work on this project.**

- a. Attach a proposed organization chart for your design and construction operations.
 - b. Describe your team’s interface with your primary office and other members of the Design Build team during design and construction.
 - c. Provide information for your key project team personnel including project assignments and responsibilities. Provide this information for key personnel from the General Contractor, Architect, Structural, Mechanical, Electrical, Plumbing , and other consultants. Key personnel shall include project managers, general superintendents, project architects and engineers, and others responsible for the completion of the design and construction of the project. Information shall be submitted in the following format, or clearly and concisely presented equivalent.
3. Attach additional information such as resumes or similar documents, not to exceed 1 page each, showing the experience, training, and qualifications for up to 8 proposed key personnel of the DBE.

VII. SKILLED AND TRAINED WORKFORCE COMPLIANCE

DBE hereby acknowledges, agrees, and hereby provides an enforceable commitment to District that:

- A. DBE will agree to be bound by: (i) a project labor agreement (“PLA”) entered into by the District that will bind all contractors and subcontractors performing work on the project to use a skilled and trained workforce; (ii) the extension or renewal of a PLA that was entered into by the District prior to January 1, 2017; or (iii) a PLA entered into by the DBE that will bind the DBE and all it’s subcontractors at every tier performing work on the project to use a skilled and trained workforce.

APPENDIX C

"Central Utility Plant Study" dated 2020 0305 by

Taylor Engineering - available on the District website.

CERTIFICATION

DBE and all Members must sign. Copy this certification page as needed for each Member.

I certify and declare that I have read all the foregoing answers to this prequalification template; that all answers are correct and complete of my own knowledge and belief. I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: _____, 20__

Name of DBE or Member: _____

Signature by authorized individual: _____

Print Name: _____

Title: _____

TABLE A-1

Open Construction Contracts and Recently Completed Construction Projects

a. Open Construction Contracts (Jobs in Progress):

Project Name & Type of Work	Owner Name	Owner Representative		Original Contract Value	Estimated Contract Completion Value (incl. change orders to date)	Percent Currently Complete	Original Completion Date	Estimated Completion Date
		Name	Phone No.					

b. Recently Completed Construction Projects:

Project Name & Type of Work	Owner Name	Owner Representative		Original Contract Value	Estimated Contract Completion Value (incl. change orders to date)	Original Completion Date	Estimated Completion Date
		Name	Phone No.				

TABLE A-2

Similar Project Experience: Design Build Projects/Renovation Projects/Athletics Projects, completed within the last ten (10) years.

For Table A-2 there is no limit to the number of projects listed in this or other tables. The listed projects do need to be over the listed dollar amounts (\$5M & \$2M for the A-2 Tables). You may also list other projects in each category that do not meet the dollar thresholds and if done note the actual project dollar value.

Listing of other university/higher education projects that are not for Community Colleges in this section is not prohibited. If the listed projects are not Community College projects they must be indicated as such.

a. Design Build Projects over \$5M

Project Name & Type of Work	Owner Name	Owner Representative		Original Contract Value	Estimated Contract Completion Value (incl. change orders to date)	Percent Currently Complete	Original Completion Date	Estimated Completion Date	Architect of Record	California Community College Project Y/N?
		Name	Phone No.							

b. Renovation Projects over \$5M

Project Name & Type of Work	Owner Name	Owner Representative		Original Contract Value	Estimated Contract Completion Value (incl. change orders to date)	Percent Currently Complete	Original Completion Date	Estimated Completion Date	Architect of Record	California Community College Project Y/N?
		Name	Phone No.							

c. Central Plant or Heating/Cooling Replacement Projects (over \$5M)

Project Name & Type of Work	Owner Name	Owner Representative		Original Contract Value	Estimated Contract Completion Value (incl. change orders to date)	Percent Currently Complete	Original Completion Date	Estimated Completion Date	Architect of Record	California Community College Project Y/N?
		Name	Phone No.							

EXHIBIT B-1

California Design-Build Project References

For Exhibit B-1, choose three (3) projects listed in Tables A-1 and A-2, completed in the last ten (10) years, that are Design-Build (or Design-Assist) projects with a contract price over \$5 million, completed by the General Contractor. For Exhibit B-2, choose three (3) projects listed in Tables A-1 and A-2, completed in the last ten (10) years, that are California Community College projects with a contract price over \$5 million, completed by the General Contractor. A project can be listed in both Exhibit B-1 and B-2 if it satisfies both requirements.

From the list of Similar Projects Lists (Tables A-1 and A-2) or other projects – submit a minimum of three (3) recently completed design-build projects (within the last ten (10) years, each with a contract price over \$5 million, completed by the general contractor for the DBE. Contact information should be:

PROJECT NO. 1
Project Name:
Project Address:
Owner (Name & Phone):
Architect (Name & Phone):
Construction Manager (Name, Email & Phone):
Scope of Work:
Was this a design-build project?
Original Completion Date:
Actual Completion Date:
Time Extension Granted (# of Days):
Initial Contract Value:
Final Contract Value:
Date: _____ Signature by authorized individual: _____ Print Name: _____ _____ Title: _____

PROJECT NO. 2
Project Name:
Project Address:
Owner (Name & Phone):
Architect (Name & Phone):
Construction Manager (Name, Email & Phone):
Scope of Work:
Was this a design-build project?
Original Completion Date:
Actual Completion Date:
Time Extension Granted (# of Days):
Initial Contract Value:
Final Contract Value:
Date: _____ Signature by authorized individual: _____ Print Name: _____ _____ Title: _____

PROJECT NO. 3
Project Name:
Project Address:
Owner (Name & Phone):
Architect (Name & Phone):
Construction Manager (Name, Email & Phone):
Scope of Work:
Was this a design-build project?
Original Completion Date:
Actual Completion Date:
Time Extension Granted (# of Days):
Initial Contract Value:
Final Contract Value:
Date: _____ Signature by authorized individual: _____ Print Name: _____ _____ Title: _____

EXHIBIT B-2

California Community College Project References

From the list of Similar Projects Lists – select a minimum of three (3) recently completed Community College projects, each with a contract price over \$5 million, completed by the general contractor for the DBE. Indicate if also listed under Design Build Projects. Note: These projects may also be listed under Design Build Project References. Contact information should be:

PROJECT NO. 1
Project Name:
Project Address:
Owner (Name & Phone):
Architect (Name & Phone):
Construction Manager (Name, Email & Phone):
Scope of Work:
Was this a design-build project?
Original Completion Date:
Actual Completion Date:
Time Extension Granted (# of Days):
Initial Contract Value:
Final Contract Value:
Date: _____ Signature by authorized individual: _____ Print Name: _____ _____ Title: _____

PROJECT NO. 2
Project Name:
Project Address:
Owner (Name & Phone):
Architect (Name & Phone):
Construction Manager (Name, Email & Phone):
Scope of Work:
Was this a design-build project?
Original Completion Date:
Actual Completion Date:
Time Extension Granted (# of Days):
Initial Contract Value:
Final Contract Value:
Date: _____ Signature by authorized individual: _____ Print Name: _____ _____ Title: _____

PROJECT NO. 3
Project Name:
Project Address:
Owner (Name & Phone):
Architect (Name & Phone):
Construction Manager (Name, Email & Phone):
Scope of Work:
Was this a design-build project?
Original Completion Date:
Actual Completion Date:
Time Extension Granted (# of Days):
Initial Contract Value:
Final Contract Value:
Date: _____ Signature by authorized individual: _____ Print Name: _____ _____ Title: _____

EXHIBIT C-1

Relevant Experience of Design Build Team:

For Exhibit C-1, the number of total projects requested are: 8 for the General Contractor, 10 for the Architect, 8 for the Structural Engineer, and 6 each for the MEP Engineers and Other Consultants. However, the same project(s) can be listed in multiple categories if they meet the requirement for each category. For example, a DSA D-B/teaming project over \$5M can be listed in all 3 categories for the General Contractor.

Projects with a full California DSA review (access, fire/life safety and structural) are preferred. Projects with limited DSA review can also be listed, indicating which divisions reviewed/approved the submittals for the project.

a. GENERAL CONTRACTOR:

Name of General Contractor: _____

Submit examples of **Relevant Projects** completed within the last ten (10) years or under current contract. **Relevant projects include:** Design Build projects, Community Colleges, School projects in California, projects in similar Seismic Zones, and projects for Public Agencies..

Clearly identify the relevance of each project.

- List five (5) projects with a construction cost each in excess of \$5 million dollars (at least two must be for Public Agencies).
- List a minimum of three (3) projects using a form of the Design/Build delivery method. ("Teaming" delivery methods, such as Design/Assist or CM-at-risk will be considered, however Design/Build projects are preferred).

Include the following information for each project. Photos and other graphic materials may be included (*Attach additional sheets as required*):

GENERAL CONTRACTOR
Project Name:
Project Address:
Owner (Name & Phone): Representative:
Architect (Name & Phone): Structural Engineer: Mechanical Engineer: Electrical Engineer: Other Consultants if Any: Project Inspector:
Construction Manager (Name, Email & Phone):
Scope of Work:
Was this a design-build project? :
Original Completion Date:
Actual Completion Date:
Time Extension Granted (# of Days):
Initial Contract Value:
Final Contract Value:
California Division of the State Architect (DSA) Review (Y/N)? : DSA Office: _____ Print Name: _____ Title: _____

b. ARCHITECT:

Name of Architect: _____

Submit examples of **Relevant Projects** completed, as Architect of Record, within the last ten (10) years or under current contract. **Relevant projects include:** Design Build projects, Community Colleges projects, School projects in California, projects in similar Seismic Zones, and projects for Public Agencies. **Clearly identify the relevance of each project.**

- List five (5) projects with a construction cost each in excess of \$5 million dollars (at least two must be in California).
- List three (3) projects using a form of the Design/Build delivery method. ("Teaming" delivery methods, such as Design/Assist or CM-at-risk will be considered, however Design/Build projects are preferred).
- List two (2) projects that required approval by the California Division of the State Architect (DSA).

Include the following information for each project. Photos and other graphic materials may be included (*Attach additional sheets as required*):

ARCHITECT
Project Name:
Project Address:
Owner (Name & Phone): Representative:
Architect (Name & Phone): Structural Engineer: Mechanical Engineer: Electrical Engineer: Other Consultants if Any: Project Inspector:
Construction Manager (Name, Email & Phone):
Scope of Work:
Was this a design-build project? :
Original Completion Date:
Actual Completion Date:
Time Extension Granted (# of Days):
Initial Contract Value:
Final Contract Value:
California Division of the State Architect (DSA) Review (Y/N)? : DSA Office: _____ Print Name: _____ Title: _____

c. STRUCTURAL ENGINEER:

Name of Structural Engineer: _____

Submit examples of **Relevant Projects** completed within the last ten (10) years or under current contract. **Relevant projects include:** Community Colleges, Schools, projects in California, projects in similar Seismic Zones, and projects for Public Agencies.

Clearly identify the relevance of each project.

- List three (3) projects with a construction cost each in excess of \$5 million dollars (at least two must be in California).
- List three (3) projects using a form of the Design/Build delivery method. (“Teaming” delivery methods, such as Design/Assist or CM-at-risk will be considered, however Design/Build projects are preferred).
- List two (2) projects that required approval by the California Division of the State Architect (DSA).

Include the following information for each project. Photos and other graphic materials may be included (*Attach additional sheets as required*):

STRUCTURAL ENGINEER
Project Name:
Project Address:
Owner (Name & Phone): Representative:
Architect (Name & Phone): Structural Engineer: Mechanical Engineer: Electrical Engineer: Other Consultants if Any: Project Inspector:
Construction Manager (Name, Email & Phone):
Scope of Work:
Was this a design-build project? :
Original Completion Date:
Actual Completion Date:
Time Extension Granted (# of Days):
Initial Contract Value:
Final Contract Value:
California Division of the State Architect (DSA) Review (Y/N)? :
DSA Office: _____
Print Name: _____
Title: _____

Peralta Community College District
 RFQ 19-20/12 - Central Utility Plant Upgrade Project- Laney College
 Design-Build Services

d. MECHANICAL ENGINEER:

Name of Mechanical Engineer: _____

Submit examples of **Relevant Projects** completed within the last ten (10) years or under current contract. **Relevant projects include:** Community Colleges, Schools, projects in California, projects in similar Seismic Zones, and projects for Public Agencies. **Clearly identify the relevance of each project.**

- List three (3) projects with a construction cost each in excess of \$5 million dollars (at least two must be in California).
- List three (3) projects using a form of the Design/Build delivery method. (“Teaming” delivery methods, such as Design/Assist or CM-at-risk will be considered, however Design/Build projects are preferred).

Include the following information for each project. Photos and other graphic materials may be included (*Attach additional sheets as required*):

MECHANICAL ENGINEER
Project Name:
Project Address:
Owner (Name & Phone): Representative:
Architect (Name & Phone): Structural Engineer: Mechanical Engineer: Electrical Engineer: Other Consultants if Any: Project Inspector:
Construction Manager (Name, Email & Phone):
Scope of Work:
Was this a design-build project? :
Original Completion Date:
Actual Completion Date:
Time Extension Granted (# of Days):
Initial Contract Value:
Final Contract Value:
California Division of the State Architect (DSA) Review (Y/N)? : DSA Office: _____ Print Name: _____ Title: _____

Peralta Community College District
 RFQ 19-20/12 - Central Utility Plant Upgrade Project- Laney College
 Design-Build Services

e. ELECTRICAL ENGINEER:

Name of Electrical Engineer: _____

Submit examples of **Relevant Projects** completed within the last ten (10) years or under current contract. **Relevant projects include:** Community Colleges, Schools, projects in California, projects in similar Seismic Zones, and projects for Public Agencies. **Clearly identify the relevance of each project.**

- List three (3) projects with a construction cost each in excess of \$5 million dollars (at least one must be in California).
- List three (3) projects using a form of the Design/Build delivery method. (“Teaming” delivery methods, such as Design/Assist or CM-at-risk will be considered, however Design/Build projects are preferred).

Include the following information for each project. Photos and other graphic materials may be included (*Attach additional sheets as required*):

ELECTRICAL ENGINEER
Project Name:
Project Address:
Owner (Name & Phone): Representative:
Architect (Name & Phone): Structural Engineer: Mechanical Engineer: Electrical Engineer: Other Consultants if Any: Project Inspector:
Construction Manager (Name, Email & Phone):
Scope of Work:
Was this a design-build project? :
Original Completion Date:
Actual Completion Date:
Time Extension Granted (# of Days):
Initial Contract Value:
Final Contract Value:
California Division of the State Architect (DSA) Review (Y/N)? : DSA Office: _____ Print Name: _____ Title: _____

f. PLUMBING ENGINEER:

Name of Plumbing Engineer: _____

Submit examples of **Relevant Projects** completed within the last ten (10) years or under current contract. **Relevant projects include:** Community Colleges, Schools, projects in California, projects in similar Seismic Zones, and projects for Public Agencies. **Clearly identify the relevance of each project.**

- List three (3) projects with a construction cost each in excess of \$5 million dollars (at least one must be in California).
- List three (3) projects using a form of the Design/Build delivery method. (“Teaming” delivery methods, such as Design/Assist or CM-at-risk will be considered, however Design/Build projects are preferred).

Include the following information for each project. Photos and other graphic materials may be included (*Attach additional sheets as required*):

PLUMBING ENGINEER
Project Name:
Project Address:
Owner (Name & Phone): Representative:
Architect (Name & Phone): Structural Engineer: Mechanical Engineer: Electrical Engineer: Other Consultants if Any: Project Inspector:
Construction Manager (Name, Email & Phone):
Scope of Work:
Was this a design-build project? :
Original Completion Date:
Actual Completion Date:
Time Extension Granted (# of Days):
Initial Contract Value:
Final Contract Value:
California Division of the State Architect (DSA) Review (Y/N)? :
DSA Office: _____
Print Name: _____
Title: _____

g. OTHER FIRMS ON THE DESIGN/BUILD ENTITY'S TEAM

Name of Consultant and Discipline:

Submit examples of relevant projects completed within the last ten (10) years or under current contract. **Relevant projects include:** Community Colleges, Schools, projects in California, projects in similar Seismic Zones, and projects for Public Agencies. **Clearly identify the relevance of each project.**

- List three (3) projects with a construction cost each in excess of \$5 million dollars (at least two must be in California).
- List three (3) projects using a form of the Design/Build delivery method. ("Teaming" delivery methods, such as Design/Assist or CM-at-risk will be considered, however Design/Build projects are preferred).

Include the following information for each project. Photos and other graphic materials may be included (*Attach additional sheets as required*):

OTHER CONSULTANTS
Project Name:
Project Address:
Owner (Name & Phone): Representative:
Architect (Name & Phone): Structural Engineer: Mechanical Engineer: Electrical Engineer: Other Consultants if Any: Project Inspector:
Construction Manager (Name, Email & Phone):
Scope of Work:
Was this a design-build project? :
Original Completion Date:
Actual Completion Date:
Time Extension Granted (# of Days):
Initial Contract Value:
Final Contract Value:
California Division of the State Architect (DSA) Review (Y/N)? :
DSA Office: _____
Print Name: _____
Title: _____

Exhibit C-2

Key Personnel on the proposed Design Build Team

References are not required for the Key Personnel requested in Exhibit C-2.

Resumes for up to 8 proposed key personnel can be included, which should include resumes for the team members submitted in Exhibit C-2.

a. GENERAL CONTRACTOR:

1. PROJECT MANAGER:

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____

Positions/responsibilities on previous **relevant projects** (list project size, scope and building type): _____

Design/Build experience: _____

Design/Assist or Teaming experience: _____

Other experience, training, education, and qualifications relevant to the proposed project: _____

Experience with Public agency projects (preferably in California): _____

Experience with Community Colleges or other school projects and/or features: _____

Experience with California Division of the State Architect: _____

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

2. SUPERINTENDENT:

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____
Positions/responsibilities on previous **relevant projects** (list project size, scope and building type): _____

Design/Build experience: _____
Design/Assist or Teaming experience: _____
Other experience, training, education, and qualifications relevant to the proposed project: _____

Experience with Public agency projects (preferably in California): _____

Experience with Community Colleges or other school projects and/or features: _____

Experience with California Division of the State Architect: _____

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

b. ARCHITECT (PROJECT ARCHITECT):

Name: _____
Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____
Positions/responsibilities on previous **relevant projects** (list project size, scope and building type): _____

Design/Build experience: _____
Design/Assist or Teaming experience: _____
Other experience, training, education, and qualifications relevant to the proposed project: _____

Experience with Public agency projects (preferably in California): _____

Experience with Community colleges or other school projects and/or features: _____

Experience with California Division of the State Architect:

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

c. STRUCTURAL ENGINEER (ENGINEER OF RECORD):

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____

Positions/responsibilities on previous **relevant projects** (list project size, scope and building type): _____

Design/Build experience: _____

Design/Assist or Teaming experience: _____

Other experience, training, education, and qualifications relevant to the proposed project:

Experience with Public agency projects (preferably in California): _____

Experience with Community colleges or other school projects and/or features: ____

Experience with California Division of the State Architect:

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

d. MECHANICAL ENGINEER (ENGINEER OF RECORD):

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____

Positions/responsibilities on previous **relevant projects** (list project size, scope and building type): _____

Design/Build experience: _____

Design/Assist or Teaming experience: _____
Other experience, training, education, and qualifications relevant to the proposed project:

Experience with Public agency projects (preferably in California): _____

Experience with Community colleges or other school projects and/or features: ____

Experience with California Division of the State Architect:

Sustainable Design Experience (preferably LEED)

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

e. ELECTRICAL ENGINEER (ENGINEER OF RECORD):

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____

Positions/responsibilities on previous **relevant projects** (list project size, scope and building type): _____

Design/Build experience: _____

Design/Assist or Teaming experience: _____

Other experience, training, education, and qualifications relevant to the proposed project:

Experience with Public agency projects (preferably in California): _____

Experience with Community colleges or other school projects and/or features: ____

Experience with California Division of the State Architect:

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

f. PLUMBING ENGINEER (ENGINEER OF RECORD):

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____

Positions/responsibilities on previous **relevant projects** (list project size, scope and building type): _____

Design/Build experience: _____

Design/Assist or Teaming experience: _____

Other experience, training, education, and qualifications relevant to the proposed project:

Experience with Public agency projects (preferably in California): _____

Experience with Community colleges or other school projects and/or features: _____

Experience with California Division of the State Architect:

[ATTACH ADDITIONAL SHEETS AS REQUIRED]

g. OTHER FIRMS: Sub-Consultants, or Other Team Member (as applicable)

Name: _____

Project Assignments/Responsibilities: _____

Years with this firm: _____ Years with other firms: _____

Education: _____

Active Registration and/or Credentials as applicable: _____

Positions/responsibilities on previous **relevant projects** (list project size, scope and building type): _____

Design/Build experience: _____

Design/Assist or Teaming experience: _____

Other experience, training, education, and qualifications relevant to the proposed project:

Experience with Public agency projects (preferably in California): _____

Experience with Community colleges or other school projects and/or features: ____

Experience with California Division of the State Architect:

[ATTACH ADDITIONAL SHEETS AS REQUIRED]